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1 **Upper body kinematic differences between maximum front crawl and**  
2 **backstroke swimming [Original Article]**

3 Tomohiro Gonjo<sup>1,2</sup>, Ricardo J. Fernandes<sup>3</sup>, João Paulo Vilas-Boas<sup>3</sup>, and  
4 Ross Sanders<sup>4</sup>

5 *1: Department of Physical Performance, Norwegian School of Sport Sciences, Oslo,*  
6 *Norway*

7 *2: Institute for Sport, Physical Education & Health Sciences, The University of*  
8 *Edinburgh, Edinburgh, Scotland, UK*

9 *3: Faculty of Sport, CIFI2D, and LABIOMEPE, University of Porto, Porto, Portugal*

10 *4: Faculty of Health Sciences, The University of Sydney, Sydney, New South Wales,*  
11 *Australia*

12

13 Corresponding author

14 Tomohiro Gonjo, Ph.D.

15 Department of Physical Performance,

16 Norwegian School of Sport Sciences

17 Postboks 4014 Ullevål Stadion, 0806 Oslo, Norway

18 Phone: +47 23 26 23 13

19 e-mail: [tomohiro.gonjo@nih.no](mailto:tomohiro.gonjo@nih.no)

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## 21 **Upper body kinematic differences between maximum front crawl and** 22 **backstroke swimming**

23

24 Abstract: The purpose of this study was to investigate why front crawl is faster  
25 than backstroke from a kinematic perspective. Three-dimensional kinematics  
26 were obtained from one upper-limb cycle of ten male competitive swimmers  
27 performing 50 m front crawl and backstroke trials at maximum speed. Swimmers  
28 achieved faster centre of mass velocity in front crawl than backstroke ( $1.70\pm 0.04$   
29 vs  $1.54\pm 0.06$  m·s<sup>-1</sup>;  $p<0.01$ ) with no difference in stroke length ( $2.00\pm 0.25$  vs  
30  $2.07\pm 0.17$  m·cycle<sup>-1</sup>), while stroke frequency in front crawl was higher than that  
31 in backstroke ( $51.67\pm 6.38$  vs  $44.81\pm 4.68$  cycles·min<sup>-1</sup>;  $p<0.01$ ). Maximum  
32 shoulder roll angle in front crawl was larger than that in backstroke ( $52.88\pm 4.89$   
33 vs  $49.73\pm 5.73^\circ$ ;  $p<0.05$ ), while swimmers had smaller maximum hip roll in front  
34 crawl than backstroke ( $33.79\pm 6.07$  vs  $39.83\pm 7.25^\circ$ ;  $p<0.05$ ). Absolute duration of  
35 the release phase (from the last backward movement to the exit from the water of  
36 the wrist) and relative duration of the recovery phase were shorter in front crawl  
37 than backstroke ( $0.07\pm 0.03$  vs  $0.26\pm 0.08$  s;  $p<0.01$ , and  $28.69\pm 2.50$  vs  
38  $33.21\pm 1.43\%$ ;  $p<0.01$ , respectively). In conclusion, front crawl is faster than  
39 backstroke because of its higher stroke frequency due to the shorter absolute  
40 release phase and relative recovery phase durations. (191 words)

41 Keywords: alternating strokes, performance, aquatic locomotion, stroke  
42 frequency, stroke length, motion analysis

## 43 **Introduction**

44 Front crawl and backstroke have similar kinematic characteristics, such as  
45 the alternating limb motions and the body roll around the longitudinal axis

46 (Psycharakis and Sanders, 2010; Seifert and Chollet, 2009). However,  
47 swimmers usually achieve faster swimming speeds in front crawl than in  
48 backstroke (Chollet et al., 2008, 2000; Craig et al., 1985). Swimming  
49 performance is determined by stroke frequency ( $SF$ ) and stroke length ( $SL$ )  
50 (Pendergast et al., 1978). Therefore, the difference in the achievable  
51 swimming speed between the two techniques is attributed to higher  $SF$   
52 and/or longer  $SL$  in front crawl than backstroke.

53 In races, swimmers have similar  $SL$  in front crawl and backstroke, but the  
54 former has a higher  $SF$  than the latter technique (Hellard et al., 2008;  
55 Kennedy et al., 1990), but the reason for this is still unclear. For example,  
56 the similarities and differences could be either due to the factors in  
57 swimming or indirect effects of distinct start and turn techniques.  
58 Furthermore, as both  $SF$  and  $SL$  are affected by the anthropometry of  
59 swimmers (Grimston and Hay, 1986), a within-participant comparison is  
60 necessary to investigate mechanical dissimilarities between the alternating  
61 techniques.

