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1 **Local trauma in human patellar tendon leads to a widespread increase in**
2 **tendon cell activity**

3

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27 Running head (60 ch)

28 Tendon trauma activates tendon cells

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31 **Keywords**

32 Trauma

33 Total RNA

34 Injury

35 Tendon fat

36

37 **Abstract (250 words max)**

38 Low cellular activity and slow tissue turnover in human tendon may prolong resolution of
39 tendinopathy. This may be stimulated by moderate localized traumas such as needle penetrations,
40 but whether this results in a widespread cellular response in tendons is unknown. In an initial
41 hypothesis-generating study, a trauma-induced tendon cell activity (increased total RNA and
42 collagen I mRNA) was observed after repeated patellar tendon biopsies in young men. In a
43 subsequent controlled study, 25 young men were treated with two 21-gauge needle penetrations
44 (n=13, needle group (NG)) or one 14-gauge needle biopsy (n=12, biopsy group (BG)) in one patellar
45 tendon. Four weeks later biopsies were taken from treated (5 mm lateral from trauma site) and
46 contralateral tendons for analyses of RNA content (ribogreen assay), DNA content (PCR based),
47 and gene expression for relevant target genes (Real-time RT-PCR) (NG, n=11 and BG, n=8).
48 Intervention increased RNA content, and mRNA expression of collagen I and -III and TGF- β 1
49 ($p < 0.05$), with biopsy treatment having greatest effect (tendency for RNA and collagen I). Results
50 for DNA content were inconclusive, and no changes were detected in expression of Insulin like
51 growth factor-I, connective tissue growth factor, scleraxis, decorin, fibromodulin, tenascin-C,
52 tenomodulin, VEGFa, CD68, IL-6, MMP12 and MMP13. In conclusion, a moderate trauma to a
53 healthy human tendon (e.g. biopsy sampling) results in a widespread up-regulation of tendon cell
54 activity and their matrix protein expression. The findings have implications for design of studies on
55 human tendon, and may provide perspectives in future treatment strategies towards
56 tendinopathic conditions.

57

58

59 **Introduction**

60 Tendons connect muscle to bone and pain-free function of tendon tissue is essential in voluntary
61 movements. In healthy adult tendon the bulk of the tendon matrix has very slow turnover (18, 33,
62 40), and the activity of tendon fibroblasts also appears limited, as very low concentrations of total
63 RNA are found in tendon tissue (17, 21, 22, 42). Even in chronic Achilles tendinopathy a low cell
64 activity seems to be maintained, as the RNA content is not increased compared to healthy tendon
65 ((21) and own unpublished observation). The limited RNA concentration and the very slow tissue
66 turnover in adult human tendon (17, 18), suggest that tendon fibroblasts are in a dormant state,
67 and this may inhibit the resolution of e.g. overuse tendinopathy. On the other hand acute tendon
68 rupture induces cell activity and results in up-regulation of type I collagen expression in humans
69 (23), and a similar response is seen animals after tendon transection (14, 31). The dramatic healing
70 response after tendon rupture is underlined by the observation that even non-surgical treatment
71 of Achilles tendon rupture in adult humans can result in regeneration and regaining of tendon
72 function (1). In addition, it is known that primary fibroblasts derived from healthy, adult human
73 tendon can be activated to form new tendon-like tissue in culture (3). In combination, these
74 observations suggest a marked capacity for cellular activation and matrix protein synthesis in
75 tendon in response to a trauma. Therefore a controlled trauma may be useful for initiating a
76 cellular response in tendon, and could potentially accelerate cell activity in e.g. chronic
77 tendinopathy.

78 Procedures of thin needle penetration of tendon, known as dry needling, have been used as an
79 attempt to cure chronic pathological tendon conditions (tendinopathy), and have been shown in
80 some studies to be clinically effective in different tendons (26, 30, 37, 38). However, the outcome

81 measures of these trials have been mainly clinical, and apparently no attempts have been
82 performed to evaluate the influence of needle penetration on tendon cell activity or matrix
83 protein expression. Preliminary evidence does suggests that trauma induced by biopsy procedures
84 in human tendon tissue may lead to an activation of tendon cells lasting for several months (12),
85 but this has not been investigated in controlled studies.

86 This article includes two separate experiments involving the human patellar tendon. The first
87 study was a mainly hypothesis-generating study that suggested a potentially large effect of tendon
88 biopsy procedures on tendon cell activity. This study was followed up by a second well-controlled
89 experiment that systematically investigated the effect of both mild and moderate trauma to
90 tendon tissue. The specific aim of the second study was to test whether needle penetration (mild
91 trauma) and biopsy sampling (moderate trauma) in an isolated part of the tendon could lead to a
92 widespread activation of the tendon cells in healthy patellar tendons of young men. We
93 hypothesized that tendon human fibroblasts would respond to acute tissue trauma by increasing
94 cellular activity and gene expression of matrix proteins, and that the effect of the moderate
95 trauma would be larger than that of the mild trauma.

96 **Materials and Methods**

97 **Hypothesis-generating study (study I)**

98 An experiment was set up to study the effect of three weeks of unloading (unilateral lower limb
99 suspension (ULLS)), followed by three weeks of resistance training, on patellar tendon and skeletal
100 muscle in young men (7). Data from the muscle biopsies obtained in this study have been
101 published previously, and details regarding subject characteristics, ethical permissions and the
102 ULLS- and resistance training (RT) interventions can be found here (7). With the purpose of

103 studying the tendon tissue response to unloading and reloading, three patellar tendon biopsies
104 were obtained; one at baseline (pre-biopsy), one after ULLS (post ULLS) and one after resistance
105 training (post RT). In the first half of the participants (n=5) all three biopsies were obtained from
106 the leg that was subjected to ULLS. In the remaining persons (n=4) the pre-biopsy was taken in the
107 contra-lateral leg (not subjected to ULLS), while the post ULLS and post RT biopsies were taken
108 from the leg that was subjected to ULLS. Due to a drop-out, only 8 samples were available at the
109 post RT time-point. The biopsies were obtained according to the method previously described
110 (17). Briefly, the skin was anesthetized with Lidocaine, and the biopsy was taken from the proximal
111 part of the patellar tendon with automatic disposable 14 G Bard Monopty Biopsy Instrument (Bard
112 limited, Crawley, UK) at an angle of 35–45°.

113

114 The outcome of this study suggested that the first biopsy led to a traumatic response in the
115 tendon that affected the RNA content and collagen I mRNA expression in tendon tissue obtained
116 from the repeated biopsies (Fig. 1). This effect outweighed any potential effect of unloading and
117 resistance training, and thus made the data unusable for studying unloading and resistance
118 training in itself. However, the observations generated the hypothesis that a trauma to tendon
119 tissue may activate tendon cells, perhaps even far from the site of the trauma. To investigate this
120 in a more systematic way, study II was conducted.

121 **Main study (study II)**

122 The main study tested the effect of two different types of trauma on the patellar tendon. One
123 treatment consisted of two thin needle penetrations and the other of a biopsy procedure. Four
124 weeks after the initial treatment, tissue biopsies from both the treated and non-treated patellar
125 tendons were obtained (Fig. 2), and these tissue samples were analyzed to assess the effect of the

126 two types of trauma. In this study great care was taken not to enter the tissue in the same location
127 as the initial trauma, in order to specifically investigate the effect of a local trauma on the global
128 response in the tendon tissue.

129 **Participants and samples numbers study II**

130 Twenty-five moderately active healthy young men were included in study II. Exclusion criteria
131 were; smoking, body mass index higher than 28, systemic disease, disease involving connective
132 tissue and joints, substance abuse, and prior trauma to the patellar tendon. The participants were
133 randomized into a needle treatment group (n=13) and a biopsy treatment group (n=12). However,
134 four participants dropped out before the study was finalized (three failed to show up for the
135 second appointment and one participant sustained a knee injury unrelated to the study), and
136 additionally two samples were lost during analyses. The data are therefore based on paired
137 samples (control vs. treated leg) of n=11 for the needle group and n=8 for the biopsy group. There
138 were no differences between the needle and biopsy groups with respect to age (24 ± 2.2 vs. $23 \pm$
139 2.4 (mean \pm SD)) or body mass index (BMI) (23 ± 1.6 vs. 23 ± 1.5 (mean \pm SD)). All subjects gave
140 informed consent, and the study was approved by the Ethical Committee of the Capital Region of
141 Denmark (H-4-2012-152). The study was conducted in accordance with the Helsinki Declaration.

