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Title	Biomechanics of supination ankle sprain – a case report of an accidental injury event in the laboratory
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Keywords	Anterior talofibular ligament, inversion, cutting motion, kinematics, plantar pressure

1 **INTRODUCTION**

2 Ankle sprain is the most common injury in sports (Fong et al., 2007), but the
3 mechanism of injury is not clear. Injury mechanisms can be studied through many
4 different approaches (Krosshaug et al., 2005). Over the years, ankle kinematics has
5 been studied during simulated sub-injury or close-to-injury situations, i.e., sudden
6 simulated ankle spraining motion on inversion platforms (Myers et al., 2003). Since
7 these tests did not induce real injury, they could only somewhat suggest the ankle
8 kinematics during an ankle sprain injury. The most direct way is to investigate real
9 injuries using biomechanical measuring techniques. However, it is obviously
10 un-ethical to do experiments where test subjects are purposefully injured.
11 Nevertheless, in rare cases accidents may occur during biomechanical testing (Barone
12 et al, 1999; Zernicke et al, 1977). It has been shown that video sequences from sports
13 competitions can provide limited but valuable information for qualitative ankle injury
14 analysis (Andersen et al., 2004). However, quantitative biomechanics analysis of sport
15 injury is not easy as it requires calibrated multi-view video sequences. This study
16 presented an accidental supination ankle sprain injury occurred in a laboratory under a
17 high-speed video and plantar pressure capturing setting.

18

19 **CASE REPORT**

20 **The injury case**

21 One male athlete (age = 23 years, height = 1.75m, body mass = 62.6kg) wore a pair of
22 high-top basketball shoes and performed a series of cutting motion trials in a
23 laboratory. The university ethics committee approved the study. The subject was
24 instructed to run forward for six meters with maximum speed, before making a rapid
25 left turn within the capture volume. In the fourth trial, the athlete accidentally sprained
26 his right ankle. The injury was immediately diagnosed as a grade one mild anterior
27 talofibular ligamentous (ATFL) sprain by a well-trained orthopaedic specialist with
28 the Jackson grading system (1974), as the athlete had pain and tenderness during
29 palpation on ATFL with an applied supination motion, and had a mild or no functional
30 loss, limp, swelling and point tenderness at the injured ankle. Calcaneofibular
31 ligament and syndesmotoc involvement were ruled out as there was no tenderness of
32 these structures during the reproduction of an ankle supination by the examiner. Ankle
33 instability was not observed during anterior drawer and talar tilt tests. Prior to the
34 current injury, the athlete had normal foot structure with no pain, symptoms or
35 limitation of foot and ankle function, and did not have a history of ankle sprain or
36 other ankle injury in the previous three years. After the injury, he suffered from pain
37 and tenderness for two weeks, and returned to full activity in three weeks, without
38 non-weight bearing for any period.

39

40 **Marker-based motion analysis of the injury mechanism**

41 The injury motion was videotaped by three synchronized and calibrated high-speed
42 cameras, operating on 100 Hz (JVC 9600, Japan). The shutter speed was 1/250s and
43 the effective capture volume was about 1m³. The plantar pressure and the excursion
44 path of the center of pressure were also simultaneously recorded at 100 Hz by a
45 pressure insole system (Novel Pedar, Germany). The moment of foot strike on the
46 ground was identified by the plantar pressure data. Part of the video sequence from
47 the three cameras is shown in Figure 1 (in every 0.08s), and the videos are provided
48 online at the AJSM website at <http://ajs.sagepub.com>. The positions of the tibia
49 tuberosity, the lateral malleolus, the proximal posterior shank, the distal posterior
50 shank, the proximal heel, the distal heel and the toe tip were manually digitized with a
51 motion analysis system (Ariel Performance Analysis System, USA). The digitizing
52 process was done ten times by the same researcher to obtain the average values of the
53 coordinates of the anatomical landmarks.

