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1 **Front Crawl Body Roll Characteristics in a Paralympic Medallist and**
2 **National Level Swimmers with Unilateral Arm Amputation.**

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20 192 words (Abstract)

21 4685 words (Main text: Introduction-Conclusion)

22

23 **Front Crawl Body Roll Characteristics in a Paralympic Medallist and** 24 **National Level Swimmers with Unilateral Arm Amputation.**

25

26 The purpose of this study was to establish the asymmetry and body wave
27 characteristics related to shoulder, hip, knee, and ankle roll in unilateral arm
28 amputee swimmers. Three unilateral arm amputee swimmers, including one
29 Paralympic medallist (swimmer A), volunteered in this study. They conducted
30 two 10-15s front crawl tests with sub-maximum and maximum speeds in a flume.
31 Shoulder, hip, knee, and ankle roll amplitude and progression of a torsional body
32 wave was quantified using a motion capture system and a Fourier analysis.
33 Swimmer A showed 50% higher stroke frequency than the other swimmers.
34 Swimmers achieved larger shoulder roll amplitude toward the affected than the
35 unaffected side by 19 – 89%. Swimmer A showed body wave velocity slowing
36 down when it travelled caudally, while national level swimmers presented
37 increasing wave velocity, suggesting that swimmer A had a less effective kicking
38 than the other swimmers. In conclusion, the technique of the unilateral arm
39 amputee swimmers was characterised by a large shoulder roll angle toward the
40 affected side. The Paralympic medallist had larger shoulder roll asymmetry and
41 less effective kicking than the other swimmers and yet achieved higher
42 swimming speed because of his high stroke frequency.

43 Keywords: swimming; kinematics; shoulder roll; rhythm; motor control

44 **Introduction**

45 In sports and exercise, adaptations of the training and technical guides are often
46 necessary for physically impaired individuals (DePauw, 1988). Therefore, a good
47 knowledge of skills related to performance is important in disability sports. This is
48 particularly important in swimming since a poor technique reduces swimming
49 performance due to low propulsive forces or the increasing hydrodynamic drag
50 (Zamparo, Gatta, Pendergast, & Capelli, 2009).

51 In front crawl swimming, which is the fastest swimming technique, primary
52 propulsion (approximately 90 %) is produced by upper limbs (Deschodt, Arzac, &

53 Rouard, 1999; Gourgoulis et al., 2014). This means that people who do not have
54 adequate arm motion would have difficulty in achieving fast swimming speed.
55 Unilateral arm-amputee swimmers probably need technical skills that differ from those
56 of non-impaired front crawl swimmers since they would have to have a technique which
57 offsets rotational torque around their sagittal axis of the body produced by asymmetric
58 propulsive forces produced by the affected and unaffected limbs. The alternating left
59 and right arm strokes are accompanied by angular motion of the body about its long axis
60 (Psycharakis & Sanders, 2010), which is called body roll and can be divided into the
61 shoulder, hip, knee, and ankle roll (Figure 1). Therefore, it is likely that unilateral arm-
62 amputee swimmers need specific instruction not only for the motion of the upper limbs
63 but also for the body roll technique.

64 There have been studies that focus on front crawl technique of unilateral arm
65 amputee swimmers. For example, Osborough, Payton, & Daly (2010) reported that
66 unilateral arm amputee swimmers have asymmetric coordination between unaffected
67 and affected limbs. The same authors also provided evidence of kicking patterns
68 varying among this group of swimmers (Osborough, Daly, & Payton, 2015). It has also
69 been reported that maximum shoulder roll amplitude of 45° during the underwater arm
70 motion increases the propulsion produced by the affected limb by 70% compared to the
71 roll amplitude of 0° condition (Lecrivain, Payton, Slaouti, & Kennedy, 2010). This
72 evidence about the relationship between shoulder roll angle and propulsive forces leads
73 to speculation that elite unilateral arm amputee swimmers might show large shoulder
74 roll asymmetry.

75 Research also indirectly suggests the possibility of shoulder roll asymmetry of
76 unilateral arm amputee swimmers from another perspective. A primary source of the
77 roll of the entire body is the buoyant torque acting on the swimmer (Yanai, 2004).

78 When a swimmer conducts a recovery motion in front crawl, the centre of buoyancy
79 shifts away from the centre of mass on the plane perpendicular to the longitudinal axis
80 of the swimmer, which generates a rotational effect on the body. The magnitude of the
81 buoyancy depends on the volume of submerged parts of the swimmer's body.
82 Therefore, it is reasonable to hypothesise that unilateral arm amputee swimmers, who
83 have a lower volume of the upper limb on the affected side, would have an asymmetric
84 roll amplitude of the entire body (larger roll amplitude toward the amputee side than the
85 other side). Given that the entire body roll accounts for 50% of the shoulder roll (Yanai,
86 2003), it is possible that these swimmers would also have a larger shoulder roll
87 amplitude toward the affected side than the non-affected side.

88 Figueiredo, Willig, Alves, Vilas-boas, & Fernandes (2014) investigated
89 relationships between biomechanical and physiological variables in a female unilateral
90 front crawl swimmer. They reported that the swimmer increased her energy expenditure
91 per unit of distance (energy cost) as swimming speed increased. However, Morris,
92 Osborne, Shephard, Skinner, & Jenkins (2016) showed no relationship between
93 swimming speed and energy cost in non-impaired female front crawl swimmers. Even
94 though the former study only reported data of one swimmer, these results imply that
95 unilateral arm amputee swimmers are technically ineffective compared with non-
96 impaired swimmers. Indeed, the authors of the former study also reported high intra-
97 cycle velocity variation in the unilateral arm amputee swimmer compared with non-
98 impaired swimmers, which also supported the speculation.

99 Another difference between unilateral arm amputee swimmers and non-impaired
100 swimmers is the importance of stroke length and frequency. A primary determinant of
101 front crawl performance in non-impaired swimmers is long stroke length (Craig,
102 Skehan, Pawelczyk, & Boomer, 1985; Hellard et al., 2008), while that in unilateral arm

103 amputee is high stroke frequency (Osborough, Payton, & Daly, 2009). Given that high
104 stroke frequency leads to great energy being expended (Barbosa, Fernandes, Keskinen,
105 & Vilas-Boas, 2008; Zamparo, Pendergast, Mollendorf, Termin, & Minetti, 2005), it is
106 possible that unilateral arm amputee swimmers sacrifice efficiency to a greater extent
107 than non-impaired swimmers when achieving high swimming speed.