62 Since  $SF$  is the inverse of the upper-limb cycle time, the cycle duration  
63 should be investigated. The duration of the recovery phase in relation to one  
64 upper-limb cycle time at maximum speed is 26.3 and 29.3%, with  $SF$  being  
65 51.8 and 44.3 cycles $\cdot$ min $^{-1}$  in front crawl and backstroke, respectively  
66 (Chollet et al., 2008, 2000), suggesting that the absolute recovery and  
67 underwater phase duration of the respective techniques being 0.30 and 0.40  
68 s (recovery phase), and 0.85 and 0.95 s (underwater phase). However, it is

69 unclear whether these differences between front crawl and backstroke were  
70 due to differences in hand speed or the distance the hand travels (relative to  
71 the body in both cases).

72 Swimmers should not be able to move their hands relative to the body faster  
73 in water than in the air due to the hydrodynamic drag, and also must  
74 maintain effective bilateral coordination in both techniques (Chollet et al.,  
75 2008, 2000). This means that the hand speed and/or the distance the hand  
76 travels above the water is probably restricted by the contralateral in-water  
77 hand speed. Therefore, it is reasonable to assume that factors affecting  $SF$   
78 are primarily underwater hand kinematics.

79 Bilateral coordination also affects  $SF$  in both front crawl and backstroke  
80 (Lerda and Cardelli, 2003; Potdevin et al., 2006), and the index of  
81 coordination ( $IdC$ ) has been used to describe it (Chollet et al., 2008; Seifert  
82 and Chollet, 2008).  $IdC$  categorises the coordination into three patterns  
83 (catch-up, opposition, and superposition) using the lag time between the left  
84 and right upper-limb propulsive phases (Chollet et al., 2000). It has been  
85 reported that  $SF$  and  $IdC$  are related, i.e., the smaller the lag time is, the  
86 higher  $SF$  presented (Lerda and Cardelli, 2003; Potdevin et al., 2006).  
87 However, it is difficult to compare  $IdC$  between front crawl and backstroke  
88 directly, since the definition of underwater phases differs among the  
89 techniques (Chollet et al., 2008, 2000).

90 Given that  $IdC$  is a description of the timing between left and right upper-  
91 limb propulsive actions (Seifert and Chollet, 2008), rather than the duration

92 of propulsion being actually produced, the difference in the inter-limb  
93 coordination between the techniques could be described differently.  
94 Theoretically, if the recovery phase duration becomes short while  
95 maintaining the underwater phase duration of the other upper-limb, that  
96 would make the hand entry timing early in relation to the timeline of the  
97 other upper-limb underwater phase and increase  $SF$  (Figure 1). Therefore,  
98 the ratio of the recovery and underwater phase duration and the timing of  
99 the hand entry can be indicators of the bilateral coordination and its  
100 influence on  $SF$ .

101

102 \*\*Figure 1 around here\*\*

103

104 Body roll is an angular motion of the body around the longitudinal axis,  
105 comprising shoulder and hip roll ( $SR$  and  $HR$ ) (Psycharakis and Sanders,  
106 2010). Theoretically, swimmers should reduce the amplitude of body roll  
107 and/or increase the body roll angular velocity to achieve high body roll  
108 frequency and, as a consequence, high  $SF$ . It has been reported that male  
109 swimmers decrease their  $SR$  and  $HR$  amplitude when increasing  $SF$  in front  
110 crawl (Yanai, 2003), while they do not change their  $SR$  and  $HR$  amplitude  
111 depending on the speed in backstroke (Gonjo et al., 2016). Therefore, it is  
112 possible that swimmers produce higher  $SF$  in front crawl by achieving  
113 smaller body roll amplitude than in backstroke. However, there are no  
114 studies in the extant literature in which  $SR$  and  $HR$  have been compared

115 between front crawl and backstroke.

116 It is probable that front crawl is faster than backstroke due to its higher *SF*  
117 However, it is unclear which kinematic factors produce this *SF* advantage in  
118 front crawl. Discovering the technical advantages of front crawl compared to  
119 backstroke would be useful to gain insights into performance improvement  
120 in backstroke swimming. The purpose of the present study was to  
121 investigate the three-dimensional (3D) kinematics of front crawl and  
122 backstroke to assess why front crawl is faster than backstroke.

123

## 124 **Methods**

### 125 *Participants*

126 Ten male well-trained swimmers —front crawl (n = 4), backstroke (n = 3),  
127 and medley (n = 3) specialists participated in this study (Table 1). They were  
128 informed about testing procedure, benefits, and potential risks, which were  
129 approved by the ethics committees of the University of Edinburgh as well as  
130 the University of Porto, and written informed consent was obtained from  
131 each participant.