142 **Biopsy and dry needling procedures study II**

143 The biopsy and dry needling procedures were both carried out on the patellar tendon. The point
144 of entry for both was close to the mid-proximal region, and slightly to the medial side of the
145 midline (to leave space to obtain a biopsy 4 weeks later from an area untouched by the initial
146 trauma) (Fig. 2). The overlying skin was anesthetized with 2-3 ml Lidocaine (1%) and the area was

147 sterilized. A 5-mm long incision (proximal-distal direction) in the skin was made down to the
148 surface of the tendon prior to both the biopsy and needle treatment procedures.

149 The biopsy procedure was performed in one patellar tendon on all participants in the biopsy group
150 (Fig. 2B). The sample was obtained with ultrasound guidance using a 14-gauge (2.1 mm diameter)
151 disposable core tissue biopsy instrument (Bard Magnum, cat. no: MN1410) used with a Bard
152 Magnum Biopsy instrument (Bard Magnum Biopsy Instrument; CR Bard, Covington, GA) as
153 described previously (12)

154 The needling procedure was performed in one patellar tendon of all participants in the needle
155 group, and consisted of two ultrasonographically guided penetrations into the tendon using a 21-
156 gauge (0.8 mm diameter) needle (KD Medical, Berlin, Germany) (Fig. 2A). The needle penetration
157 went as deeply as the biopsy needle, and that the same angle, and the two needle penetrations
158 were spaced 1-2 mm apart.

159 Four weeks after the initial treatment, post-biopsies were obtained from both patellar tendons
160 (treated and control tendon) in all participants. The biopsies were obtained as described above,
161 the only difference being that the post-treatment biopsies were obtained laterally to the midline
162 of the tendon, leaving at least 5 mm between the initial medially located trauma and the post-
163 biopsies. The distance between the initial trauma and the post-biopsies was ensured both by
164 visual confirmation of the first skin incision and by ultrasound (Fig. 2).

165 Tendon biopsy samples were rinsed with saline to remove any blood, and then tendon and fat
166 tissue were separated under a microscope. After removing excess saline both tendon and fat
167 fractions were frozen in liquid nitrogen and stored at -80 °C for later analyses.

168 **RNA extraction (study I and II)**

169 All tissue samples were weighed before RNA extraction. The tendon samples weighed 8-22 mg in
170 study I and 3-15 mg in study II. The fat fractions from study II weighed 0.7-7 mg (all wet weights).
171 For study I tendon tissue was homogenized in 1 ml of TriReagent (Molecular Research Center,
172 Cincinnati, OH, USA) containing five stainless steel balls of 2.3 mm in diameter (BioSpec Products,
173 Inc., Bartlesville, Oklahoma, USA), and five silicon-carbide sharp particle of 1 mm (BioSpec
174 Products, Inc.), by shaking in a FastPrep®-24 instrument (MP Biomedicals, Inc., Illkirch, France) at
175 speed level 4 for 15 s. The shaking step was repeated five times with cooling on ice between each
176 shaking step (to avoid heating of the sample). A similar homogenization procedure was used in
177 study II. However only one silicon-carbide sharp particle was used and the samples were only
178 shaken once in the FastPrep®-24 instrument (this has been found to reduce the loss and increase
179 the quality of RNA, compared to the procedure used for study I)

180 Following homogenization, bromo-chloropropane (Molecular Research Center, Cincinnati, OH,
181 USA) was added in order to separate the samples into an RNA containing aqueous and an organic
182 phase with DNA in the inter phase. Following isolation of the aqueous phase (the remaining
183 phases used for DNA extraction), glycogen was added (80 µg) to improve RNA precipitation. RNA
184 was precipitated using isopropanol and the resulting pellet was washed in 75% ethanol, re-
185 solubilized in 100 µl RNase-free water, re-precipitated with 1/10 vol 3 M sodium acetate pH 5.5
186 and 2 vol 96% ethanol, washed in 75 % ethanol and finally dissolved in 10 µl RNase-free water.
187 Total RNA was quantified with RiboGreen assay (R-11490, Molecular Probes, OR, USA).

188 **Real time RT PCR (study I and II)**

189 For study I, 1 μ l of the extracted RNA was used for cDNA synthesis, equal volume chosen due to
190 the high variation in yield. However, cDNA synthesis based on equal RNA amount was also
191 performed (data not shown) and this did not change the conclusions made. But for study II 50 ng
192 of RNA from the tendon samples was used for cDNA synthesis. As the RNA yield from the fat tissue
193 was too low for reliable quantification 5 μ l of the extracted RNA from fat was used for cDNA
194 synthesis.

195 RNA was converted into cDNA in 20 μ l using OmniScript reverse transcriptase (Qiagen, California,
196 USA, and 10 mM poly-dT (Invitrogen, Naerum, Denmark) according to the manufacture's protocol
197 (Qiagen). For each target mRNA, 0.25 μ l cDNA was amplified in a 25 μ l SYBR Green polymerase
198 chain reaction (PCR) containing 1 x Quantitect SYBR Green Master Mix (Qiagen) and 100 nM of
199 each primer (Table 1). The Ct values were related to a standard curve made with DNA oligomers
200 matching the target sequence (Ultramer™ Oligos, Integrated DNA Technologies, Inc., Leuven,
201 Belgium) in order to determine the relative difference between the unknown samples, accounting
202 for the PCR efficiency. The specificity of the PCR products was confirmed by melting curve analysis
203 after amplification. The large ribosomal protein P0 (RPLP0) was chosen as internal control, as
204 RPLP0 mRNA has been suggested to be constitutively expressed (11). To validate this assumption,
205 another unrelated "constitutive" RNA, GAPDH mRNA, was measured and RPLP0 was normalized to
206 GAPDH. No significant effect was seen of the interventions on the expression of GAPDH relative to
207 RPLP0 (Fig. 1B and Fig. 3).

208 **DNA extraction and quantification (study II)**

209 DNA was extracted according to the alternative DNA isolation procedure provided in the Tri-
210 reagent protocol (Molecular Research Center, Cincinnati, OH, USA) with a few modifications. 0.5
211 ml DNA extraction buffer (4 M guanidine thiocyanate, 50 mM sodium citrate, 1 M Tris (free base),
212 100 µg/ml salmon testes DNA (D-7656, Sigma, St. Louis, MO, USA)) was added to the interphase -
213 organic phase mixture that was left over from the RNA extraction, mixed, heated at 50°C for 15
214 min, mixed and left at room temperature for 30 min. The water phase containing DNA was
215 isolated and mixed with 6 µl polyacryl carrier (Molecular Research Center, Cincinnati, OH, USA).
216 DNA was precipitated with isopropanol, washed with 75% ethanol and resuspended in 100 µl 8
217 mM NaOH. The DNA was diluted 100 times in TE pH8 and 5 µl used per 25 µl PCR reactions in the
218 same way as for the cDNA targets using primers for the myogenin promoter
219 (AGGTGCTGTCAGGAAGCAAGGA, TAGGGGGAGGAGGGAACAAGGA).

220 **Statistics**

221 For study I no statistical tests were performed, as this was considered only as a hypothesis-
222 generating study. For study II all data were log-transformed before statistical analyses and are
223 presented as geometric means +/- back-transformed SE. A two-way repeated measures analysis of
224 variance (ANOVA) on leg (control vs. treated)*group (needle vs. biopsy) was performed. If the
225 two-way ANOVA was significant, individual differences between control- and treated tendons,
226 within treatment groups, and differences between treatment groups within control and treated
227 tendons were tested with a post-hoc test (Student-Newman-Keuls). Otherwise, main effects of
228 treatment (control vs. treated) and group (needle vs. biopsy) were tested. Differences were
229 considered significant when $p < 0.05$. All statistical analyses were performed with SigmaPlot. Mean

230 values for the pre-biopsy samples are included in graphs for study II, but data for pre-biopsies are
231 not included in statistical tests.

