

Caspersen, C., Berthelsen, A., Eik, M., Pakozdi, C., Kjendlie, P.-L. (2010).
Added mass in human swimmers: age and gender differences. *Journal of Biomechanics*, 43, 2369-2373.

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1 Title: Added mass in human swimmers: age and gender differences.

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3 Keywords: Swimming, added mass coefficient, gender, shape and body size.

4

5 Abstract

6

7 In unstationary swimming (changing velocity), some of the water around the swimmer is set in
8 motion. This can be thought of as an added mass (M_a) of water. The purpose of this study was to find
9 added mass on human swimmers and investigate the effect of shape and body size. Thirty subjects
10 were connected to a 2.8m long bar with handles, attached with springs (stiffness $k=318\text{N/m}$) and a
11 force cell. By oscillating this system vertically and registering the period of oscillations it was
12 possible to find the added mass of the swimmer, given the known masses of the bar and swimmer.
13 Relative added mass ($M_a\%$) for boys, women and men were, respectively, $26.8\pm 2.9\%$, $23.6\pm 1.6\%$
14 and $26.8\pm 2.3\%$ of the subjects total mass. This study reported significantly lower added mass
15 ($p<0.001$) and relative added mass ($p<0.002$) for women compared to men, which indicate that the
16 possible body shape differences between genders may be an important factor determining added
17 mass. Boys had significantly lower ($p<0.001$) added mass than men. When added mass was scaled
18 for body size there were no significant differences ($p=0.996$) between boys and men, which indicated
19 that body size is an important factor that influences added mass. The added mass in this study seems
20 to be lower and within a smaller range than previously reported (Klauck, 1999; Eik et al., 2008). It is
21 concluded that the added mass in human swimmers, in extended gliding position are approximately
22 $\frac{1}{4}$ of the subjects' body mass.

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34 Introduction

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36 Maximization of human swimming performance is dependent on three main goals: the ability to
37 create propulsion, reduction of drag and the restraint of physiological cost. Within all three areas
38 there have been many studies, however there are still unknown features of drag and propulsion. In
39 research on both drag and propulsion of human swimming, unsteady effects have received relatively
40 little attention. Drag is defined as “the force on an object moving in a fluid due to the rate of change
41 in momentum of the fluid influenced by the object moving through the fluid” (Vogel, 1996), and is
42 explained by physical characteristics of the water such as density, viscosity and pressure. In addition,
43 the swimmer’s size, shape, frontal area and velocity affect drag. This can be expressed in the drag
44 equation:

45

46
$$F_D = \frac{1}{2} \rho C_D U^2 A$$
 (Equation 1)

47

48 where F_D is pressure drag force, ρ is density of the water, C_D is the drag coefficient, U is the
49 swimmers velocity relative to the water flow and A is total cross-section area of the swimmer in the
50 moving direction. Most research has been done during stationary swimming, but drag during
51 unstationary swimming should also be taken into account. In unstationary motion, the flow pattern
52 changes with time. A swimmer decelerates after starts and turns and within a swimming cycle there
53 are velocity variations. In unstationary swimming, some of the water around the swimmer is set in
54 motion. This can be thought of as added mass (M_a) of water, and is the water a swimmer has to
55 accelerate in addition to body mass during a change in velocity. Added mass is important when
56 determining the energy expenditure and drag for a swimmer. Added mass can be represented as a
57 dimensionless factor (C_a) that is defined as added mass divided on the mass of fluid as the object is
58 moving (Stager & Tanner, 2005), and is expressed as:

59

60 $Ma = C_a V \rho$ (Equation 2)

61

62 where C_a is added mass coefficient, V is body volume of the swimmer and ρ is water density (Vogel,
63 1996).

64

65 The additional force that exists when an object is accelerating in an ideal fluid is called the
66 acceleration reaction. It indicates “the force needed to accelerate the added mass of fluid backwards”
67 (Vogel, 1996). The acceleration reaction inertia is added to an object during accelerations in a fluid
68 and is one of the dominant hydrodynamic forces that counteract the movement on a human swimmer.
69 The amount of fluid that is accelerated depends on the shape of the body and the pattern of the water
70 flow around the body. Various equations can estimate the acceleration reaction depending if the
71 water is accelerating and the object is stationary, or opposite (Vogel, 1996).