108 A potential explanation that links the biomechanical and energetic
109 characteristics in unilateral arm amputee swimmers is a rolling rhythm. Sanders &
110 Psycharakis (2009) investigated a body roll rhythm by dividing the shoulder, hip, knee,
111 and ankle roll angle into three waves (Figure 2). The waves are the fundamental
112 frequency with one maxima/minima (due mostly to the rolling motion of the upper
113 body, H1), the second harmonic with two maxima/minima (produced by the
114 hydrodynamic torque produced by continuous upward and downward stroke motion of
115 the upper limbs, H2), and the third harmonic with three maxima/minima (caused by
116 hydrodynamic torque produced by three alternate kicking actions of the legs – six-beat
117 kicking pattern, H3).

118

119 ****Figure 1 near here****

120 ****Figure 2 near here****

121

122 They reported that skilled competitive swimmers were characterised by H3
123 wave travelling from hip to ankles with modest and increasing velocity. From the
124 results, they suggested that those swimmers conducted their leg kicking during front
125 crawl swimming with a more effective manner than less skilled swimmers from a
126 hydrodynamic perspective. This suggestion was based on evidence that efficient

127 propulsion from caudal transmission of body waves in marine animals is characterised
128 by wave velocities relative to the body that are slightly faster than their forward motion
129 with a tendency to increase as it travels caudally, which shows high propulsive
130 efficiency (Sfakiotakis, Lane, & Davies, 1999). Sanders & Psycharakis also observed
131 H1 contribution remaining strong in knee and ankle roll, suggesting that the wave
132 originating in the upper body influenced the rhythm and range of the motion of the
133 lower limbs.

134 Given that unilateral arm amputee swimmers have a variety of kicking patterns
135 (Osborough, Daly, & Payton, 2015) and there is a possibility of the asymmetric rolling
136 motion of the shoulder and/or the entire body (Lecrivain et al., 2010; Yanai, 2003,
137 2004), it is possible that unilateral arm amputee swimmers have rolling rhythms that
138 differ from that in non-impaired swimmers. In the light of evidence suggesting the
139 possibility of the energy cost difference between unilateral arm amputee and non-
140 impaired swimmers, unilateral arm amputee swimmers might show ineffective manners
141 of rolling rhythm (such as too fast body wave velocity). Considering the evidence of
142 stroke frequency being important in unilateral arm amputee swimmers (Osborough et
143 al., 2009), it is likely that this group of swimmers would show fast body wave velocities
144 because high stroke frequency requires swimmers to transfer the body wave quickly at a
145 given swimming speed.

146 In the light of the links between the rolling kinematics and
147 physiological/biomechanical aspects in front crawl, understanding the kinematic
148 characteristics in unilateral arm amputee swimmers and their differences from those in
149 non-impaired swimmers would be useful as fundamental knowledge of front crawl
150 technique in swimmers with the amputation. Therefore, the purpose of the present study
151 was to establish the body roll asymmetry and wave characteristics (phase angle and

152 velocity) related to body roll between shoulder-hip, hip-knee, and knee-ankle in
153 unilateral arm amputee swimmers. We hypothesised that shoulder roll amplitude of
154 unilateral arm amputee swimmers is larger toward the affected side than the unaffected
155 side, and unilateral amputee swimmers would show fast caudal wave velocity (much
156 faster than the forward swimming speed) between shoulder-hip, hip-knee, and knee-
157 ankle roll.

158 **Methods**

159 *Participants*

160 Three unilateral arm amputee swimmers (Table 1) participated in the present
161 study. All participants had a unilateral amputation at elbow level. Swimmer A had won
162 a medal in a front crawl event of Paralympic Games, and the other two had experiences
163 in competing at front crawl finals of national Para-swimming competitions. All athletes
164 were competing at official competitions in S9 class, which is categorised as the second
165 most functional group among physical impairment classes in front crawl, backstroke,
166 and butterfly events (Daly & Vanlandewijck, 1999; International Paralympic
167 Committee, 2018). At the time of the testing, the sport class status of swimmer A, B,
168 and C was ‘C (the classification status had been internationally confirmed)’, ‘J (the
169 classification status had been nationally confirmed)’, and ‘R2020 (the classification
170 status had been internationally approved with a condition of future status review in
171 2020)’, respectively. The ethics committee of the university approved the purpose,
172 procedure, and potential risks of the present study. Swimmer A and Swimmer B
173 provided their written informed consent by themselves. For Swimmer C, both the
174 swimmer and her parent gave the consent for the participation.

175

176 **Table 1 near here**

177 ***Procedures***

178 The testing session was conducted in an indoor water flume (Igarashi Industrial
179 Works Co. Ltd., Japan), which was designed to control the water flow velocity from 0.0
180 to 2.5 m/s. With this system, each swimmer was required to swim against the water
181 flow so that the swimmer could maintain his/her position in the flume while achieving
182 comparable exercise intensity and motion as the swimmer does when swimming with
183 the corresponding swimming speed in the pool. The participants conducted their
184 individual warm up before the testing in an indoor pool and the flume, which included
185 familiarisation of the testing environment.

186 The swimmers were marked on their Styloid Process of Ulna (wrist), Lateral
187 Epicondyle of Humerus (elbow), Acromion Process (shoulder), Greater Trochanter
188 (Hip), Lateral Epicondyle of Femur (knee), and Lateral Malleolus (ankle) for each side
189 of the body using active light-emitting diode (LED) markers, and a motion capture
190 system was used to analyse the motion of the swimmers (VENUS3D, Nobby Tech. Ltd.,
191 Tokyo, Japan). A total of 24 motion capture cameras (four for the above water and 20
192 for the underwater area) were positioned around the flume, and the area the swimmers
193 were required to perform in the flume was calibrated to obtain three-dimensional (3D)
194 object space coordinates using a Direct Linear Transformation method before the
195 testing. A dynamic calibration method was used for the calibration process, and mean
196 reconstruction errors were 0.5 and 0.8 mm for above and under the water surface area,
197 respectively. The reconstruction error represents the mean difference between the
198 location of the centre of wand markers detected by each camera, which was obtained
199 using reconstructed coordinates and each camera coordinate (residual error). The
200 definition of the 3D coordinates was X-direction (swimming direction), Y-direction
201 (vertical direction) and Z-direction (the direction perpendicular to X- and Y-directions).

202 The approximate volume was 3.75 m² (2.5 m in the X-direction, 1.0 m in the Y-
203 direction, and 1.5-m in the Z direction) for both above and underwater calibrated space
204 (a total volume of 7.5 m²).

205 The swimmers performed two 10-15 s swim trials in the flume with their sub-
206 maximum and maximum effort. The flow velocity of the maximum effort trial was
207 determined a day before the testing using the same flume, and the sub-maximum speed
208 was 90% flow velocity of that in the maximum trial. This was based on the rationale
209 that 90% of maximum swimming velocity corresponding to approximate 200 m race
210 velocity (Seifert, Boulesteix, & Chollet, 2004; Seifert, Chollet, & Bardy, 2004), which
211 is comparable to a previous study that used a Fourier analysis for a body roll
212 investigation (Sanders & Psycharakis, 2009). The flow velocities of sub-maximum and
213 maximum trials were 1.63 and 1.80 m/s for swimmer A, 1.30 and 1.43 m/s for swimmer
214 B, and 1.25 and 1.35 for swimmer C, respectively.