232

233 **Results**

234 **Results from study I**

235 The analyses of tendon biopsies from study I indicated that the tendon tissue was affected by the
236 trauma created by the first biopsy. The RNA content in first biopsies ranged from 30-100 ng/mg
237 tissue, while repeated biopsies contained 58-556 ng/mg tissue (Fig. 1A), showing that a prior
238 biopsy led to an increased content of total RNA in the tissue. In addition, the COL1A1 mRNA
239 expression was clearly increased in the repeated biopsies compared to first biopsies, and in some
240 samples the expression level was more than 50-fold higher in the repeated biopsies than in naïve
241 tissue (Fig. 1 C). GAPDH mRNA appeared stable and no obvious effect of on scleraxis was seen (Fig.
242 1B,D).

243 **Total RNA and DNA concentration (study II)**

244 In study II pre-biopsies and biopsies from control tendons contained mean values of 36-40 (range
245 of individual values 18-100) ng RNA/mg tissue, while the mean level of RNA was 43 (range 20-75)
246 ng/mg after needle treatment and 57 (range 40-86) ng/mg after the biopsy treatment (Fig. 4A).
247 The concentration in treated samples was significantly higher than in control samples (main effect
248 of treatment) (Fig. 4A). The ratios between treated and control leg (within-subjects) in the needle
249 and biopsy groups suggest that the significant main effect of treatment is mostly driven by an
250 increase in the RNA concentration in the biopsy treatment group (top right inset graph in Fig. 4A)
251 and there was a trend for a leg*treatment interaction ($p = 0.081$).

252 For DNA, mean values of 124-144 ng DNA/mg tendon tissue were found in pre-biopsies and
253 control tendons, while the mean level of DNA was 134 ng/mg after needle treatment and 180

254 ng/mg after the biopsy treatment (Fig. 4B). However, no significant changes were detected,
255 although there was a trend for a leg*treatment interaction ($p = 0.068$).

256 When comparing ratios of RNA to DNA (Fig. 4C) these were quite stable and no significant changes
257 were found.

258 **Expression of mRNA for matrix proteins (study II)**

259 The expression pattern of collagen I and -III were very similar and showed a relatively robust
260 increase in expression in response to the biopsy treatment, while there was no obvious effect of
261 the needle procedure (Fig. 5A & 5B). For COL3A1 there was a clear leg*group interaction and the
262 expression level was higher in the biopsy treated leg compared to both the biopsy control leg and
263 the needle treated leg. For COL1A1 there was only tendency for a leg*group interaction ($p=0.053$),
264 but a main effect of treatment was clear (Fig. 5A). However, it is assumed that collagen I mRNA
265 expression follows the same pattern as collagen III mRNA because of a strong correlation between
266 the two ($r^2 = 0.950$, $p<0.001$), and that the biopsy procedure induced type I collagen, while the
267 needle procedure likely did not.

268 No changes were seen for collagen XII expression (Fig. 5C), while fibronectin had a generally higher
269 level of expression in the biopsy group (Fig. 5D). Expression of decorin was relatively high and
270 stable and was found to be unaffected by the interventions (Fig. 6). Fibromodulin, tenascin-C and
271 tenomodulin were expressed at relatively low and variable levels, and no significant changes could
272 be detected (Fig. 6).

273 **Expression of mRNA for collagen inducing factors (study II)**

274 Expression of all three isoforms of transforming growth factor- β (TGF- β) were measured due to
275 their known collagen inducing action (20) (Fig. 7A,B,C). TGF- β 1 had an expression pattern similar

276 to collagen I and –III, with a significant increase in expression level in the biopsy treated leg
277 compared to both the biopsy control leg and the needle treated leg (Fig. 7A). The variation in both
278 TGF- β 2 and TGF- β 3 expression levels was relatively high and potential treatment-induced changes
279 may be difficult to detect considering the relatively low number of subjects. Similar considerations
280 may be relevant for scleraxis, where no significant changes were detected (Fig. 7F). IGF-IEa and
281 CTGF, which are also known to induce collagen expression (6, 12, 13, 16), showed relatively stable
282 levels of expression and no effects of needle or biopsy treatment were found for these growth
283 factors (Fig. 7D, E).

284 **Inflammatory markers (study II)**

285 Expression levels of vascular endothelial growth factor a (VEGFa), interleukin-6 (IL-6) and CD68
286 (macrophage marker) were measured to detect potential signs of neo-vascularization and
287 inflammation/macrophage infiltration (IL-6/CD68), but no significant changes were found (Fig. 8).
288 The level of IL-6 mRNA expression was extremely low in both control and treated samples, and the
289 large degree of variation for this target can be explained by stochastic variation (Fig. 8A). VEGFa
290 expression was also low, but relatively stable (Fig. 8B) and CD68 was expressed at relatively high
291 and stable levels (Fig. 8C).

292 **Transcripts with low expression levels (study II)**

293 Levels of MMP13, MMP12, myostatin, Ki67 and TNF α mRNA were very low and undetectable in
294 many of the samples, and therefore data have not been included.

295 **Expression of mRNA targets in fat (study II)**

296 RNA concentrations were very low and variable in the fat samples. Therefore we chose to make
297 pools of fat RNA and screen these for expression of GAPDH, RPLP0, COL1A1, COL1A3, COL12A1,

298 IGF-IEa, CTGF, TNF α , fibromodulin, fibronectin, tenomodulin, MMP13, tenascin-C, TGF- β 1, TGF- β 2,
299 TGF- β 3, IL6, Ki67 and VEGFa. The expression pattern for these targets was very similar to the
300 expression pattern seen in the tendon RNA samples, suggesting that the RNA extracted from the
301 fat samples consisted mainly of tendon RNA contaminating the fat RNA. Based on this finding we
302 chose not to make further analyses on the fat RNA samples.

303

304 **Discussion**

305 The main finding of the present study is that a moderate trauma into a healthy human tendon
306 results in an increased total RNA content and an increased expression of the major types of
307 tendon collagens, even in tendon areas separated from the site of trauma. This suggests a
308 widespread activation of the resident tendon fibroblasts in response to a localized trauma, and
309 such a response may explain the positive effect of tendinopathy treatments that involve a
310 controlled trauma such as dry needling (26).

311 The bulk of tendon collagen has a slow turnover in adults (18, 33, 40), and the low content of total
312 RNA found in tendon tissue (17, 21, 22, 42) suggests that the activity of tendon fibroblasts is also
313 limited. It may be speculated that a low cell activity and slow tissue turnover is advantageous
314 when the tendon is healthy, but could inhibit healing when the tissue is affected by overuse of the
315 tendon. Based on this theory, the beneficial effect of dry needling may relate to a trauma-induced
316 activation of tendon fibroblast that results in tissue regeneration. However, the insight into the
317 tendon response to trauma on a cell/molecular level is very limited.

318 **Tendon tissue biopsies lead to increased cell activity**

319 The present article is based on results from two separate studies. First, a study was performed to
320 examine the effect of tendon unloading, in which consecutive biopsies were taken from the same
321 patellar tendon in healthy young men. From this experiment it became clear that the tissue
322 sampled in the second and third biopsies from the same tendon was markedly affected by the
323 trauma created by the preceding biopsy(s). This precluded using the tissue for evaluating the
324 effect of unloading, but also generated the hypothesis that a local trauma in tendon tissue may

325 activate tendon cells, perhaps even far from the site of the trauma. The effect of the repeated
326 biopsies included an increase in total RNA content of up to 7 fold as well as marked increases in
327 the mRNA expression of type I collagen (up to 50 fold, but variable). Since ribosomal RNA makes
328 up the bulk of total RNA, the rise in RNA indicates a higher content of ribosomes and thus
329 translational potential/activity of the cells contained in the tissue biopsy. The rise in collagen I
330 expression suggests that this increased translational activity occurs due an increased activity
331 and/or proliferation of the local collagen-producing tendon fibroblast, as these cells are known to
332 produce high levels of collagen I mRNA (3). However, these results were preliminary and could not
333 establish whether or not there was any increase in cell number and whether invading cells, such as
334 macrophages, were perhaps partly responsible for the increased cell activity. Also the distance
335 between first and repeated biopsies was not well controlled and therefore it was uncertain how
336 far the effect of the trauma spread through the tendon.