72

73 The effect of added mass is well documented in theoretic hydrodynamics, in research of the
74 shipbuilding industry and in marine biology (e.g. Brennen, 1982; Daniel, 1985). Previously only two
75 other studies have investigated added mass on swimmers during passive gliding (Klauck, 1999; Eik
76 et al., 2008). On the basis of data reported by Klauck (1999) and by using a conservative added mass
77 coefficient of 0.5, Kjendlie & Stallman (2008) estimated added mass and found a 34% increase of
78 drag when added mass was taken into account. This exemplifies the importance of knowing more
79 about the added mass of human swimmers. The purpose of this study was to (a) find added mass on
80 human swimmers, (b) investigate the effect of shape and body size and (c) to establish predictive
81 linear regression equations for future added mass calculations. This study examined added mass on
82 swimmers in a submerged position, where wave drag (D_w) have lower influence on total drag
83 (Pendergast, et al., 2005).

84

85 Methods

86

87 Subjects

88 Thirty subjects were recruited into three groups; boys (n=9), women (n=10) and men (n=11).

89 Anthropometrical measurements for all subjects were collected for body height, reaching height,

90 shoulder width (in an upright streamlined position), body mass, age and frontal area (FA) is reported

91 in Table 1. A vertical oscillation test was performed to estimate added mass in the ocean laboratory at

92 Norwegian Marine Technology Research Institute (MARINTEK). Written and informed consent was

93 obtained from the participants. The study was approved by the Regional Committee for Medical

94 Research Ethics, Oslo, Norway.

95

96 **Table 1.** Anthropometrical measurements and age for boys, women and men. Mean and standard
97 deviation (SD).

	Boys (n=9)	Women (n=10)	Men (n=11)	Total (n=30)
Body height (cm)	163.6 (8.2)	167.5 (4.8)	182.4 (6.4)	171.8 (10.5)
Reaching height (cm)	222.2 (13.6)	225.3 (7.1)	245.7 (9.1)	231.8 (14.6)
Body mass (kg)	50.2 (8.1)	63.9 (5.3)	78.2 (6.3)	65.0 (13.2)
Shoulder width (cm)	33.0 (2.2)	36.5(2.0) ⁻²	41.7 (2.3) ⁻¹	37.3 (4.3) ⁻³
Age (years)	13.7 (0.9)	22.2 (3.3)	25.2 (4.9)	20.7 (6.0)
Frontal area (m ²)	0.065 (0.008)	0.080 (0.015)	0.089 (0.006)	0.079 (0.014)

98 The numbers in superscript indicates the number of subjects that were excluded from the specific test.

99

100 Procedures

101 The subjects were connected to a 2.8m long bar (1.975kg) in a vertical equilibrium position under

102 water (15°C). Three moveable handles (415g) were attached to the bar to keep the subject in a

103 streamlined position. The vertical oscillation was set in motion by pulling the spring system upwards.

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106

107

Figure 1: A swimmer attached to the bar during vertical oscillations.

108

109 Measurements

110 Added mass

111 The computation of added mass was based on a harmonic oscillating system. The natural frequency
 112 of a damped spring system is ω_0 , and the oscillation period (T) is given by:

113

114
$$T = \frac{2\pi}{\omega_0} \quad \text{(Equation 3)}$$

115

116 In a system where the added mass is near the mass of the object, the relative damping is small (<10%)
 117 as indicated by Øgrim (1990). By neglecting the relative damping and since:

118

119
$$\omega_0 = \sqrt{\frac{k}{M + M_A}}$$
 (Equation 5)

120

121 added mass can be found as:

122
$$M_a = \left(\frac{T^2 k}{4\pi^2} \right) - M$$
 (Equation 4)

123

124 where M_a is the added mass, k is the spring constant and M is the mass of the swimmer and the bar
125 with springs. The computation was based on a half oscillation period between a crest and trough of
126 the Force-time curve. The oscillating bar were attached to a force cell, and four (460g) or six (690g)
127 springs with a spring constant $k=212\text{N/m}$ and $k=318\text{N/m}$, respectively. By oscillating the spring
128 system vertically, the subjects' oscillating period was recorded. The sampling frequency was set to
129 200Hz with a low pass-filter (20Hz). A routine was made in Matlab 2007a (The MathWorks, Inc,
130 Natick, USA) to estimate added mass from force data. Given the known masses of the bar and
131 swimmer, made it possible to find the added mass of the system.

132

133 Accounting for shape and body size parameters

134 Body shape and body size parameters were measured from a photo (Camera Nikon D50, Nikon Corp,
135 Japan) taken from above of the subject together with a known measure standard. The subjects were
136 digitized in Adobe Acrobat 7.0 Professional. A calibration factor was estimated from the known
137 measure standard and made it possible to find the swimmers actual shoulder width and projected
138 frontal area.