215 *Data processing and analysis*

216 The obtained coordinate raw data were treated in VENUS3D software. Using
217 the software, error data due to the LED light reflection at the water surface were
218 excluded, and the coordinate data of each joint of the swimmers were smoothed using a
219 Butterworth low-pass filter with a cut-off frequency of 6 Hz. Seven complete stroke
220 cycles (defined as the duration between the entry of the right wrist to the water and the
221 subsequent entry of the same wrist), which did not contain the breathing motion (that
222 was checked by a video camera synchronised with the motion capture system), were
223 analysed.

224 The number of the stroke cycles achieved in one second (Stroke frequency; Hz)
225 was obtained by the inverse of each stroke cycle time. The roll angle of each joint pair

250 where A_0 models a constant term in the data, w is the fundamental frequency of the
251 signal, and n is the number of harmonics in the series. The amplitude of n th frequency,
252 contribution by each frequency to the mean square value of the average power of the
253 signal, phase angle and wave velocity of each frequency between shoulder-hip, hip-
254 knee, and knee-ankle were all calculated by the manner described in Sanders &
255 Psycharakis (2009).

256 *Statistical analysis*

257 The intraclass correlation (ICC) was calculated for all trials based on absolute-
258 agreement and two-way mixed-effects model to check the level of absolute agreement
259 between the best-fit curves and the original signals. In this study, means and standard
260 deviations of all variables were calculated. Cohen's d was calculated when comparing
261 variables between individuals or between the two trials. Based on Cohen's (1992)
262 suggestion, it was defined that effect sizes of 0.2 are small, 0.5 are moderate, and 0.8
263 are large. ICC was obtained using IBM SPSS Statistics 24 (IBM Corporation, Somers,
264 NY, USA), and Cohen's d was calculated by Microsoft Office Excel 2013.

265

266 **Results**

267 ICC calculated for all participants and trials showed excellent agreement
268 between the original curves and the best-fit models for all roll (Koo & Li, 2016).
269 Among all trials and stroke cycles, the smallest ICC observed was 0.998, 0.989, 0.970,
270 and 0.962 for shoulder, hip, knee, and ankle roll, respectively. All ICC coefficients
271 calculated in the present study were significant with $p < 0.001$

272

273 Figure 3 presents the stroke frequency of the swimmers. Swimmer A showed the
274 highest stroke frequency followed by swimmer B and swimmer C. Swimmer A, B, and
275 C increased their stroke frequency by 6.4, 10.1, and 3.6% ($d=3.86$, 3.26 , and 1.65) from
276 the sub-maximum speed trial to the maximum speed trial, respectively. Figure 4 shows
277 the maximum roll amplitude of each joint toward both the affected and unaffected side.
278 Swimmers rolled their shoulder toward the affected side more than toward the
279 unaffected side ($d=24.58$, 3.50 , and 1.57 at the sub-maximum trial, and $d=23.63$, 2.91 ,
280 and 0.90 at the maximum trial for swimmer A, B, and C, respectively), while they did
281 not show a common tendency in other roll amplitudes.

282

283 **Figure 3 near here**

284 **Figure 4 near here**

285

286 Table 2 and 3 display the contribution of each harmonic to the shoulder, hip,
287 knee, and ankle rolls at the sub-maximum and maximum trials, respectively. H1
288 contributed to the shoulder and hip roll the most in all three swimmers. H2 had the
289 largest contribution to the knee and ankle rolls in swimmer A and B, whereas H3
290 contributed the most to the knee and ankle rolls in swimmer C at both trials. The
291 contribution of H1 to the ankle roll amplitude was small (less than 4%) in all swimmers
292 at both trials.

293

294 **Table 2 near here**

295 **Table 3 near here**

296

297 Table 4 and Table 5 exhibit the phase angle of a dominant harmonic between shoulder-
298 hip, hip- knee, and knee- ankle and wave velocity travelling through these joint pairs,
299 respectively. All swimmers tended to roll their hip before the shoulder, knee, and ankle.
300 Swimmer A showed H2 velocity slowing down when it travelled from the hip to the
301 ankle. On the other hand, swimmer B and C presented increasing H2 (swimmer B) and
302 H3 (swimmer C) wave velocity as it travelled caudally.

303

304 **Table 4 near here**

305 **Table 5 near here**

306

307 **Discussion and Implications**

308 In the present study, best-fit equation models were used for the Fourier analysis
309 rather than analysing the original signal. The absolute agreement between the original
310 segment roll signals and the models were very high ($ICC > 0.95$). This result showed
311 that the modelled signal accurately represented the original segment roll signals, and the
312 assumption of the body roll angle signal consisting of a small number of frequencies
313 (two or three, depending on the kick pattern) was vindicated.

314 As initially hypothesised, all three swimmers rolled their shoulder more toward
315 the affected side than the other side. This was not the case for the hip, knee and ankle
316 roll angles, i.e., each swimmer had an individual tendency. The shoulder roll asymmetry
317 was particularly notable in swimmer A, who is the fastest swimmer among the three.
318 Lee, Sanders, & Payton, (2014) reported a strong relationship between the maximum
319 force when fully tethered and 100 m front crawl performance. Therefore, it is likely that

320 swimmer A produced larger propulsive forces than the other two swimmers. Perhaps the
321 large shoulder roll angle toward the affected side in swimmer A contributed to produce
322 high propulsive forces using the affected limb, as suggested by Lecrivain et al. (2010)
323 who reported that maximum shoulder roll amplitude of 45° during the underwater arm
324 motion increases the propulsion produced by the affected limb than 0° shoulder roll
325 condition.

326 The higher stroke frequency in swimmer A compared to swimmer B and C also
327 supports this possibility. Swimmer A achieved approximately 50% higher stroke
328 frequency than the other two swimmers, even though the differences in the flow
329 velocity between them were about 25-30%. Lecrivain et al. (2010) reported that at a
330 given swimming speed, 20% acceleration or deceleration of the arm angular velocity
331 would have a major impact on propulsive forces produced by the affected arm
332 (maximum propulsive force being double or half). If this is the case, it is possible that
333 swimmer A relied on his affected arm to produce large propulsion more than the other
334 swimmers.