132

133 **\*\*Table 1 around here\*\***

134

135 ***Testing protocol***

136 Before testing, participants were marked on 19 anatomical landmarks (the  
137 vertex of the head, the right and left: tip of the distal phalanx of the middle  
138 finger, wrist axis, elbow axis, shoulder axis, hip axis, knee axis, ankle axis,  
139 fifth metatarsophalangeal joint, and the distal phalanx of the middle toe)  
140 using black oil and wax-based cream (Grimas Crème Make-Up). To obtain  
141 personalised body segment parameter data (*p-BSP*), swimmers stand in the  
142 anatomical position in a calibrated space and were captured by two digital  
143 cameras (Lumix DMC-FZ40, Panasonic, Osaka, Japan) from front and side  
144 views simultaneously. The images were manually digitised to apply the  
145 elliptical zone method (Jensen, 1978), which is an approach to estimate *p-*  
146 *BSP* non-invasively by modelling each body segment as ellipses with known  
147 depth and diameters. From the digitised data and segmental density data  
148 reported in Dempster (1955), the mass, centre of mass (*COM*) location  
149 relative to the endpoints, and moments of inertia of each segment were  
150 obtained. The digitising and modelling process was conducted using the ‘E-  
151 Zone’ software (Deffeyes and Sanders, 2005; Sanders et al., 2015).

152 The testing was conducted in a centre lane of a 25 m indoor pool that was  
153 calibrated using a calibration frame of 6 m length aligned with the  
154 swimming direction (X), 2.5 m height (Y), and 2 m width (Z) (De Jesus et al.,  
155 2015) with 32 underwater and 32 above water control points as input to a  
156 3D direct linear transformation (*DLT*) reconstruction. The reconstruction  
157 error was less than 0.1, 0.3, and 0.4% of the calibrated volume (30 m<sup>3</sup>) for



158 the X-, Y-, and Z-direction, respectively. Testing comprised two 50 m bouts at  
159 maximum effort, one for front crawl and the other for backstroke. Each  
160 testing session follows individual warm-ups on land and in water. The order  
161 of the trials was randomised, and swimmers were instructed to avoid  
162 underwater kicking after the push-off to prevent the technique affecting the  
163 motion in mid-pool. Since some swimmers spent longer time underwater  
164 after the first push-off than the second push-off, the latter half of 50 m was  
165 selected for the analysis to minimise potential effects of the transition from  
166 underwater to swimming phase.

#### 167 ***Data collection***

168 The calibrated space was captured by four underwater and two above-water  
169 cameras (HDR-CX160E, Sony, Tokyo, Japan) at a sampling frequency of 50  
170 Hz. They were synchronised using a light-emitting diode system, which was  
171 visible from all cameras. To maximise the accuracy of the *DLT* calculations,  
172 all cameras were fixed at different heights and angles to the line of motion  
173 of the swimmer to avoid their axes being in the same plane. Swimmers were  
174 instructed to avoid breathing in the calibrated area in front crawl since it  
175 affects their upper-limb kinematics (McCabe et al., 2015).

#### 176 ***Data processing and analysis***

177 One upper-limb cycle (the duration between the left or right wrist entry to  
178 the subsequent entry of the same wrist) in the calibrated space was chosen  
179 for the analysis. Ariel Performance Analysis System software (Ariel

180 Dynamics, Inc, CA) was used for video digitising and 3D coordinates  
181 reconstruction using 2D coordinates digitised from four (underwater) and  
182 two (above-water) camera views. The digitising process was conducted  
183 separately for underwater and above-water views. Both data were  
184 synchronised and sharing the same global coordinates, therefore, the two  
185 sets of data were merged based on the vertical coordinates of each  
186 landmark. Since an appropriate sampling frequency in maximum effort  
187 swimming is between 22.0-27.5 Hz (Gonjo et al., 2018), every second video  
188 field from each camera was digitised to yield a sampling frequency of 25 Hz.  
189 To minimise errors at the end of the data sets associated with filtering and  
190 derivation of velocity data, five extra frames before and after the upper-limb  
191 cycle were digitised, with data being extrapolated by reflection to an  
192 additional 20 points beyond the start and finish of the cycle (Sanders et al.,  
193 2016). Then, a 4th order Butterworth filter with a 4 Hz cut-off frequency  
194 was applied.