337

338 To investigate these questions, a second experiment was performed that systematically examined
339 the effect of localized trauma (both mild and moderate) on cell activity (total RNA), cell number
340 (DNA content) and gene expression in healthy patellar tendon tissue located at least 5 mm from
341 the site of the trauma. An increase in total RNA content in response to treatment was found,
342 indicating the cell activity was increased in a relatively wide range from the tissue trauma, though
343 the increase was not as dramatic as that seen in the hypothesis-generating study (Fig. 1 & Fig. 4).
344 This discrepancy may relate to the fact that the minimum distance between first and repeated
345 biopsies was under better control in this second study, and the most dramatic increases seen in
346 the first study could be explained by sampling of tissue from or very close to the actual damage
347 site (Fig. 2).

348

349 Previous studies on tendon injury have mainly focused on the actual damaged/healing tissue and
350 consequently very little data exist with regard to the response of the untouched tissue in the
351 vicinity of the damage site. Therefore, to our knowledge, no published data are directly
352 comparable to the present data. However, one study on humans indicated a possible increase in
353 RNA content in ruptured tendon (22), and a study on rabbits showed a 6-fold increase in total RNA
354 in response to transection and re-suturing of flexor tendon (4). The large increase in total RNA in
355 the rabbit tendon compared to our results may relate to the species difference, the severity of the
356 injury, and the fact that the analyzed rabbit tendon tissue included the actual damage site.

357

358 The DNA content was measured in order to assess the cell content and evaluate whether the
359 treatments induced either cell proliferation and/or cell invasion. No significant effects of the
360 treatments were found, but considering the relatively large variation in the measured DNA levels,
361 combined with the low sample number (due to drop-outs), it is problematic to conclude that no
362 changes occurs in cell number in response to the treatment. In relation to this it should be noted
363 that there was a trend towards interaction between leg and treatment ($p = 0.068$, Fig. 4), and it
364 may be speculated that the DNA concentration was affected by the biopsy treatment, but that this
365 was not detectable due to high variance and low sample number. Previous studies using
366 collagenase treatment to induce tendon injury in horses and rabbits have shown robust increases
367 in DNA in the injured tissue (10, 34), but these studies are not easily compared to the present data
368 due to injury severity and sampling site.

369

370 In summary, it is evident that the localized trauma leads to an increased cell activity even in a

371 distance of minimum 5 mm from the trauma in tendon tissue, but it is not possible based on the
372 present data to conclude whether an increase in cell number is partly responsible for the increase
373 in cell activity.

374 **Increased expression of collagen and TGF- β 1 after biopsy treatment**

375 With regard to changes in expression of specific mRNA targets in response to the needle and
376 biopsy treatments, the most robust changes were seen in type I and III collagen. It was clear that
377 the biopsy treatment, but not the needle treatment, led to increased collagen III expression, and a
378 very similar picture was seen for type I collagen (Fig 5A & B). The induced expression of collagen I
379 and III suggests that the local tendon fibroblasts are activated by the biopsy treatment, as such an
380 expression profile would not be expected if the increase in cell activity (total RNA increase) was
381 mainly caused by an invasion of immune cells. To further investigate a possible immune cell
382 response, CD68 was measured, as this gene known to be expressed at high levels in macrophages
383 (19). However, the level of CD68 expression was unaffected by the treatment (Fig. 8C) and was in
384 fact high in both control and treated tendon tissue (absolute values not shown). The level of CD68
385 expression corresponded to that of e.g. fibromodulin and CTGF (though a direct comparison of
386 absolute values is not appropriate to make due to potential variation in the efficiency of the cDNA
387 synthesis for different targets), and notably the level of CD68 expression was far higher than what
388 we have observed in human skeletal muscle (personal observation). This observation, combined
389 with a previous study showing that fibroblasts express relatively high levels of CD68 (15), indicates
390 that perhaps the tendon fibroblasts, and not macrophages, are the major source of CD68
391 expression in the tendon samples. An almost absent expression of MMP12, a proteinase that is
392 also expressed at high levels in macrophages (43), was found in both control and treated tendon,
393 and this supports that no large influx of macrophages was induced by the treatment. This

394 speculation is further supported by the fact that at least no dramatic increase occurred in DNA
395 content.

396 With regard to the other mRNA targets related to the structural tendon matrix, no changes were
397 seen in decorin, tenascin-C, fibromodulin and tenomodulin, while fibronectin had a generally
398 higher level of expression in the biopsy group. It may be suspected that the main effect on
399 fibronectin is largely caused by an increased expression in response to the biopsy treatment, but
400 this cannot be concluded by the present data. However, an effect of the biopsy treatment on both
401 collagen (I and III) and fibronectin would fit theoretically with the evident induction of TGF- β 1
402 seen in response to the biopsy treatment (Fig. 7A), since TGF- β 1 is known to induce both collagen
403 and fibronectin expression (20, 25, 32, 44). Several studies on severe injury in animal tendons have
404 found substantial increases in expression levels of collagen I and/or collagen III (4, 10, 14, 31) as
405 well as in TGF- β 1 (8, 10, 14, 39) in the damaged tissue compared to control tendon. In addition,
406 one study in humans found elevated type I collagen expression in ruptured Achilles tendon tissue
407 (23). The present study adds information to these observations by showing that even a moderate
408 trauma can lead to increased TGF- β 1 expression along with collagen I and III expression, and that
409 this response is not restricted to the actual damaged tissue but spreads out through the tendon
410 and lasts for several weeks.

411 An increase in TGF- β 1 action may well be the cause of the found increase in collagen expression,
412 but other growth factors, such as IGF-I and CTGF, as well as the transcription factor scleraxis may
413 also be involved. Both IGF-I and CTGF have been suggested to be part of the tendon healing
414 response and both are known to induce collagen expression (6, 12, 13, 16). The transcription
415 factor scleraxis is known to be involved in tendon development and to induce collagen I

416 expression (9, 28). However, we observed no differences in the expression level of either IGF-I,
417 CTGF or scleraxis in treated versus control tendon.

418

419 With regard to IGF-I, this observation differs from previous studies on animals (rabbit, horse and
420 chicken) in which increased levels of IGF-I expression were seen in response to severe tendon
421 damage (8, 39), and in some studies this elevation persisted even at late time points possibly
422 corresponding to the one we have investigated (5, 10). Many potential explanations for this
423 divergence exist, including severity of the injury, species difference and timing of tissue sampling.

424 With regard to the CTGF response to tendon injury, two studies on chick flexor tendon showed an
425 increase in expression shortly after tendon transection (3-7 days) (8, 39), while a similar
426 experiment in rabbit flexor tendon showed unaltered CTGF expression in the early healing phase
427 and a down-regulation in the late healing phase (24 days) (4). The decrease in CTGF in the late
428 healing phase is supported by the data on chick tendon from Chen et al. (8). Based on these
429 previous observations it does not seem surprising that CTGF expression was unaffected at 4 weeks
430 after treatment, although it cannot be excluded that a change occurred at an earlier time point.

431 Previous studies of scleraxis expression in healing tendon show variable results, with some studies
432 showing an increase in expression in response to tendon injury (24) and others finding no change
433 (14, 41).

434

435 In summary, the biopsy treatment induced an expression of both type I and III collagen that
436 persisted for 4 weeks after treatment, and based on the expression of collagen-inducing growth
437 factors it seems likely that an increased TGF- β 1 action is - at least partly - involved in the induction
438 of collagen mRNA expression at this time point, while CTGF and IGF-I seem less likely to be

439 involved.