335 Even though the propulsion produced by the affected limb would not be large,
336 maximising it should be very important for unilateral arm amputee swimmers. It has
337 been reported that a unilateral arm amputee swimmer increased the energy cost while
338 increasing the swimming speed (Figueiredo et al., 2014). On the other hand, non-
339 impaired swimmers show a stable energy cost regardless of the swimming speed
340 (Morris et al., 2016). Maximising the propulsion by the affected arm would contribute
341 to minimising the intra-cycle velocity fluctuation, which would contribute to reducing
342 the energy cost of the swimmer. Nevertheless, the current study did not quantify any
343 kinetic variables. Therefore, further investigation would be necessary to establish

344 detailed relationships between the stroke frequency, propulsive force, and swimming
345 performance in this group of swimmers.

346 We also hypothesised that the unilateral arm amputee swimmers would show
347 fast wave velocity toward the caudal direction between shoulder-hip, hip-knee, and
348 knee-ankle roll, under the assumption of unilateral arm amputee swimmers being
349 technically less effective than non-impaired swimmers. We focused on a dominant
350 frequency in each segment to quantify the wave velocity. The dominant frequency was
351 H1 in shoulder and hip roll, and H2 (swimmer A and B) and H3 (swimmer C) in knee
352 and ankle roll.

353 Sanders & Psycharakis (2009) showed H3 velocity travelling caudally with
354 approximately 2.5 and 3.0 times (for hip-knee and knee-ankle, respectively) faster than
355 the forward swimming speed in non-impaired swimmers. Interestingly, the swimmers in
356 this study showed 0.8-1.5 and 1.3-2.0 times faster hip-knee and knee-ankle wave
357 velocity than the flow velocity, which was much smaller than the value in the study of
358 Sanders & Psycharakis. Sanders & Psycharakis did not report shoulder-hip wave
359 velocity of a dominant frequency (H1). However, given that shoulder-hip H1 phase
360 difference ranged from -9.9 to -3.8 degrees in non-impaired swimmers (reported in
361 Sanders & Psycharakis), it is probable that H1 velocity between shoulder-hip in the
362 current study's participants was much smaller because they show larger differences in
363 shoulder-hip phase angle (ranged from -51 to -28 degrees). Therefore, the second
364 hypothesis was not supported.

365 Wave velocity analysis also demonstrated that H2 wave velocity slowed down
366 as it travelled in the cephalo-caudal direction in swimmer A. This has been recognised
367 as an ineffective kicking pattern often presented by unskilled swimming motion
368 (Sanders, 2007). On the other hand, Swimmer B and C presented a wave velocity due to

369 the kicking motion (H2 and H3, respectively) travelling from hip to ankle with an
370 increasing velocity slightly faster than the flow velocity. Given that the body wave
371 velocity should be closer to, and yet slightly faster than, the swimming speed (Sanders,
372 2007; Sanders, Cappaert, & Devlin, 1995; Sanders & Psycharakis, 2009), it is surprising
373 to observe the ineffective manner only in the elite swimmer who has won a medal in a
374 Paralympic Games.

375 Swimmer A might have sacrificed the propulsive efficiency in leg kicking to
376 achieve high stroke frequency. Swimmer A achieved higher stroke frequency than
377 Swimmer B and C by more than 30%. If Swimmer A had a 50% slower wave velocity
378 (similar wave velocity as Swimmer C), the swimmer should spend twice more time in
379 moving H2 wave between hip and knee, which would cause approximately 0.2 s
380 additional stroke cycle time because the phase angle between hip and knee H2 for
381 Swimmer A was approximately 70° with stroke cycle time of 0.81 - 0.86 s. This would
382 decrease his stroke frequency by 20%. It has been reported that a major factor of
383 determining front crawl performance in unilateral arm amputee swimmers is high stroke
384 frequency (Osborough, Payton, & Daly, 2009), unlike non-impaired swimmers whose
385 performance determinant is primarily a long stroke length (Craig, Skehan, Pawelczyk,
386 & Boomer, 1985; Hellard et al., 2008). Therefore, it is reasonable to speculate that
387 swimmer A is faster than swimmer B and C because he has an advantage over the other
388 two swimmers in high stroke frequency, even though he had less effective wave
389 velocity pattern than the other two swimmers.

390 On the other hand, the slow and increasing wave velocity in swimmer B and C
391 might imply that they rely on their leg kick more than swimmer A and non-impaired
392 swimmers. Fulton, Pyne, & Burkett (2011) reported that the towing force in a group of
393 Paralympic swimmers with streamlining was approximately 20 N larger than that with

394 kicking, showing the propulsive effect of the kick. Fulton et al. also speculated that
395 upper limb impairments strongly depend on the kicking action. Perhaps the swimmer B
396 and C in the current study adapted their kick technique to maximise the propulsion
397 produced by the lower limbs. It should be noted that the present study did not include
398 any kinetic analysis, and the study by Fulton et al. included not only swimmers with
399 upper limb impairment but a wide variety of Paralympic swimmers. Therefore, the
400 contribution of the kick to swimming performance in unilateral arm amputee swimmers
401 and its difference from non-impaired swimmers should be further investigated. It would
402 also be of interest to quantify to what extent stroke frequency and the leg kick
403 contribute to swimming performance depending on the swimming speed (i.e. race
404 distance).

405 At both trials, swimmer A and B had the largest contribution of H1 in shoulder
406 and hip roll, and H2 in knee and ankle roll and swimmer C had the largest contribution
407 of H3 in her knee and ankle roll instead of H2. Since ankle and knee rolls are affected
408 hugely by the kicking rhythm, it is understandable that swimmer A and B (who had a
409 four-beat kicking pattern) and swimmer C (who had a six-beat kicking rhythm) had
410 different wave components and contribution. Sanders & Psycharakis (2009) reported a
411 strong (if not dominant) contribution of H1 to knee and ankle roll during 200 m front
412 crawl swimming in non-impaired swimmers. In their study, the contribution of H1 to
413 knee and ankle roll was approximately 18-26 and 6-13%, respectively. In knee and
414 ankle rolls, swimmer C showed approximately 22 and 10% (sub-maximum) and 26 and
415 7% (maximum) of H1 contribution, respectively, which was comparable with the results
416 reported in Sanders & Psycharakis. On the other hand, the other two swimmers had
417 much smaller H1 contribution to knee and ankle rolls (less than 10 and 3%,
418 respectively).

419 These results imply that swimmer A and B had less-coordinated upper and lower
420 limbs combination. The speculation of the poor coordination for those two swimmers is
421 also clear from the fact that the swimmers conducted continuous four-beat kicking.
422 From a perspective of body roll, a swimmer should conduct an odd number (either one
423 or three times) of kicking in one arm stroke; otherwise, the trunk twist (due to the kick
424 motion and shoulder roll) would be completely different between left and right arm
425 strokes. For example, if a swimmer conduct a left leg kick when entering his/her right
426 arm, the swimmer subsequently do a right leg kick, and the swimmer would conduct a
427 left leg kick again when his/her left arm enters the water (i.e., the trunk would be
428 twisted during right arm entry, but not during the left). For those swimmers, perhaps
429 four-beat kicking pattern was to produce larger shoulder angle toward the affected side.
430 In shoulder roll angle, both swimmers had a peak of H2 wave toward the affected side
431 almost at the same timing as H1 showed its peak toward the same side. Assuming that
432 this H2 wave originated from the four-beat kicking motion (i.e. two peaks roll motion),
433 it is possible that the four-beat kicking assisted the shoulder roll toward the affected side
434 (Appendix I and II).