54

55 A static standing calibration trial in the anatomical position served as the offset
56 position to determine the segment embedded axes of the shank and foot. For this
57 recording, we also digitized the lateral femoral condyle. Axis transformations were
58 performed to make the vertical axes of the shank (X3) passes through the knee and
59 ankle joint centers. The joint center of the knee was determined by the method of
60 Davis and co-workers (1991), and the ankle joint center location was defined 1 cm
61 distal to the lateral malleolus, as proposed by Eng and Winter (1995). The
62 antero-posterior axis (X1) of the local axis system was defined perpendicular to the
63 X3 axis with no medio-lateral component. The third axis was the cross product of the
64 vertical and antero-posterior axis ($X2 = X3 \times X1$). The axes of the foot were aligned
65 with the global coordinate system. The method of Soderkvist and Wedin (1993) was
66 utilized to obtain the segment embedded reference frame for the shank, using the tibia
67 tuberositas, the lateral malleolus, the proximal posterior shank and the distal posterior
68 shank markers. Smoothing and interpolation were performed by the generalized cross
69 validation package of Woltring (1986). The cubic mode with an 8 Hz cut-off
70 frequency was chosen for the marker trajectories. The joint angles presented here
71 were calculated using the method described by the ISB recommendation committee
72 (Wu et al., 2002). Ankle angles and angular velocities are presented in the three
73 orthogonal anatomical planes (Inversion/eversion about the X1 axis;
74 plantarflexion/dorsiflexion about the X2 axis; internal/external rotation about the X3
75 axis). The calculations were done using customized Matlab scripts.

76

77 **Validation of the ankle kinematics of the injury trial**

78 To validate the measured kinematics, the injury video sequences were also analyzed
79 using the model-based image-matching (MBIM) technique described by Krosshaug
80 and Bahr (2005). Models of the surroundings were manually matched to the
81 calibration cube frame (50x50x50cm) and lines on the floor in every camera view
82 from calibration trial video, by adjusting the camera calibration parameters (position,
83 orientation and focal length). A skeleton model (Zygot Media Group Inc., Provo,
84 Utah, USA) was customized to match the anthropometry of the injured subject. The
85 skeleton matching started with the thigh segment. We thereafter worked distally by
86 matching the shank, feet and toe segments. In contrast to previous work where axial
87 rotation was evenly distributed between the knee and ankle, we chose to distribute the
88 axial rotation solely to the ankle as it was considered more likely due to the injury
89 loads. The joint angle time histories were read into Matlab with a customized script
90 for data processing. To allow direct comparisons between the marker-based
91 measurements and the MBIM technique, the axis systems of the skeleton model were
92 re-aligned as outlined in Krosshaug and Bahr (2005). The ankle kinematics reported
93 by both methods is shown in Figure 2. The patterns were generally in good agreement,
94 as shown by similar shapes and ranges of motion. Therefore, validation was
95 considered achieved.

96

97 **Kinematics comparison of the injury trial and the normal trials**

98 The same procedure of the marker-based motion analysis was performed for the three
99 successful normal trials before the injury trial for comparison. Figure 3 shows the
100 ankle angles and the angular velocities for the successful normal trials and the injury
101 trial. At foot strike, for the injury trial, the ankle was 7 degrees more internally rotated
102 (less externally rotated from 21 to 14 degrees) and 6 degrees more inverted (from 9 to
103 15 degrees) when compared to the normal trials (Table 1). After landing, there was a
104 two-phase change of ankle kinematics, as primarily determined by the profile changes
105 of inversion and inversion velocity. Firstly, from 0.06s, the ankle entered a pre-injury
106 phase (Phase I) as the kinematics profile started to deviate from that of normal trials,
107 as shown by a larger inversion, accompanied by greater plantarflexion velocity and
108 internal rotational velocity. The change of inversion in this period was still gentle, as
109 the inversion velocity did not differ much from that of normal trials. Therefore this
110 period is termed “pre-injury phase” as we believed that the injury had not occurred yet,
111 however, a significant risk may have been developed. At 0.11s, the deviation halted
112 and the ankle was inverted for 32 degrees, externally rotated for 5 degrees and
113 dorsiflexed for 14 degrees. Secondly, from 0.11s onwards, the ankle entered the injury
114 phase (Phase II), as there was another explosive inversion and internal rotation shown

115 by the increased velocities. The ankle further inverted for 16 degrees and internally
116 rotated for 15 degrees. At 0.20s, the ankle reached its greatest angular displacement
117 from the offset anatomical position. The orientation was at an absolute measure of 48
118 degrees inversion, 10 degrees internal rotation, and 18 degree dorsiflexion.