139

140 Statistical analyses

141 Independent t-tests and ANOVA was used to account for significant differences between groups, and
142 linear regression analyses to find relevant parameters to estimate added mass from shape and body
143 size parameters. A $P < 0.05$ value was considered significant. Data are means \pm SE.

144

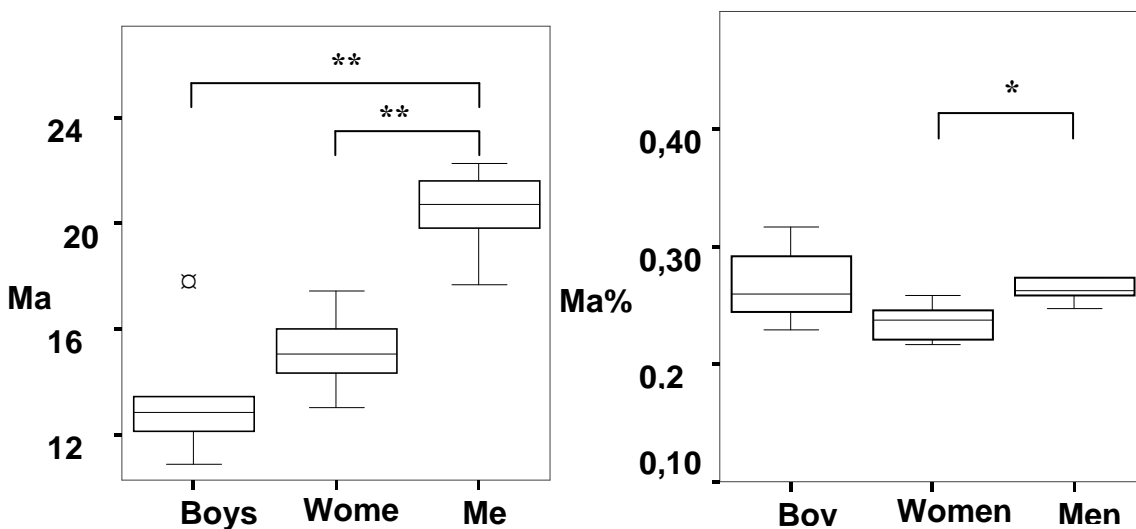
145 Results

146

147 Added mass for the three groups were 13.3 ± 2.1 kg, 15.1 ± 1.3 kg and 21.1 ± 2.5 kg for boys, women and
 148 men, respectively. This corresponds to a relative added mass of $26.8 \pm 2.9\%$, $23.6 \pm 1.6\%$ and
 149 $26.8 \pm 2.3\%$ of the subject's body mass, respectively. Furthermore, the added mass coefficient was
 150 estimated to $C_a=0.255$ for boys and 0.257 for men. This was estimated by using the buoyancy data
 151 from Kjendlie et al. (2004) of similar subjects. Furthermore, significantly lower added mass
 152 ($p=0.001$) and relative added mass ($p=0.002$) for women compared to men were found. There where
 153 significantly lower added mass ($p=0.001$) for boys compared to men, but not for relative added mass
 154 ($p=0.996$).

155

156



157

158 **Figure 2:** Added mass (left) and relative added mass (right) for boys, women and men ($n=30$). \square is
 159 outlier, * is $p<0.05$ and ** is $p<0.01$.