435 These results imply that unilateral arm amputee swimmers might have to
436 sacrifice effective motion patterns (such as upper and lower limbs coordination and
437 lower limbs motion using a caudal body wave transfer) to achieve high stroke frequency
438 (and consequently large swimming velocity). Another implication from the present
439 study is that unilateral arm amputee swimmers with a high stroke frequency might have
440 larger asymmetry and energetically less efficient technique than other swimmers, which
441 should be in consideration when coaches prescribe training to unilateral arm amputee
442 swimmers.

443

444 **Conclusion**

445 Unilateral arm amputee swimmers were characterised by larger shoulder roll toward the
446 affected side than the unaffected side, which was particularly notable in the best
447 swimmer. The body wave velocity from hips to ankles indicated that the kicking rhythm
448 of a Paralympic medallist swimmer was less effective than national level swimmers. On
449 the other hand, the best swimmer achieved the highest stroke frequency among the three
450 swimmers tested. National level unilateral arm amputee swimmers had an effective
451 manner of body wave velocity.

452

453 **Disclosure statement**

454 The authors declare no conflicts of interest.

455

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460

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555

556

557 Table 1. Age, gender, and anthropometric characteristics of the participants.

Swimmer	Gender	Age (years)	Height (m)	Mass (kg)	Amputee side
A	Male	25.0	176.0	72.5	Left
B	Male	25.0	169.0	62.0	Left
C	Female	15.0	159.0	54.0	Right

558

559 Table 2. Contribution of each harmonic to shoulder, hip, knee, and ankle rolls at the sub-
560 maximum trial.

Swimmer	Wave	Roll			
		Shoulder	Hip	Knee	Ankle
A	H1	93.73±0.56	84.88±7.61	8.99±4.69	1.66±0.91
	H2	3.90±0.32	10.94±2.56	84.21±7.60	97.83±1.26
B	H1	96.53±0.93	85.10±5.91	8.49±3.71	n/a
	H2	3.10±0.72	11.49±4.01	70.08±11.16	97.34±2.54
C	H1	91.43±2.78	81.77±7.12	19.18±7.91	1.44±1.86
	H2	1.46±0.80	1.01±0.82	7.60±5.06	10.04±3.32
	H3	2.09±0.55	7.30±2.29	55.21±11.23	86.76±4.94

561

562 Table 3. Contribution of each harmonic to shoulder, hip, knee, and ankle rolls at the
563 maximum trial.

Swimmer	Wave	Roll			
		Shoulder	Hip	Knee	Ankle
A	H1	91.18±1.16	78.01±4.62	4.28±1.98	2.76±2.18
	H2	3.85±0.21	11.55±2.39	92.62±2.83	96.53±2.87
B	H1	93.69±2.95	72.97±13.01	4.74±1.95	1.23±1.02
	H2	4.31±1.87	15.57±8.11	73.68±4.23	96.87±1.77
C	H1	93.78±4.94	84.18±5.99	23.43±13.11	3.56±3.27
	H2	1.12±0.54	2.68±2.71	4.61±2.32	6.16±6.27
	H3	1.30±0.57	9.98±6.08	58.03±15.49	85.30±18.28

564

565

566 Table 4. Phase difference (°) of dominant harmonics (H1 for shoulder-hip, H2 for hip-
 567 knee and knee-ankle in Swimmer A and B, and H3 for hip-knee and knee-ankle in
 568 Swimmer C) between shoulder-hip, hip-knee, and knee-ankle (Mean±SD among the
 569 seven trials).

Trial	Swimmer	Shoulder-Hip (H1)	Hip-Knee (H2 or H3)	Knee-Ankle (H2 or H3)
Sub-maximum	A	-51.04±2.04	71.30±6.94	75.54±8.36
	B	-28.20±4.24	80.48±16.50	36.47±3.60
	C	-28.20±5.91	111.97±30.59	69.11±32.93
Maximum	A	-51.20±1.70	72.11±12.53	70.45±6.63
	B	-36.08±8.97	74.36±21.36	49.06±18.23
	C	-31.72±9.40	88.20±11.63	77.43±29.47

570

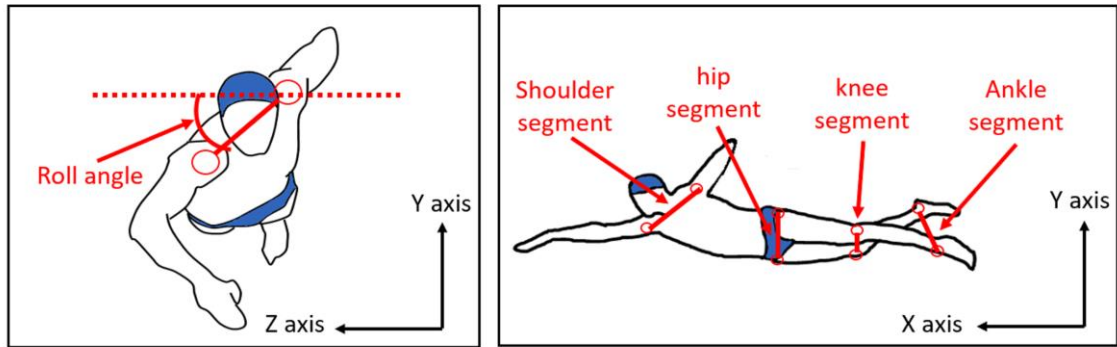
571

572 Table 5. Wave velocity (m/s) of dominant harmonics (H1 for shoulder-hip, H2 for hip-
 573 knee and knee-ankle in Swimmer A and B, and H3 for hip-knee and knee-ankle in
 574 Swimmer C) between shoulder-hip, hip-knee, and knee-ankle (Mean±SD among the
 575 seven trials)

Trial	Swimmer	Shoulder-Hip (H1)	Hip-Knee (H2 or H3)	Knee-Ankle (H2 or H3)
Sub- maximum	A	-4.98±0.16	2.37±0.26	2.19±0.23
	B	-5.50±0.76	1.50±0.44	2.58±0.25
	C	-5.14±0.89	1.05±0.22	1.74±1.26
Maximum	A	51.20±1.70	-72.11±12.53	-70.45±6.63
	B	36.08±8.97	-74.36±21.36	-49.06±18.23
	C	31.72±9.40	-88.20±11.63	-77.43±29.47