195 Whole-body *COM* location was determined by summing the moments of the  
196 segment *COM* mass about the X-, Y-, and Z-reference axes using *p-BSP*. The  
197 velocity of *COM* ( $v_{com}$ ) was obtained by differentiating the X-displacement of  
198 *COM* over the whole upper-limb cycle by the time taken for the cycle. *SF*  
199 was obtained as the inverse of the analysed upper-limb cycle duration. *SL*  
200 was obtained from the X-displacement of *COM* during the upper-limb cycle  
201 (McCabe et al., 2011). The analysed cycle was divided into the entry, pull,  
202 push, release, and recovery phases. As the phase definition in front crawl  
203 and backstroke varies in the literature, the five phases were established by

204 mixing extant definitions (Chollet et al., 2000; Gourgoulis et al., 2006; Lerda  
205 and Cardelli, 2003; McCabe et al., 2015) so that they could be compared  
206 based on the same equivalent temporal events.

207 The entry phase commenced at the instant the wrist water entry and  
208 concluded at the instant of its first backward movement relative to the  
209 external reference frame. The pull phase was the interval between the end  
210 of the entry phase and the instant that the X-coordinate of the wrist is  
211 closest to that of the ipsilateral shoulder. The push phase was from the end  
212 of the pull phase to the wrist having a positive velocity in X-direction  
213 relative to the external reference frame. The release phase was defined as  
214 the interval between the end of the push phase and the wrist exit.

215 The timing of the hand entry was obtained as an indicator of the bilateral  
216 coordination of the upper-limbs, which was quantified as the time of the  
217 hand entry in relation to the underwater phase percentile timeline of the  
218 other hand (%). *SR* and *HR* angles were determined as the angles between  
219 the unit vector of the line joining the shoulders and hips projected onto the  
220 YZ-plane and the Y-axis. The wrist joint was assumed to represent the hand  
221 motion to avoid errors due to the difficulty of digitising the fingertip when  
222 occluded by turbulence. Relative wrist speed ( $RS_{wrist}$ ) was defined as the  
223 mean of the instantaneous 3D wrist speeds relative to the shoulder. The  
224 distance the wrist moved ( $MD_{wrist}$ ) in each phase was quantified by  
225 multiplying  $RS_{wrist}$  and the phase duration. The velocity of the wrist in X-  
226 direction ( $v_{x-wrist}$ ) and YZ-direction ( $v_{yz-wrist}$ ) was calculated by dividing the

227 displacement change in the respective direction (X) and the plane (Y-Z) by  
228 the time. Since the displacement and velocity of the wrist are affected by the  
229 elbow joint kinematics, the elbow joint angles were quantified as the arc-  
230 cosine of the dot product of the upper and lower arm unit vectors (Figure 2),  
231 and the mean angle ( $\theta_M$ ) and the difference between the maximum and  
232 minimum angles (the range of elbow joint angle:  $\theta_{ROA}$ ) were calculated for  
233 each phase. All variables related to left and right upper limbs were assessed  
234 for both sides, and the mean values were assumed to represent the variable  
235 of each phase.

236

237 \*\*Figure 2 around here\*\*

238

### 239 *Statistical analysis*

240 To assess the differences in kinematic variables between the swimming  
241 techniques, a paired t-test was used with a statistical significance level of  $p$   
242  $< 0.05$ . Cohen's  $d$  was calculated to estimate the effect size with  $d = 0.20$ ,  
243  $0.50$ , and  $0.80$  being deemed to represent small, medium, and large effects  
244 (Cohen, 1988). Before the t-test, the normality of all data in front crawl and  
245 backstroke was checked and confirmed using the Shapiro-Wilk test. The  
246 tests were conducted using IBM SPSS Statistics 19 (IBM Corporation,  
247 Somers, NY, USA).

248

249 **Results**

250 Table 2 displays the analysed kinematic variables. There was no difference  
251 in  $SL$  between front crawl and backstroke, while swimmers had around 10%  
252 faster  $v_{com}$  and 13% higher  $SF$  in front crawl than in backstroke. The  
253 recovery phase relative duration in front crawl was about 16% shorter than  
254 backstroke, and the timing of entry relative to the other hand's underwater  
255 phase timeline was 7% earlier in front crawl than backstroke. Maximum  $SR$   
256 amplitude in front crawl was larger by 6% than backstroke, whereas  
257 maximum  $HR$  amplitude was smaller by 18% in front crawl than in  
258 backstroke. Swimmers had larger maximum  $SR$  and  $HR$  angular velocity in  
259 front crawl than backstroke (about 46 and 26% differences, respectively).