440 **VEGF expression**

441 VEGF expression was measured in control and treated tendon to assess a potential angiogenic
442 response to the induced trauma, but no effect was detected at 4 weeks after the trauma (Fig. 8B).
443 In adult human healthy tendons the VEGF expression on both mRNA and protein level is suggested
444 to be very low or absent, while it is expressed in both fetal tendon and ruptured adult tendon
445 tissue (36). In addition, previous studies of tendon injury and healing of chick flexor tendon show
446 substantial increases in VEGF mRNA in the early healing phase (3-7 days) but at later time points
447 the expression level was normalized (8, 39). Thus, trauma in tendon tissue seems a potent
448 stimulus for inducing VEGF expression, but our data suggest that either this effect does not spread
449 out from the site of the trauma or that the effect is short lasting also in human tendon (< 4 weeks).

450 **The tendon response to needle and biopsy treatment**

451 There was a clearly more pronounced effect of the biopsy treatment than of the needle treatment
452 on type III collagen and TGF- β 1 expression, and though only a main effect of treatment was found
453 for the total RNA content and type I collagen expression, this was most likely driven by the effect
454 of the biopsy treatment ($p=0.081$ (RNA) $p= 0.053$ (collagen I) for interaction, Fig. 4 & 5). Therefore
455 it is unlikely there was any effect the needle treatment at all. The greater effect of the biopsy
456 treatment may be related to the fact that the thick biopsy needle cuts through the collagen
457 fascicles in the site of penetration, while it may be suspected that the thin needle could penetrate
458 in between fascicles and thus no or minimal cutting would occur. The cutting of fibrils is very likely
459 to generate a marked response in the tendon cells due to the sudden unloading of the matrix and
460 thereby the cells (2, 27) and evidently this response is propagated to the surrounding tissue, as the

461 treatment response was observed in an area separated from the actual injury site. The spreading
462 of the response fits well with previous evidence of gap junctions between tendon cells that allow
463 communication between them in both lateral and vertical directions (29). Whether the observed
464 response is favorable in relation to tendon healing is questionable. The general increase in cell
465 activity could promote healing, and such cell activation may explain why needle penetrations (5-50
466 penetrations) of tendinopathic tendons seem beneficial in some cases (26, 30, 37, 38). On the
467 other hand the gene expression profile with high TGF- β 1, along with high type I and III collagen
468 expression (and possibly fibronectin) is partly similar to what is seen in tendinopathic tendon (35),
469 although we did not observe changes in tenascin-C, fibromodulin or decorin quite corresponding
470 to that observed in tendinopathy (35). Furthermore, we saw no up-regulation of MMP13, an
471 enzyme that is induced by tendon fascicle damage and/or unloading (27), though studies in vitro
472 on rat tail tendon showed that the response was localized to cells located in the site of damage
473 (27).

474 **Limitations**

475 One limitation of the study is that it is only possible to measure the effect of the trauma at one
476 time-point in each person. Due to this limitation we cannot determine a time-line with regard to
477 changes in expression of relevant genes, and thus we cannot rule out that the seemingly un-
478 responsive target genes actually responded at earlier or later time-points than where our
479 observations are made. An additional limitation is the relatively low number of subjects due to
480 unforeseeable dropouts. This low number reduces the confidence with which we can conclude
481 that there was no effect of treatment, especially for the parameters that showed high levels of
482 variation. These parameters include DNA content, and expression levels of TGF- β 2, TGF- β 3,
483 fibromodulin, tenascin-C and tenomodulin.

484 **Conclusion**

485 In conclusion tendon cells can be activated by trauma, and this activation seems to be based on an
486 increased activity, and perhaps proliferation, of the local tendon cells. Importantly, the response is
487 not restricted to the actual damage site but propagates at least 5 mm from the sites of damage. As
488 tendons have relatively low tissue turnover and cell activity, and show poor resolution of
489 tendinopathic conditions, the present findings of increase in cell activity after trauma could
490 explain a potential beneficial effect of dry needling in the treatment of tendinopathy. Finally, the
491 findings have implications for design of studies with tendon biopsy sampling, as they clearly show
492 that it is not feasible to perform repeated biopsy sampling of tendon tissue from the same tissue,
493 unless the aim is to study the specific effect of trauma itself.

494

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637 patellar tendon fibroblasts in response to cyclic uniaxial stretching in serum-free conditions.
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639

640

641

642 Figure legends

643 **Figure 1**

644 **RNA content (A) and mRNA expression for GAPDH (B), COL1A1 (C) and Scleraxis (D)** was

645 measured in patellar tendon tissue before the intervention (pre), after three weeks of unilateral

646 limb suspension (post ULLS) and after three subsequent weeks of resistance training (post RT).

647 mRNA levels were normalized to RPLP0 mRNA. Data are shown as individual values for first

648 biopsies (open circles) and repeated biopsies (filled circles). In the first half of the participants

649 (n=5) all three biopsies were obtained from the leg that was subjected to ULLS and therefore

650 these persons had one first- and two repeated biopsies. In the remaining persons (n=4) the pre-

651 biopsy was taken in the contra-lateral leg (not subjected to ULLS), while the post ULLS and post RT

652 biopsies were taken from the leg that was subjected to ULLS, and therefore these persons have

653 two first- and one repeated biopsy. At the pre time-point the scleraxis mRNA level was below the

654 detection limit in three samples (D).

655 **Figure 2**

656 **Illustration of the interventions in study II.** Participants in the needle group were subjected to

657 two penetrations of the patellar tendon with a 21-gauge needle on the first day of the study (A),

658 while participants in the biopsy group had one biopsy taken from the patellar tendon (B). For both

659 groups this initial trauma was localized slightly to the medial side of the tendon. After 4 weeks, all

660 participants had biopsies taken from both the treated and the control tendon. These biopsies

661 were taken slightly to the lateral side of the tendon and at least 5 mm from the initial site of

662 trauma (initial trauma shown by short black line on treated tendon).

663 **Figure 3**

664 **Tendon GAPDH mRNA** normalized to RPLP0 mRNA, presented as fold changes relative to the
665 mean of control values from both needle and biopsy groups. Values are geometric means \pm SE.
666 Mean values for the ratio between control and treated leg (within subjects) for the needle and
667 biopsy groups are shown in small inset graphs (top right). P-values for the two way repeated
668 measures ANOVA are shown (top left).

669 **Figure 4**

670 **Concentrations of A) RNA and B) DNA (per tissue wet-weight) and C) RNA/DNA ratios**, presented
671 as fold changes relative to the mean of control values from both needle and biopsy groups. Values
672 are geometric means \pm SE. A value of 1 corresponds to approx. 38 ng RNA/mg tissue and 135 ng
673 DNA/mg tissue. Mean values for the ratio between control and treated leg (within subjects) for
674 the needle and biopsy groups are shown in small inset graphs (top right). The RNA concentration
675 was higher in treated versus controls (main effect of leg) (# $p < 0.05$). P-values for the two way
676 repeated measures ANOVA are shown, with bold text indicating significant p-values (lower left).

677 **Figure 5**

678 **Tendon COL1A1, COL3A1, COL12A1 and fibronectin mRNA** normalized to RPLP0 mRNA, presented
679 as fold changes relative to the mean of control values from both needle and biopsy groups. Values
680 are geometric means \pm SE. Mean values for the ratio between control and treated leg (within
681 subjects) for the needle and biopsy groups are shown in small inset graphs (top right). COL1A1
682 expression was higher in treated versus controls (main effect of leg) (# $p < 0.05$). COL3A1
683 expression was higher in biopsy treated compared to both biopsy control and needle treated (***)
684 $p < 0.001$). Fibronectin had higher expression levels in the biopsy group (main effect of group) (\$

685 p<0.05). P-values for the two way repeated measures ANOVA are shown, with bold text
686 highlighting significant p-values (top left).

687 **Figure 6**

688 **Tendon decorin, fibromodulin, tenascin-C and tenomodulin mRNA** normalized to RPLP0 mRNA,
689 presented as fold changes relative to the mean of control values from both needle and biopsy
690 groups. Values are geometric means \pm SE. Mean values for the ratio between control and treated
691 leg (within subjects) for the needle and biopsy groups are shown in small inset graphs (top right).
692 P-values for the two way repeated measures ANOVA are shown, with bold text highlighting
693 significant p-values (top left).