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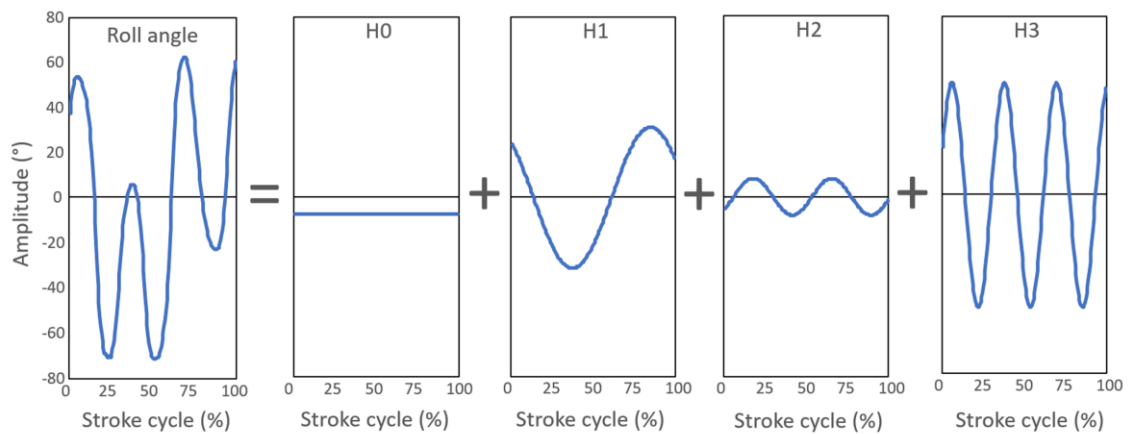
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578

579 Figure 1. Definitions of the roll angle and each segment.

580



H0: Signal equivalent to the mean roll angle over the stroke cycle (offset of the original signal)

H1: The fundamental frequency with one maxima/minima

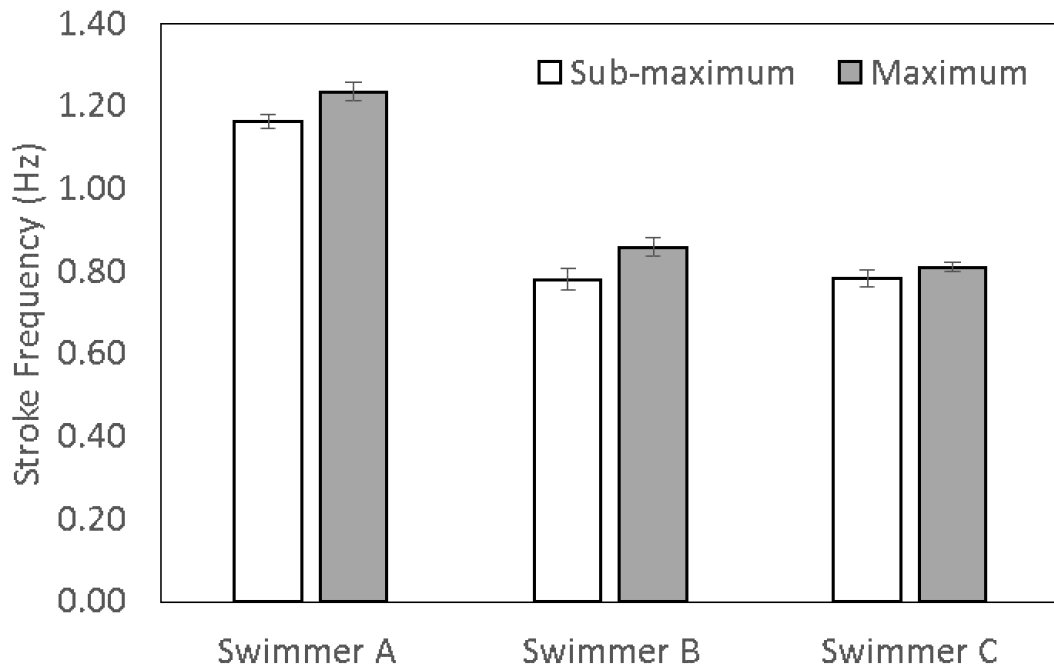
H2: The second harmonic with two maxima/minima

H3: The third harmonic with three maxima/minima

581

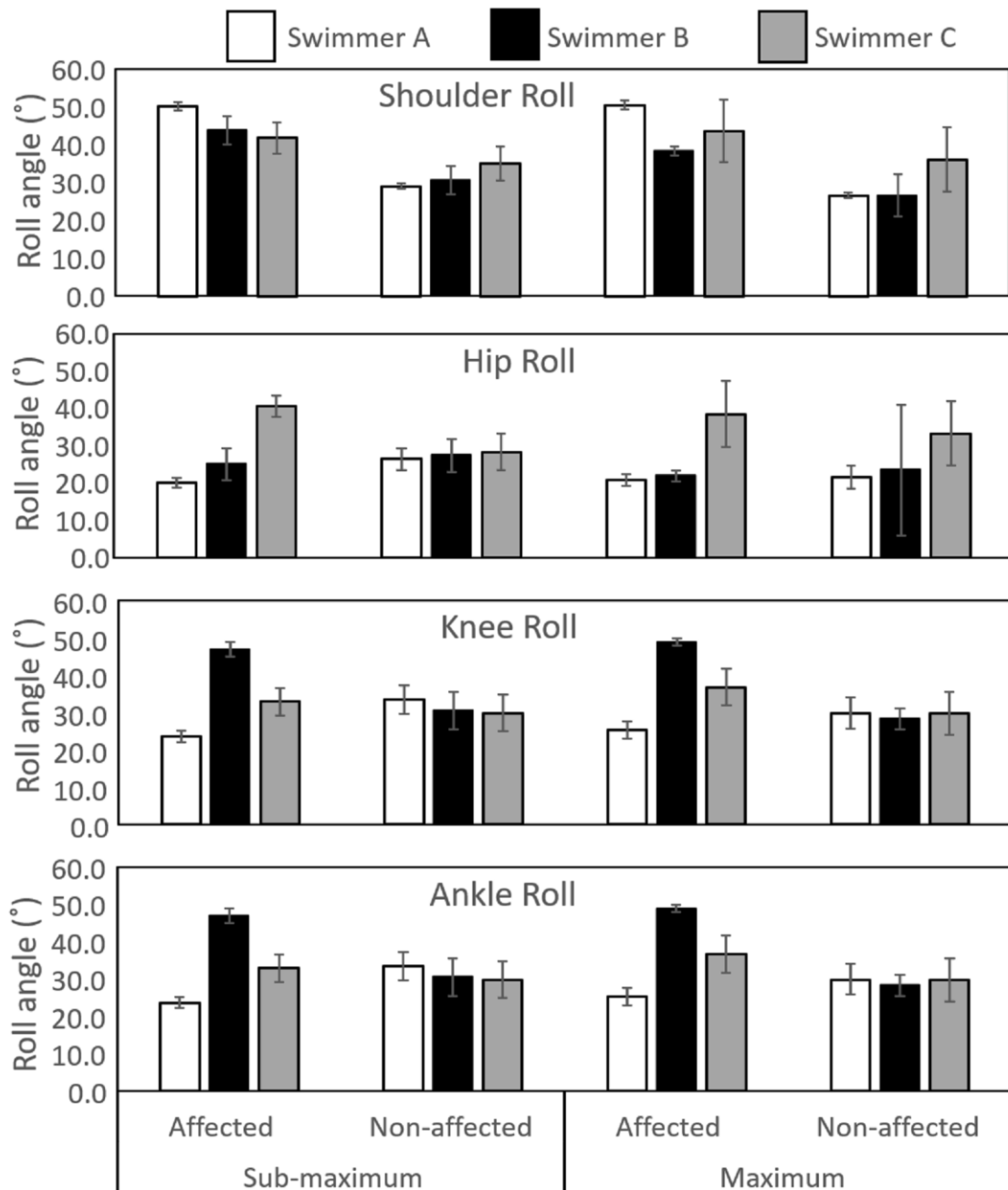
582 Figure 2. Fourier analysis for the roll angle (Ankle roll signal is displayed as an