119

120 **Plantar pressure analysis of the injury trial and the normal trials**

121 The plantar pressure distributions of one selected normal trial and the injury trial are
122 provided online at the AJSM website at <http://ajs.sagepub.com>. The hallux was found
123 to contribute to greater contact with the ground during most of the stance, especially
124 in normal trials. For the injury trial, higher pressure at both heel and forefoot region
125 was found at 0.02s after the foot strike, indicating a firm and forceful foot strike. At
126 0.06s onwards, the pressure at heel reduced quickly and shifted to the forefoot region.
127 Such pattern suggested a lift of the rearfoot and a quick shift of center of pressure to
128 the forefoot after foot strike, from 0.02 to 0.08s, as also shown by a quick move of the
129 center of pressure from heel to mid-foot region in Figure 4. From 0.08s to 0.20s, a
130 chaotic pattern of the center of pressure excursion at the third and fourth metatarsal
131 region was found, indicating an unstable foot support during this period. After 0.24s,
132 the center of pressure shifted forward to the proximal third metatarsal, and further to
133 the first metatarsal region finally. In normal trials, the excursion path of the center of
134 pressure moved progressively from heel to metatarsal region in a rather stable manner.

135

136 **DISCUSSION**

137 For the successful normal trials, the ankle was externally rotated and slightly inverted
138 at foot strike. Such orientation enhanced a flat foot landing with a maximum contact
139 surface between the foot and the ground. For the injury case, the ankle was more
140 internally rotated (or less externally rotated) at foot strike – this was suggested to be a
141 vulnerable orientation for sustaining ankle sprain injury (Andersen et al., 2004).
142 However, in contrast to the hypotheses in previous studies, dorsiflexion instead of
143 plantarflexion was found. In fact, when we retrieved Figure 3-D from Andersen's
144 study (2004), we found that the ankle may be in a dorsiflexed orientation too.
145 Therefore the previous belief that the ankle is plantarflexed during a sprain injury may
146 not be essential. In this case report, right after landing, the dorsiflexed ankle started
147 plantarflexing in 0.06s, shifted the center of pressure to forefoot and lifted the rearfoot.
148 While the forefoot was in touch with the ground and supported the body, the rearfoot
149 drifted to the lateral side – this was a pivoting internal rotational motion. Such motion
150 swung the ankle joint center to the lateral aspect and deviated it from the application
151 point of the ground reaction force, as indicated by the center of pressure position. A
152 laterally shifted center of pressure was suggested to be a risk factor to sustain ankle

153 sprain injury (Willems et al, 2005), and thus may have predisposed the ankle at a high
154 risk to sustain a sprain. It was also speculated that the pivoting internal rotational
155 motion resulted in a longer moment arm along the ankle joint. As the moment, or
156 torque, is the product of the ground reaction force and the moment arm, it should have
157 increased greatly as a result (Wright et al., 2000). Therefore, the lift and the lateral
158 swing of the rearfoot may contribute to a sudden explosive torque and the subsequent
159 abrupt kinematics changes at the ankle joint.

160

161 The changes of ankle kinematics were in a two-phase pattern. In the pre-injury phase,
162 the ankle orientation was within the normal ankle motion range (Hertel, 2002).
163 Therefore, it was postulated that the ATFL sprain injury had not been induced yet in
164 this phase. However, after this phase, at 0.11s, the ankle entered an at-risk
165 orientation – an internally rotated and inverted position (Andersen et al., 2004), which
166 may lead to the second injury phase that sprained the ATFL. At the lateral aspect of
167 ankle, the peroneal muscles play a role to pronate the foot, which oppose the
168 supination or inversion motion. Previous myoelectric investigation suggested that the
169 reaction time of peroneal muscles in healthy male subjects with stable ankles was
170 55-80ms (Konradsen and Ravn, 1991), and an inactive peroneus may be the reason
171 why the sprain occurred. Therefore, in the current case report, we believed that the
172 peroneal muscles were not yet activated before the start of the pre-injury phase, that is,
173 at 0.06s, to protect the ankle joint from going into the second injury phase at 0.11s.
174 During this period, sudden inversion and internal rotation were observed, which
175 reflected how the explosive ankle supination torque introduced the grade one ATFL
176 sprain injury.

177

178 This study provides information for understanding the ankle sprain mechanism
179 quantitatively. Previous cadaveric and simulation studies may have involved too much
180 plantarflexion and thus may not reflect the real ankle joint biomechanics during real
181 injury. Future studies should be planned to incorporate post-injury video analysis with
182 the model-based image-matching (MBIM) technique (Krosshaug and Bahr, 2005) to
183 better understand the ankle kinematics during real injury scenarios.

184

185 **SUMMARY**

186 This study presented the biomechanics of an accidental supination ankle sprain injury.
187 At injury, the ankle reached an inversion of 48 degrees, accompanied by an internal
188 rotation of 10 degrees. However, in contrast to the hypotheses in previous studies,
189 dorsiflexion instead of plantarflexion was found at injury. The findings of this study
190 add knowledge to the current understanding of ankle sprain mechanism and raise a

191 debate on the ankle joint orientation during an inversion sprain injury. This reveals the
192 need to conduct systematic post-injury video analysis on real injury scenarios. The
193 findings may also provide valuable information for designing prophylactic device for
194 ankle sprain prevention.