260

261 **\*\*Table 2 around here\*\***

262

263 The differences in pull and push phase absolute duration between the two  
264 techniques were not significant, while swimmers had 59% longer entry  
265 phase and almost four times shorter release phase duration in front crawl  
266 than backstroke (Table 3). Swimmers also had a larger  $MD_{wrist}$  during the  
267 entry and push phases in front crawl than backstroke (about 29 and 13%  
268 difference, respectively).  $MD_{wrist}$  during the pull and release phases were  
269 smaller in front crawl than backstroke (8 and 149% difference, respectively).  
270 There were no differences in  $RS_{wrist}$  in both recovery and whole underwater

271 phases, even though front crawl had faster  $RS_{wrist}$  in the push and release  
272 phases and slower  $RS_{wrist}$  in the entry and pull phases than backstroke.  $\theta_M$   
273 was larger in backstroke than front crawl in both whole underwater and  
274 recovery phases (3 and 23% differences, respectively). Among the  
275 underwater phases, the difference in the release phase was especially  
276 notable (about 19% larger in backstroke than in front crawl).  $\theta_{ROA}$  was three  
277 times higher in front crawl than backstroke in the recovery phase. Even  
278 though there was no difference in the whole underwater  $\theta_{ROA}$ , it was more  
279 than two times larger in backstroke than in front crawl in the release phase.

280 During the whole underwater phase,  $v_{X-wrist}$  in front crawl was three times  
281 larger than in backstroke, whereas  $v_{YZ-wrist}$  during the whole underwater  
282 phase was not different between the techniques. Among the underwater  
283 phases, swimmers showed larger  $v_{X-wrist}$  at every phase in front crawl than  
284 in backstroke except the pull phase where no difference was observed  
285 between the techniques.  $v_{YZ-wrist}$  was larger in backstroke than in front  
286 crawl in the entry and pull phases whereas that in push and release phases  
287 was smaller in backstroke than in front crawl. An example of the wrist  
288 displacement in X-, Y-, and Z-direction of the best participant is also  
289 provided in Appendix 1.

290

291 \*\*Table 3 around here\*\*

292

293 **Discussion**

294 Swimmers had faster  $v_{com}$  with higher  $SF$  in front crawl than backstroke,  
295 while  $SL$  was similar, indicating that front crawl is faster than backstroke  
296 because of its higher  $SF$ . However, there was no difference in  $RS_{wrist}$  in both  
297 whole underwater and recovery phases, demonstrating that the difference in  
298  $SF$  was due to the smaller  $MD_{wrist}$  in front crawl than backstroke. Given  
299 that backstroke had a longer duration and larger  $MD_{wrist}$  than front crawl in  
300 the release phase, the difference in  $SF$  was primarily attributed to this  
301 phase. Even though differences in  $MD_{wrist}$  during the other phases were  
302 observed, the largest effect size (3.60) in  $MD_{wrist}$  during the release phase  
303 among all phases indicated that the primary source of the difference in  $SF$   
304 was the release phase. Similarly, the differences in  $\theta_M$  and  $\theta_{ROA}$  (larger in  
305 backstroke than front crawl) during the release phase implied that the  
306 difference in  $MD_{wrist}$  was attributed to the elbow angle differences between  
307 the techniques.

308 In backstroke, the timing of the events defining the release phase varied  
309 among swimmers (Figure 3). However, all swimmers tended to conduct the  
310 entire last wrist underwater upward motion (clearing motion) in this phase,  
311 meaning that the clearing motion is a primary motion during the phase  
312 (Figure 3). Chollet et al. (2008) and Lerda and Cardelli (2003) emphasised  
313 the importance of minimising the time spent on this motion to keep  
314 continuous propulsion. Our results supported this suggestion, since  
315 minimising the clearing motion would reduce the release phase duration,

316 which would lead swimmers to achieve high *SF*.

317

318 **\*\*Figure 3 around here\*\***

319

320 To reduce the clearing motion duration, it would be essential to minimise  
321 the second down-sweep motion. However, swimmers probably should not  
322 sacrifice the force produced during this motion. Figure 4 displays examples  
323 of *SR* angular velocity and vertical wrist displacement in front crawl and  
324 backstroke of a participant who had the fastest best records in both  
325 techniques. In front crawl, this swimmer achieved the maximum angular  
326 velocity at the end of the push phase, while it occurred when the swimmer  
327 completed his second down-sweep in backstroke. This difference possibly  
328 indicates the role of *SR* differing between the techniques. For example,  
329 swimmers roll their shoulder to assist their up-sweep motion in front crawl,  
330 while the second down-sweep motion assists the shoulder to roll in  
331 backstroke. In other words, it is probably necessary for swimmers to  
332 produce a certain amount of downward force during the second down-sweep  
333 in backstroke to facilitate *SR*, which might contribute to an inline entry of  
334 the contralateral hand and placing it in a deep position to make a strong  
335 catch (Alves et al., 2004).