583 example).



584

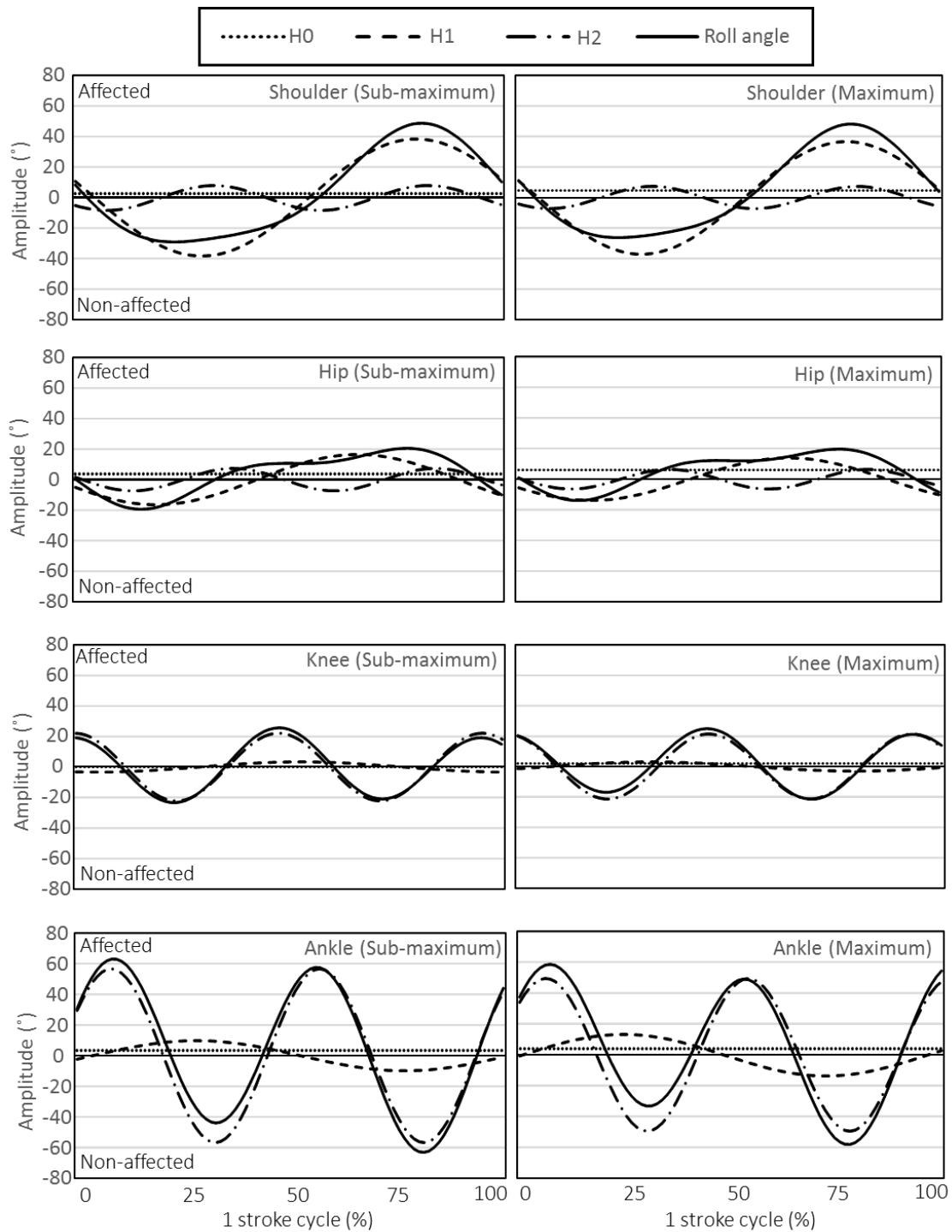
585 Figure 3. Stroke frequency of the swimmers at the sub-maximum and maximum trials.