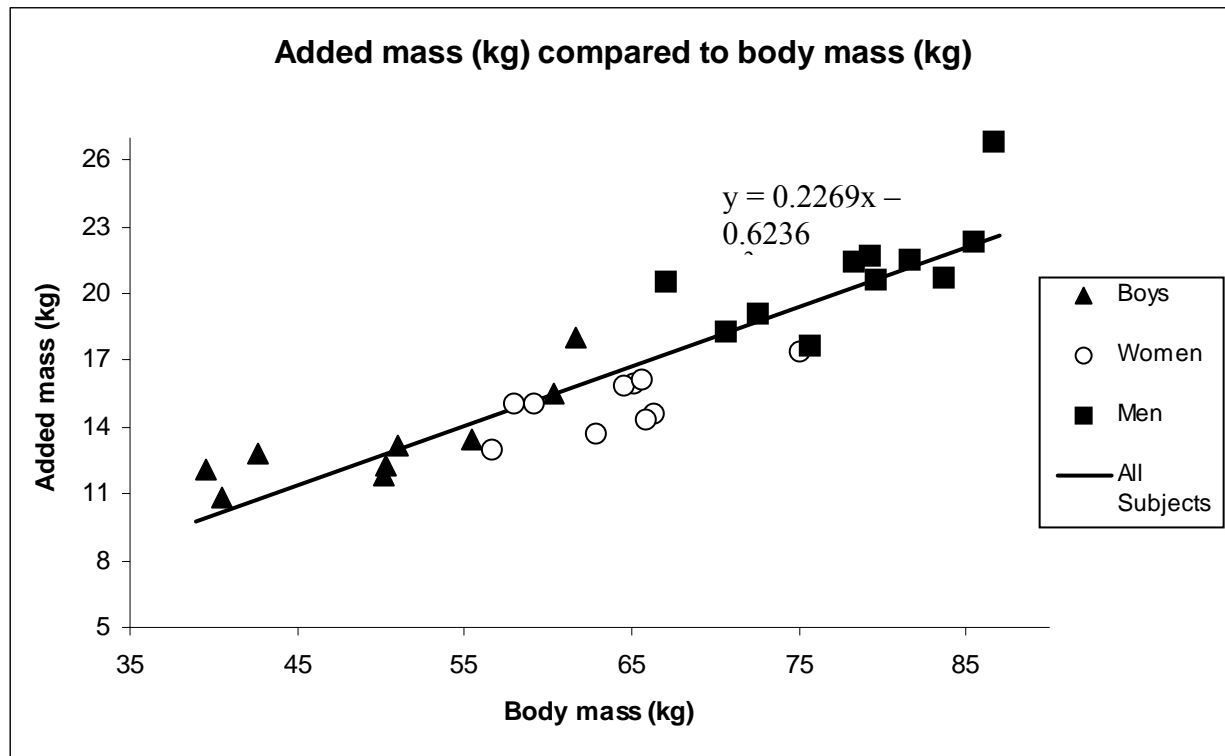
160

161 Correlation analyses for women and men indicated that body mass, frontal area and reaching height
 162 were all significantly associated with added mass. Frontal area and reaching height (RH) had r values
 163 of 0.747 and 0.709, respectively. A regression equation combining all three variables
 164 ($AM=16.042+0.235 \cdot BW+21.996 \cdot FA-0.049 \cdot RH$) showed $r^2=0.857$, but only a significant coherence

165 ($p < 0.03$) with body mass and added mass. Regression analyses show that fineness ratio ($r^2 = 0.801$)
 166 and body mass parameters ($r^2 = 0.857$) gave the highest r^2 values for added mass for women and men.
 167
 168 Correlation analyses for boys and men indicated that body mass was significantly ($p < 0.001$)
 169 associated with added mass. Frontal area and reaching height had r values of 0.781 and 0.786,
 170 respectively. A regression equation combining all three body size parameters
 171 ($AM = 0.034 + 0.234 \cdot BW + 15.763 \cdot FA - 0.001 \cdot RH$) showed $r^2 = 0.888$, and only a significant coherence
 172 ($p < 0.05$) with body mass and added mass.

173

174
 175



188 **Figure 3:** Added mass versus body mass for boys (▲) women (○) and men (■).

189

190 Discussion

191

192 Size effect on added mass

193 Boys and men had both a relative added mass of 27%, indicating that added mass in some way is
 194 dependent on body size. Regression analyses for body size parameters showed that body mass (89%),

195 frontal area (78%) and reaching height (77%) separately influenced variations in added mass. This
196 study found only a significant correlation ($p<0.004$) between body mass and added mass. This was in
197 agreement with the results that indicated no significant difference ($p=0.996$) between boys and men
198 for relative added mass (27%). Boys had significantly (0.149 ± 0.008) lower fineness ratio than men
199 (0.169 ± 0.014), and it is thus assumed that boys are less streamlined and with elevated drag
200 coefficient compared to men. This was not in agreement with the results of the relative added mass.

201

202 A regression equation combining all three body size parameters showed a higher r^2 (0.86) than for
203 body mass alone ($r^2=0.84$), which explained more of the variation in added mass than reaching height
204 and frontal area between genders. Women had significantly ($p<0.001$) lower body mass than men,
205 which indicated smaller body size and probably reduced total drag. This could also be applied for
206 added mass.