694 **Figure 7**

695 **Tendon TGF- β 1, TGF- β 2, TGF- β 3, CTGF, IGF-IEa and scleraxis mRNA** normalized to RPLP0 mRNA,
696 presented as fold changes relative to the mean of control values from both needle and biopsy
697 groups. Values are geometric means \pm SE. Mean values for the ratio between control and treated
698 leg (within subjects) for the needle and biopsy groups are shown in small inset graphs (top right).
699 TGF- β 1 expression was higher in biopsy treated compared to biopsy control (**p<0.001) and
700 needle treated (*p<0.05). P-values for the two way repeated measures ANOVA are shown, with
701 bold text highlighting significant p-values (top left).

702 **Figure 8**

703 **Tendon VEGFa, IL-6 and CD68 mRNA** normalized to RPLP0 mRNA, presented as fold changes
704 relative to the mean of control values from both needle and biopsy groups. Values are geometric
705 means \pm SE. Mean values for the ratio between control and treated leg (within subjects) for the

706 needle and biopsy groups are shown in small inset graphs (top right). P-values for the two way

707 repeated measures ANOVA are shown (top left).

708

709

710 Table 1

mRNA Target	Sense Primer	Anti-sense primer	Accession No.
CD68	CAGCTTTGGATTCATGCAGGACC	CTCTGCCCCAGGGGTGCTTG	NM_001040059.1
Collagen I (COL1A1)	GGCAACAGCCGCTTACCTAC	GCGGGAGGACTTGGTGGTTTT	NM_000088.3
Collagen III (COL3A1)	CACGGAAACACTGGTGGACAGATT	ATGCCAGCTGCACATCAAGGAC	NM_000090.3
Collagen XII (COL12A1)	CCCAGGTCCTCTGGATACTGTGA	GCAGCACTGGCGACTTAGAAAATGT	NM_004370.5
Connective tissue growth factor (CTGF)	TGCGAAGCTGACCTGGAAGAGA	GCCGTCGGTACATACTCCACAGAA	NM_001901.2
Decorin (DCN)	GGTGGGCTGGCAGAGCATAAGT	TGTCCAGGTGGCAGAAGTCA	NM_001920.3
Fibromodulin (FMOD)	CAGTCAACACCAACCTGGAGAACC	TGCAGAAGCTGCTGATGGAGAA	NM_002023.4
Fibronectin (FN1)	TTTGCTCTGCACATGCTTT	TAGTGCCTTCGGGACTGGGTTT	NM_212482.1
GAPDH	CCTCCTGCACCACCAACTGCTT	GAGGGGCCATCCACAGTCTTCT	NM_002046.5
Insulin like growth factor-I (IGF1-Ea)	GACATGCCAAGACCCAGAAGGA	CGGTGGCATGCTACTTCTCACTC	NM_001111284.1
Interleukin-6 (IL6)	GAGGCACTGGCAGAAAACAACC	CCTCAAACCTCAAAAAGACCAGTGATG	NM_000600.3
Ki-67 (MKI67)	CGGAAGAGCTGAACAGCAACGA	GCGTCTGGAGCGCAGGGATA	NM_002417.4
Matrix metalloproteinase 12 (MMP12)	TCAACACATTTGCCTCTCTGCT	GGATTTGGCAAGCGTTGGTCTT	NM_002426.4
Matrix metalloproteinase-13 (MMP-13)	CCTGATGACGATGTACAAGGGA	TGGCATCAAGGGATAAGGAAGGG	NM_002427.3
Myostatin (MSTN)	TGCTGTAACCTTCCCAGGACCA	GCTCATCACAGTCAAGACCAAAATCC	NM_005259.2
Ribosomal protein, large, P0 (RPLP0)	GGAAACTCTGCATTCTCGCTTCTT	CCAGGACTCGTTTGTACCCGTTG	NM_001002.3
Scleraxis (SCX)	CAGCCCAAACAGATCTGCACCTT	CTGTCTTTCTGTCCGGTCTT	NM_001080514.2
Tenascin-C (TNC)	CAACCATCACTGCCAAGTTCACAA	GGGGGTCGCCAGGTAAGGAG	NM_002160.3
Tenomodulin (TNMD)	GAAGCGGAAATGGCACTGATGA	TGAAGACCCACGAAGTAGATGCCA	NM_022144.2
Transforming growth factor- β 1 (TGFB1)	GAGGTCACCCGCGTGCTAATG	CACGGGTTCAAGTACCGCTTCT	NM_000660.5
Transforming growth factor- β 2 (TGFB2)	CCCAAAGCCAGAGTGCCTGAA	ATGTAGCGCTGGGTTGGAGATG	NM_001135599.2
Transforming growth factor- β 3 (TGFB3)	CTGTGCGTGAGTGGCTGTTGAG	CTCCATTGGGCTGAAAGGTGTG	NM_003239.3
Tumor necrosis factor- α (TNF)	TTCCCAGGGACCTCTCTAATC	GAGGGTTTGCTACAACATGGGCTAC	NM_000594.3
Vascular endothelial GFa (VEGFA)	ATGACGAGGGCCTGGAGTGTGT	CTCCTATGTGCTGGCCTTGGTG	NM_001025366.2