586

587 Figure 4. Maximum shoulder, hip, knee, and ankle roll amplitude towards the affected
 588 and nonaffected direction.

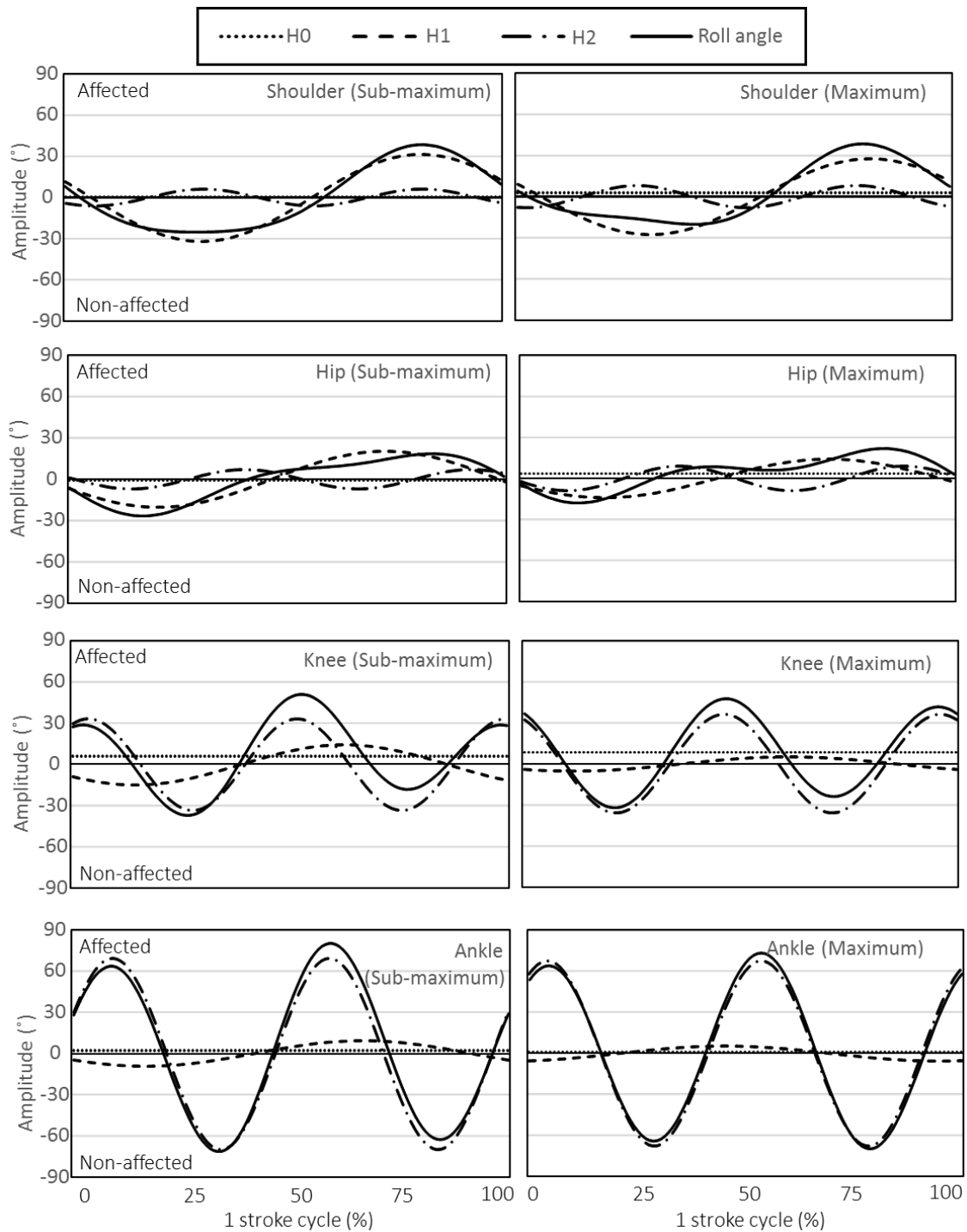
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590

591 Appendix I. Original roll angle signals and wave components of shoulder, hip, knee,
 592 and ankle during a stroke cycle in Swimmer A at both sub-maximum and maximum
 593 trials.

594

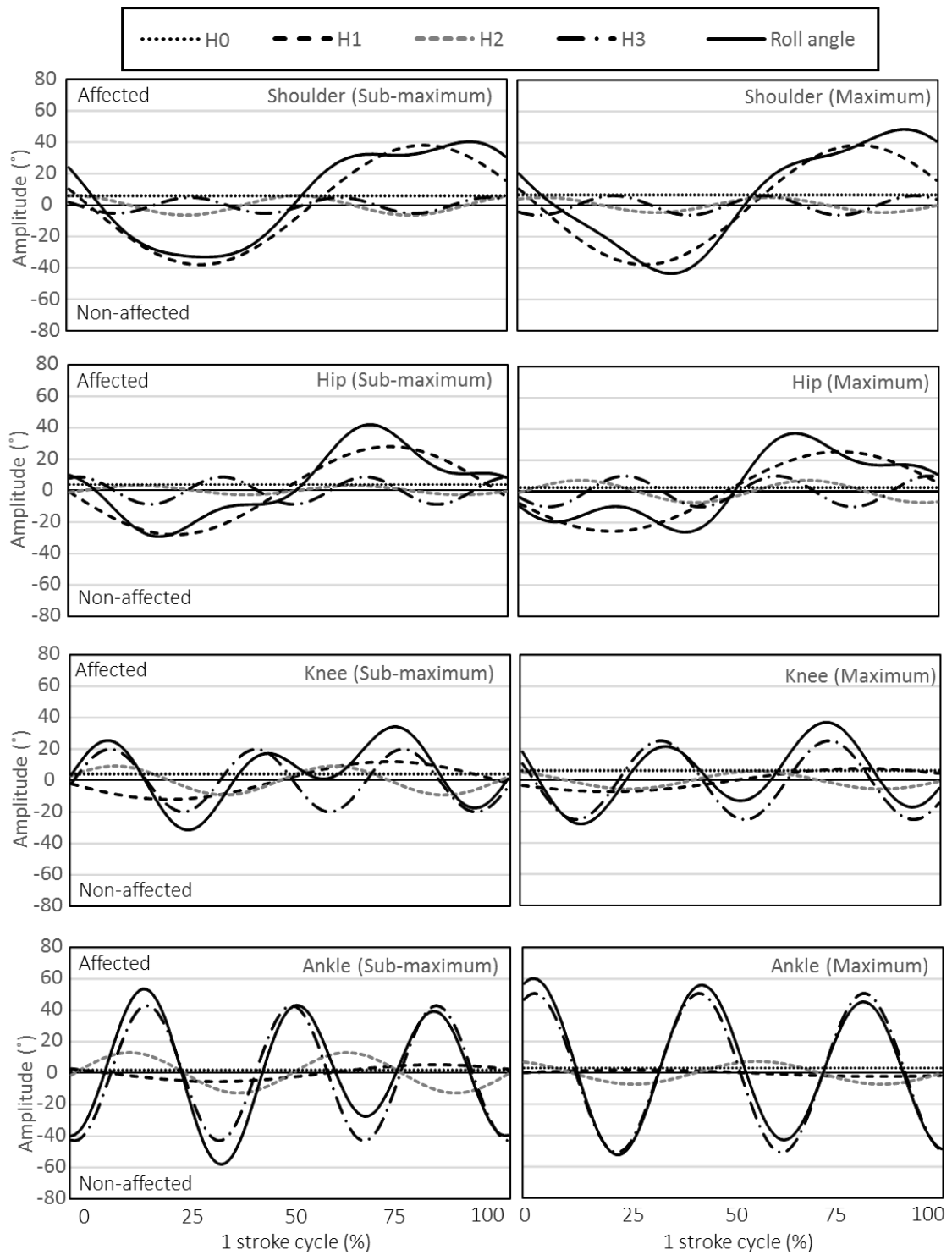


595

596 Appendix II. Original roll angle signals and wave components of shoulder, hip, knee,
 597 and ankle during a stroke cycle in Swimmer B at both sub-maximum and maximum
 598 trials.

599

600



601

602 Appendix III. Original roll angle signals and wave components of shoulder, hip, knee,
 603 and ankle during a stroke cycle in Swimmer C at both sub-maximum and maximum
 604 trials.

605