195

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241

242 **FIGURE LEGENDS**

243 Figure 1 – The video sequence (in every 0.08s) of the supination ankle sprain injury
244 with the matched skeleton model

245 Figure 2 – The ankle kinematics reported by the marker-based and the Poser motion
246 analysis methods

247 Figure 3 – Ankle angle and angular velocity among the three axes for the successful
248 normal trials (3 trials) and the injury trial (1 trial)

249 Figure 4 – The excursion path of the center of pressure of (a) the mean of the normal
250 trials, and (2) the injury trial

Table 1 – Ankle orientation at foot strike and the maximum ankle angular displacement during stance for the normal trials and the injury trial

	Normal trials (N = 3)	Injury trial (N = 1)	
<i>At Foot Strike</i>			
Plantarflexion / Dorsiflexion	-14 deg*	-11 deg*	
Internal / External rotation	-21 deg*	-14 deg*	
Inversion / Eversion	9 deg	15 deg	
<i>During Stance</i>		Phase I	Phase II
Max plantarflexion	15 deg	1 deg	-15 deg*
Max internal rotation	-6 deg*	-5 deg*	10 deg
Max inversion	35 deg	41 deg	48 deg
Max plantarflexion velocity	730 deg/s	370 deg/s	93 deg/s
Max internal rotation velocity	320 deg/s	138 deg/s	271 deg/s
Max inversion velocity	638 deg/s	632 deg/s	272 deg/s

Note: * Negative value means dorsiflexion and external rotation respectively. Phase I = Pre-injury Phase, from 0.06 to 0.11s. Phase II = Injury Phase, from 0.11s onwards.

Figure 1. The video sequence (at 0.08 second intervals) of the supination ankle sprain injury with the matched skeleton model.

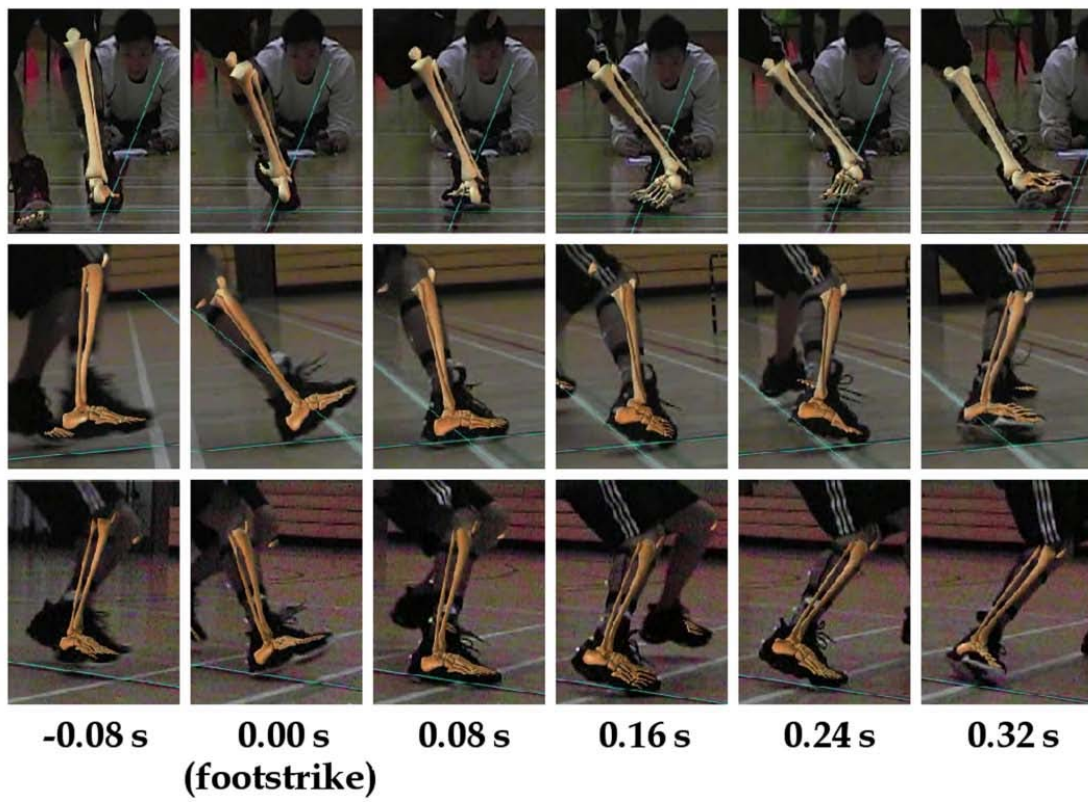


Figure 2. The ankle kinematics reported by the marker-based (A) and the model-based (B) image-matching (MBIM) motion analysis methods.