336

337 **\*\*Figure 4 around here\*\***



339 As suggested in the introduction, an early wrist entry timing in relation to  
340 the timeline of the whole underwater phase of the other upper limb would  
341 theoretically contribute to high  $SF$ . In the current study, swimmers achieved  
342 a shorter relative recovery phase duration by entering the hand earlier in  
343 relation to the underwater phase timeline of the other hand in front crawl  
344 than backstroke. In other words, the swimmers had a more effective  
345 coordinative pattern in front crawl than backstroke, from the perspective of  
346 achieving high  $SF$ .

347 There was no difference in  $RS_{wrist}$  during the recovery phase while  $MD_{wrist}$   
348 was longer in backstroke than in front crawl. These results suggest that the  
349 difference in the recovery phase duration (and consequently, the entry  
350 timing) was due to the difference in  $MD_{wrist}$  between the techniques. The  
351 longer  $MD_{wrist}$  in backstroke than front crawl was probably linked to the  
352 larger  $\theta_M$  and smaller  $\theta_{ROA}$  in backstroke than in front crawl (i.e. bended-  
353 elbow and straight-arm recovery). The cause-effect relationship between  
354  $MD_{wrist}$  and the elbow kinematics is difficult to establish. However, if  $SR$  is  
355 strongly linked to the second down-sweep in backstroke as suggested above,  
356 the swimmers probably had the larger  $\theta_M$  and smaller  $\theta_{ROA}$  in backstroke  
357 than in front crawl because they had to achieve longer  $MD_{wrist}$  to maintain  
358 certain bilateral coordination (i.e., coincide the second down-sweep with the  
359 entry and first down-sweep of the other upper-limb).

360 In the introduction, differences in  $SR$  and  $HR$  between front crawl and

361 backstroke were identified as possible factors contributing to the difference  
362 in  $SF$  between the techniques. However, contrary to the expectation,  
363 swimmers had approximately 6% larger maximum  $SR$  amplitude in front  
364 crawl than in backstroke. On the other hand, maximum  $HR$  angle in front  
365 crawl was 18% smaller than in backstroke. The differences in maximum  $SR$   
366 and  $HR$  angular velocities were more obvious than the roll amplitudes with  
367 the differences of 46 and 26% (larger in front crawl than in backstroke) in  
368 the maximum  $SR$  and  $HR$  angular velocity, respectively. It is unclear  
369 whether the large roll angular velocity increased  $SF$ , or conversely,  $SF$   
370 affected the roll angular velocity. Nevertheless, the roll angular velocity  
371 remains as a potential explanation of the  $SF$  difference between the  
372 techniques.

373 There was no difference in the underwater  $RS_{wrist}$  between the two  
374 techniques, despite the difference in  $SF$ . On the contrary, swimmers had  
375 smaller  $v_{x-wrist}$  in backstroke than in front crawl during the whole  
376 underwater phase due to the smaller  $v_{x-wrist}$  during the entry, push, and  
377 release phases, while there was no difference in  $v_{yz-wrist}$  during the whole  
378 underwater phase. The difference in  $v_{x-wrist}$  was due to the different  $v_{com}$   
379 between the techniques. Even though swimmers moved their wrist with an  
380 identical speed relative to the body in both techniques, the wrist moved  
381 backwards faster relative to the water in backstroke than in front crawl due  
382 to the slower forward swimming speed.

383 Given that the forces in water are related to the hand speed (Kudo et al.,

384 2012), the similar underwater  $v_{yz-wrist}$  between the techniques might suggest  
385 that swimmers produced an equivalent amount of lift force by the hands in  
386 both techniques. On the other hand, the result of  $v_{x-wrist}$  during the push  
387 phase implied a possibility that swimmers might have applied smaller  
388 propulsive drag force by the hands in front crawl than in backstroke because  
389 a negative  $v_{x-wrist}$  indicates the wrist moving backwards relative to the  
390 swimming direction. If this is the case, it implies that either backstroke had  
391 a larger active drag than front crawl or the contribution of the other body  
392 parts (such as lower limbs, upper arm, and forearm) to the propulsion is  
393 much larger in front crawl than backstroke. Nevertheless, a limitation of  
394 the present study is the lack of kinetic factors such as propulsive and  
395 resistive forces as well as the hand orientation data, which should be  
396 further investigated using kinetic analysis such as pressure distribution  
397 analysis combined with a detailed 3D motion analysis (Kudo et al., 2017;  
398 Tsunokawa et al., 2017).

399 In conclusion, front crawl is faster than backstroke because of its higher  $SF$ ,  
400 which was due to the shorter absolute release phase and relative recovery  
401 phase durations. Since the information in the present study is limited to  
402 kinematics, kinetic differences between the techniques should be examined  
403 in the future.