711 Primer sequences used for real-time RT-PCR

712

713

Figure 2 – Study II overview

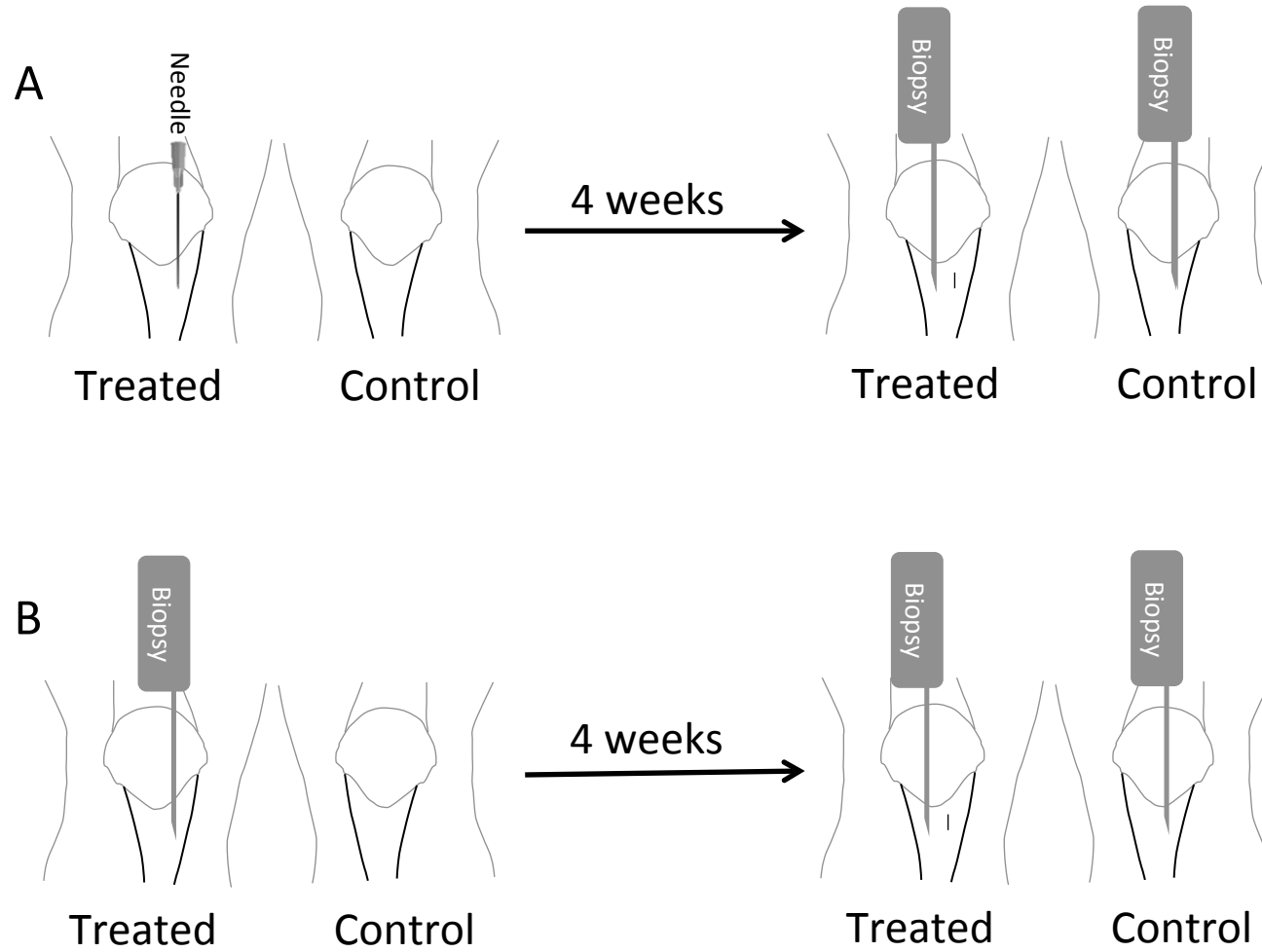


Figure 3

GAPDH mRNA

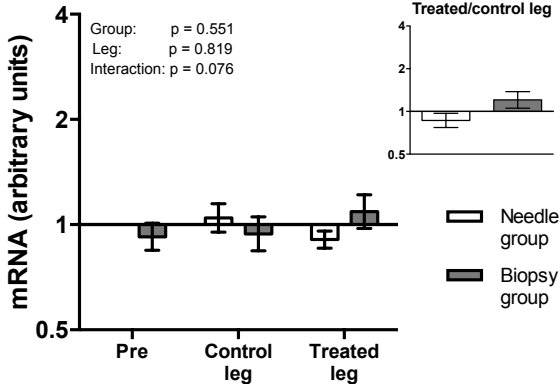


Figure 4

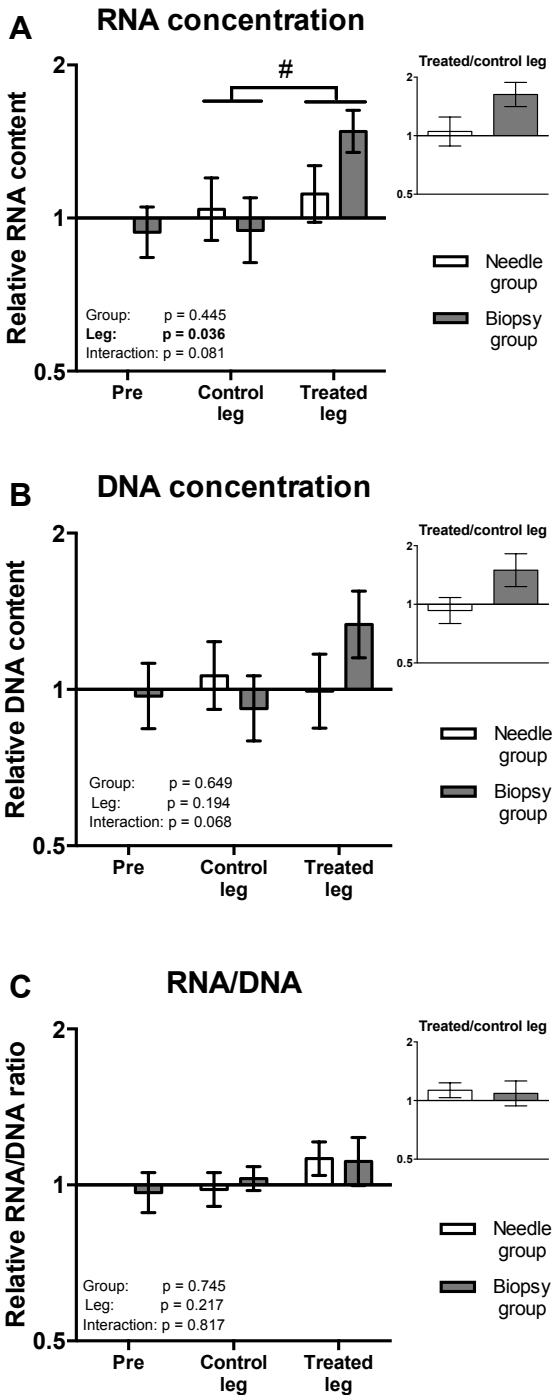


Figure 5

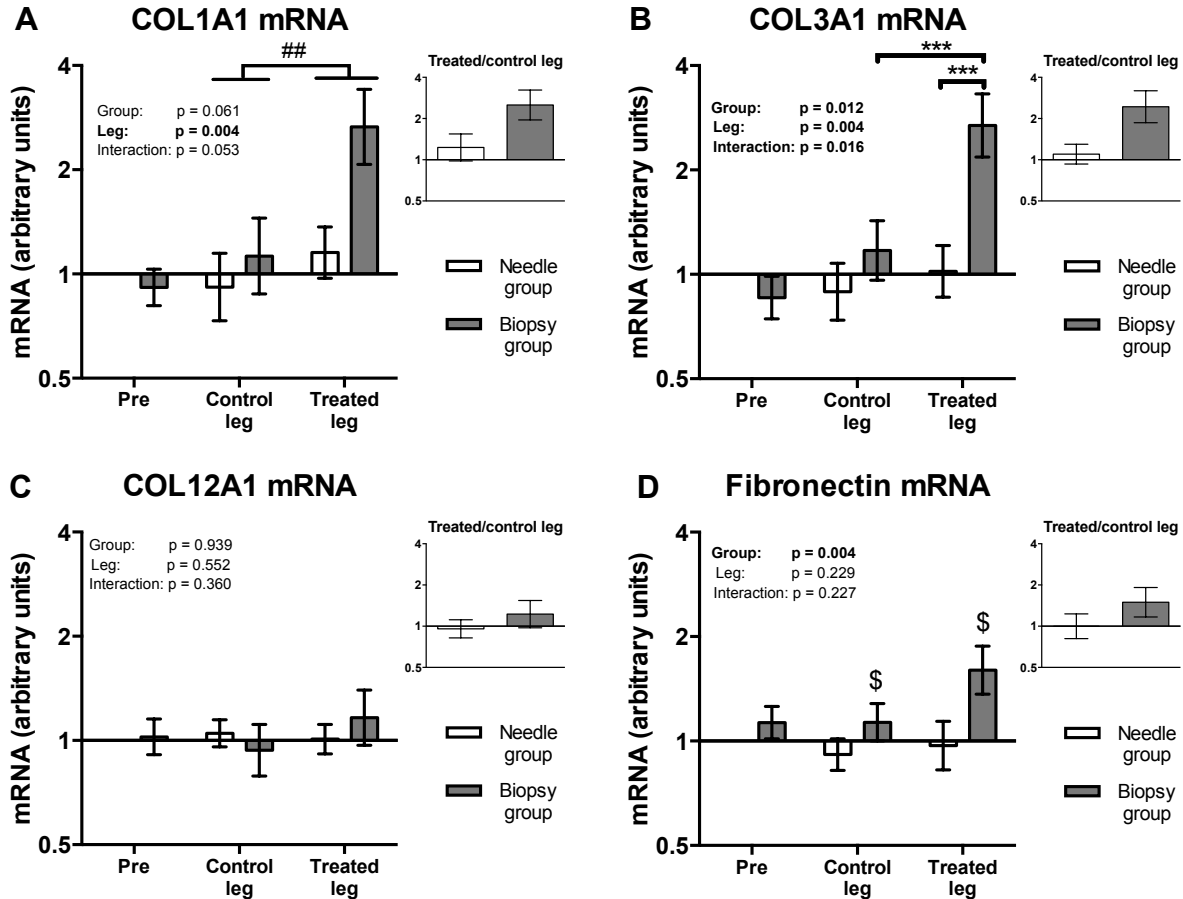


Figure 6

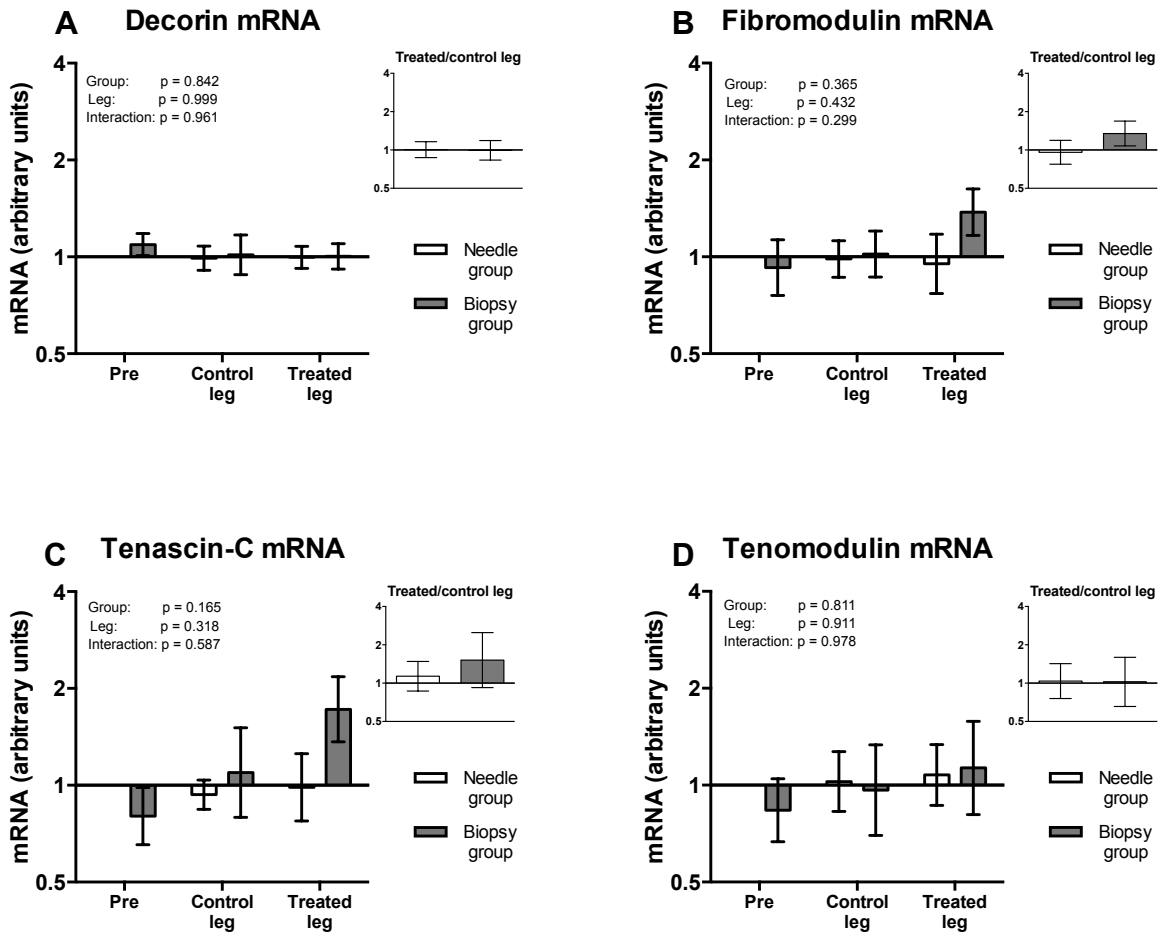