207

208 There are disagreements in the literature on how body size influences active drag (Huijing, 1988;
209 Clarys, 1978, 1979; Zamparo, 2009). Clarys (1978, 1979) found that body shape and composition did
210 not affect active drag. On the contrary, Huijing (1988) found high correlation for height and maximal
211 cross-section area (in the direction of motion) ($r=0.87$) with active drag. Kolmogorov et al. (1997)
212 found no difference in drag coefficient for active drag when children ($C_D=0.25$) was compared with
213 elite swimmers ($C_D=0.30$). However, Kjendlie & Stallman (2008) found significantly lower
214 ($p<0.001$) drag coefficient for boys ($C_D=0.66$) than men ($C_D=0.84$). A previous study investigated
215 children's growth in a 2 years period (Toussaint et al., 1990). They found that increase in height,
216 body mass and frontal area did not change total drag ($C_D=0.64$ vs. 0.66). This was consistent with the
217 anthropometric changes that gave the swimmer a more streamlined body, increased height, reduced
218 wave drag and increased body size gave larger frontal drag. These results should be evaluated in the
219 light that the children swam on the MAD system with their legs fixed to a pull buoy, which can lead
220 to variations in trunk incline.

221

222 Gender effects on added mass

223 The difference between genders in this study was significantly higher added mass for men compared

224 to women. Not surprisingly, men had also higher values on all anthropometrical measurements.

225 However, when scaled for size, looking at the relative added mass, men still had significantly higher

226 added mass (AM%) than women. This can be due to differences in body shape.

227

228 The literature reports lower drag coefficients for females than males (Toussaint et al., 1988; Zamparo

229 et al., 2009). Lower added mass for women could mean that other effects such as drag coefficient or

230 body composition influence them differently compared to men. Body composition is an important

231 difference between men and women that affect buoyancy and thus the total drag (Vogel, 1996). It is

232 general knowledge that women have higher share of fatty tissue and different distribution compared

233 to men, which can give women higher net buoyancy (Zamparo et al., 1996). Drag coefficient depends

234 on fineness ratio and body shape and gives an idea on how streamlined a body is. There were no

235 significant differences ($p=0.129$) for fineness ratio between men and women, which did not directly

236 explain the variation between genders in body shape observed in the present study. Thus, it might be

237 speculated that the fineness ratio did not cover all aspects of body shape, and that future studies

238 should look at other parameters of body shape when explaining the difference in relative added mass

239 between males and females. This could indicate that other measurements like hip width should be

240 taken into consideration in addition to fineness ratio. The variations in added mass were explained

241 more by body size parameters (86%) than fineness ratio (80%), using linear regression calculations.

242

243 The main finding of this study was that added mass seems to be lower and within a smaller range

244 than previously estimated. The added mass coefficient was estimated to $C_a=0.255$ and 0.257 for boys

245 and men, respectively. Eik et al., (2008) reported a lower added mass coefficient (0.1-0.47) than

246 Klauck (1999) ($C_a=0.47-1.1$). The reason for this discrepancy could be different use of methods and

247 subjects. Body size (length, depth and width), the swimmer's position during oscillation and
248 experience could lead to different values of added mass.

249

250 Methodological considerations

251 Before the oscillation test the subjects was instructed to be as streamlined as possible at the bar. This
252 was controlled with underwater video during testing. The subjects, who did not have an optimal
253 position at the bar, were excluded. The hands are tied next to each other, which do not represent an
254 ideal swimming position, however it was the most optimal solution to hold still at the bar. Three boys
255 did not adapt to the cold water, which lead to a tense position and unnecessary shivering on the bar.
256 This could explain the large range in relative added mass for boys. Two springs were additionally
257 supplied to the spring system for heavier subjects to carry out more oscillations. In addition, a small
258 number of subjects induce to a type II error that could be a contributing cause why we did not find a
259 significant difference for relative added mass between boys and men. One should be careful to
260 interpret if the difference in effect of gender or effect of size is representative for all swimmers.

261

262 Conclusion

263

264 This study indicated that about 1/4 of a swimmer's body mass would come in addition to regular
265 mass when a swimmer accelerates in the water. Boys had significantly lower added mass than men,
266 but there were no significant differences in relative added mass, indicating that body size is an
267 important determining factor of added mass. Furthermore, women were found to have significantly
268 lower added mass and relative added mass than men. This indicated that also body shape probably
269 was an important factor determining added mass between genders.

270

271 Acknowledgements

272

273 There was no funding or any commercial interest in this study. We thank Norwegian Marine
274 Technology Research Institute (MARINTEK) for providing test facilities.

275

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