404

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409

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499



500 Table 1. Age, height, weight, and 100 m performance information of the participants.

|      | Age<br>(years) | Height<br>(cm) | Weight<br>(kg) | 100 m best record        |                         | 100 m FINA point |            |
|------|----------------|----------------|----------------|--------------------------|-------------------------|------------------|------------|
|      |                |                |                | Front crawl<br>[s (%WR)] | Backstroke<br>[s (%WR)] | Front crawl      | Backstroke |
| Mean | 17.47          | 179.14         | 69.94          | 54.50 (82.49)            | 60.56 (80.85)           | 562.07           | 529.16     |
| SD   | 1.00           | 5.43           | 6.54           | 1.23 (1.91)              | 1.29 (1.72)             | 40.08            | 33.85      |

501

502 Table 2. Centre of mass velocity, stroke frequency and length, the timing of entry,  
503 maximum shoulder and hip roll amplitude, and maximum shoulder and hip roll angular  
504 velocity in front crawl and backstroke.

|  | Front crawl        | Backstroke         | % difference | P-value | Cohen's d |
|--|--------------------|--------------------|--------------|---------|-----------|
| Centre of mass velocity ( $\text{m}\cdot\text{s}^{-1}$ )               | 1.70 $\pm$ 0.04    | 1.54 $\pm$ 0.06    | 9.52         | <0.01   | 3.14      |
| Stroke frequency<br>( $\text{cycles}\cdot\text{min}^{-1}$ )            | 51.67 $\pm$ 6.38   | 44.81 $\pm$ 4.68   | 13.28        | <0.01   | 1.23      |
| Stroke length<br>( $\text{m}\cdot\text{cycle}^{-1}$ )                  | 2.00 $\pm$ 0.25    | 2.07 $\pm$ 0.17    | 3.77         | 0.16    | 0.33      |
| Relative recovery phase<br>duration (% stroke cycle)                   | 28.69 $\pm$ 2.50   | 33.21 $\pm$ 1.43   | 15.74        | <0.01   | 2.22      |
| Entry timing<br>(% underwater phase)                                   | 69.90 $\pm$ 2.60   | 74.75 $\pm$ 1.76   | 6.94         | <0.01   | 2.18      |
| Max shoulder roll<br>amplitude ( $^{\circ}$ )                          | 52.88 $\pm$ 4.89   | 49.73 $\pm$ 5.73   | 5.95         | <0.05   | 0.59      |
| Max hip roll<br>amplitude ( $^{\circ}$ )                               | 33.79 $\pm$ 6.07   | 39.83 $\pm$ 7.25   | 17.90        | <0.05   | 0.90      |
| Max shoulder roll<br>angular velocity ( $^{\circ}\cdot\text{s}^{-1}$ ) | 442.37 $\pm$ 69.82 | 238.08 $\pm$ 70.83 | 46.18        | <0.01   | 2.90      |
| Max hip roll<br>angular velocity ( $^{\circ}\cdot\text{s}^{-1}$ )      | 254.33 $\pm$ 32.97 | 187.90 $\pm$ 42.97 | 26.12        | <0.01   | 1.73      |

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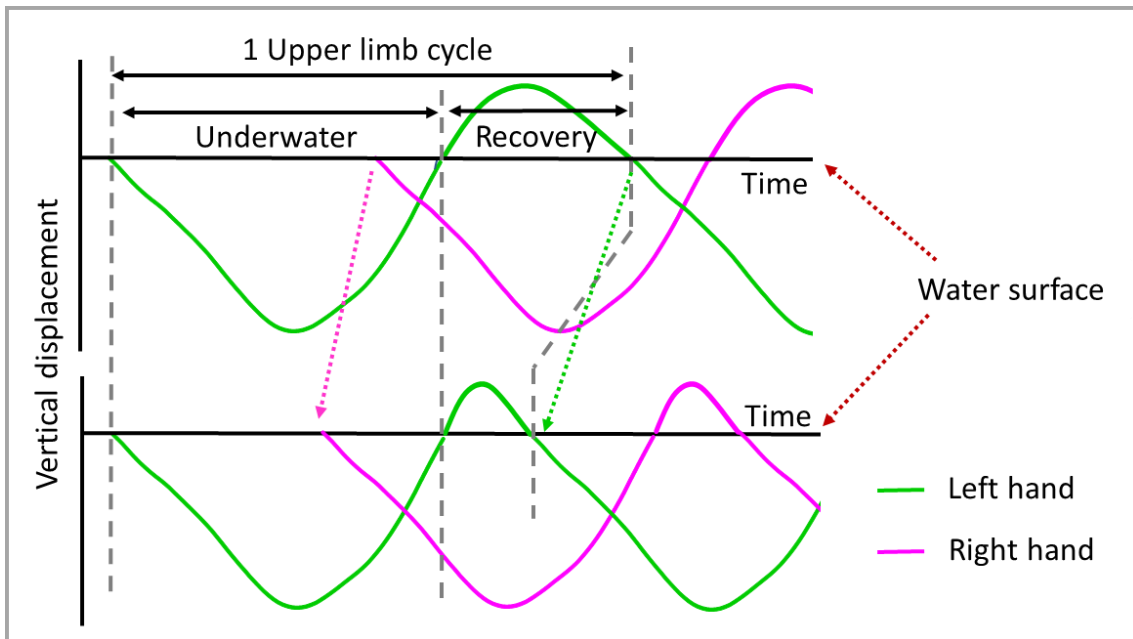
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510 Table 3. Duration, the distance the wrist travelled, relative wrist speed, wrist velocity in  
511 X- and YZ-direction, mean and the range of the elbow joint angle of the entry, pull,  
512 push, release, recovery, and whole underwater phases in front crawl and backstroke. \*  
513 and \*\* show significant differences from front crawl with  $p<0.05$  and  $p<0.01$ ,  
514 respectively.