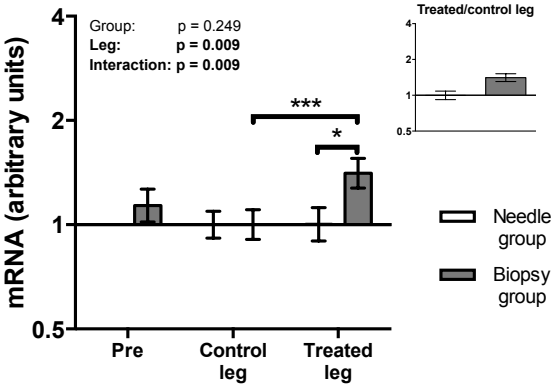
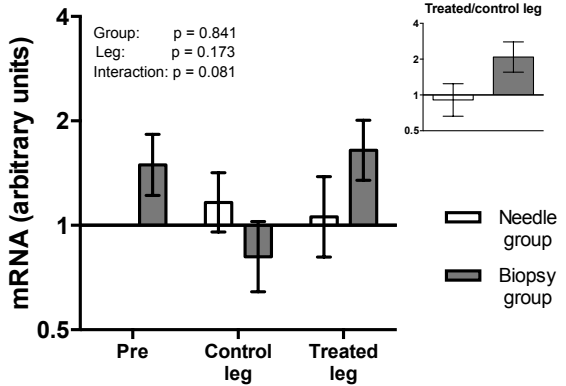


Figure 7

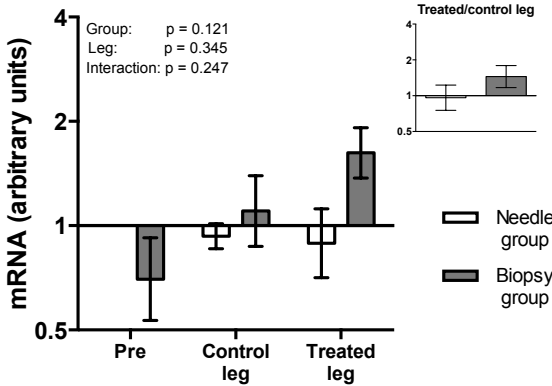
A TGF- β 1 mRNA



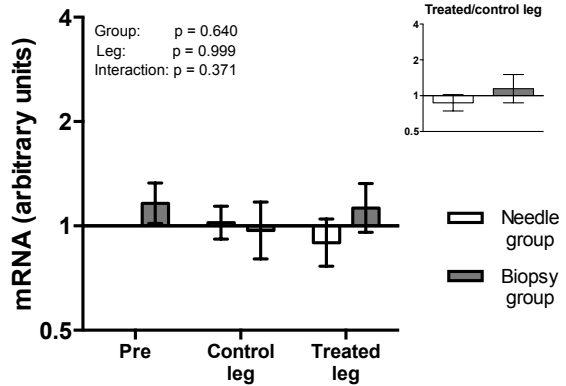
B TGF- β 2 mRNA



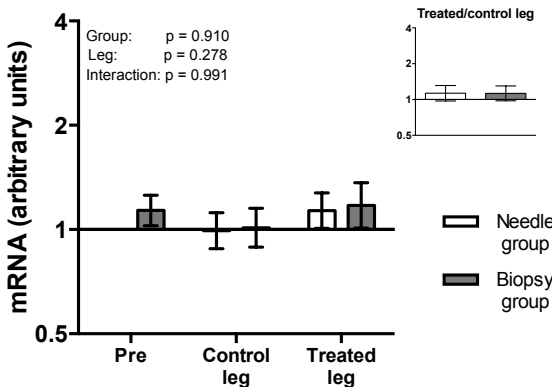
C TGF- β 3 mRNA



D CTGF mRNA



E IGF-IEa mRNA



F Scleraxis mRNA

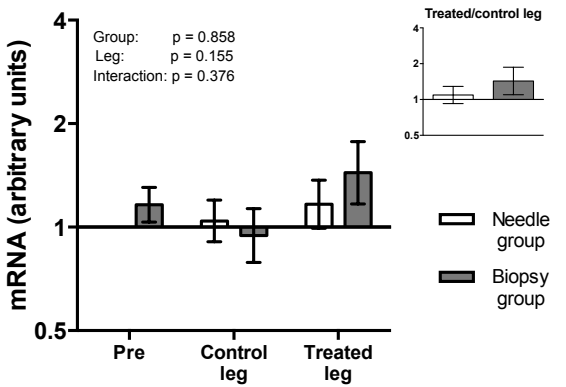


Figure 8