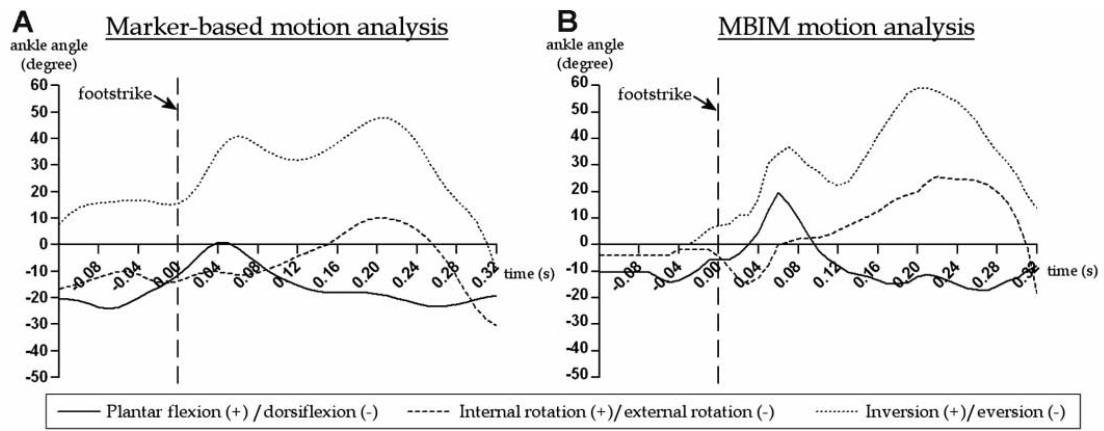


Figure 3. Ankle angle (A) and angular velocity (B) among the 3 axes for the successful normal trials (3 trials) and the injury trial (1 trial).

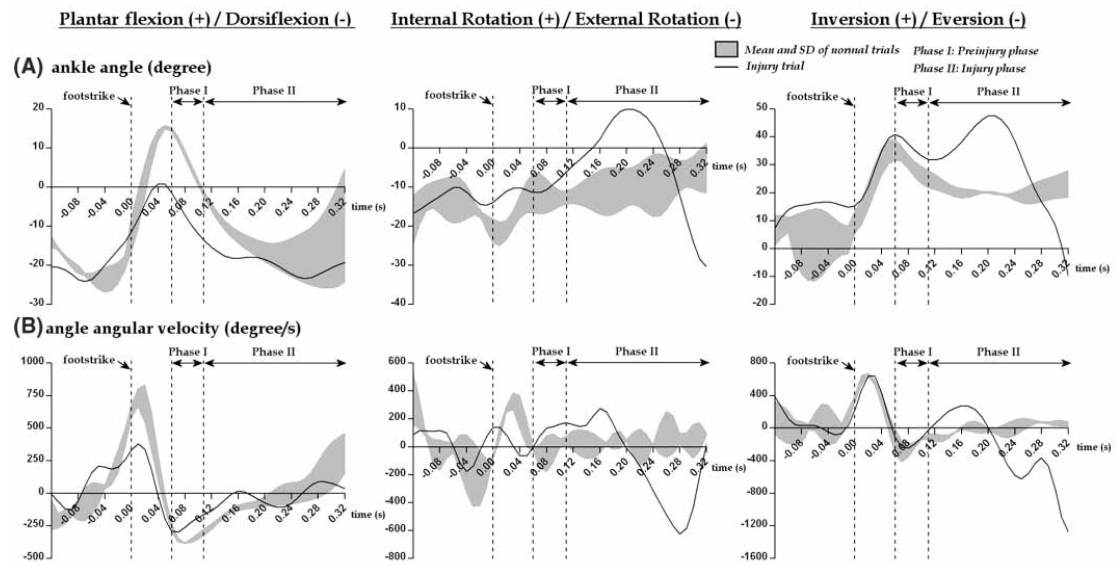


Figure 4. The excursion path of the center of pressure of the mean of the normal trials (A) and the injury trial (B).