|  |             | Entry        | Pull         | Push         | Release       | Whole underwater | Recovery      |
|--|-------------|--------------|--------------|--------------|---------------|------------------|---------------|
| Duration (s)                                       | Front crawl | 0.35±0.09    | 0.21±0.01    | 0.22±0.01    | 0.07±0.03     | 0.84±0.11        | 0.34±0.04     |
|  | Backstroke  | 0.22±0.08**  | 0.20±0.01    | 0.22±0.04    | 0.26±0.07**   | 0.90±0.08**      | 0.45±0.05**   |
|  | Cohen's d   | 1.56         | 0.25         | 0.01         | 3.79          | 0.62             | 2.60          |
| Distance the wrist moved (m)                       | Front crawl | 0.57±0.07    | 0.62±0.04    | 0.77±0.05    | 0.25±0.07     | 2.20±0.12        | 1.52±0.17     |
|  | Backstroke  | 0.40±0.09**  | 0.67±0.02*   | 0.67±0.08*   | 0.63±0.13**   | 2.37±0.12**      | 1.98±0.11**   |
|  | Cohen's d   | 2.03         | 1.49         | 1.58         | 3.60          | 1.42             | 3.22          |
| Relative wrist speed ( $m\cdot s^{-1}$ )           | Front crawl | 1.71±0.46    | 2.99±0.13    | 3.55±0.17    | 4.02±0.55     | 2.66±0.33        | 4.55±0.52     |
|  | Backstroke  | 1.95±0.40**  | 3.32±0.28*   | 3.22±0.42*   | 2.35±0.38**   | 2.64±0.18        | 4.45±0.44     |
|  | Cohen's d   | 0.57         | 1.46         | 1.03         | 3.53          | 0.09             | 0.21          |
| Wrist velocity in X-direction ( $m\cdot s^{-1}$ )  | Front crawl | 1.58±0.12    | -0.79±0.10   | -0.97±0.15   | 1.49±0.46     | 0.32±0.20        | 5.06±0.37     |
|  | Backstroke  | 1.30±0.26**  | -0.83±0.46   | -1.14±0.24*  | 0.91±0.37**   | 0.10±0.13**      | 4.33±0.37**   |
|  | Cohen's d   | 1.41         | 0.13         | 0.85         | 1.40          | 1.29             | 1.97          |
| Wrist velocity in YZ-direction ( $m\cdot s^{-1}$ ) | Front crawl | 1.52±0.39    | 1.19±0.11    | 1.88±0.19    | 3.08±0.48     | 1.64±0.23        | 2.29±0.40     |
|  | Backstroke  | 1.71±0.31*   | 1.43±0.19**  | 1.21±0.18**  | 2.09±0.36**   | 1.60±0.13        | 2.76±0.25**   |
|  | Cohen's d   | 0.52         | 1.56         | 3.68         | 2.31          | 0.22             | 1.40          |
| Mean elbow joint angle (°)                         | Front crawl | 169.84±2.21  | 136.74±7.29  | 117.55±3.79  | 140.49±10.07  | 145.34±2.24      | 136.54±19.41  |
|  | Backstroke  | 166.74±3.01* | 135.99±11.08 | 125.41±11.45 | 166.82±2.29** | 150.52±5.41*     | 168.16±2.22** |
|  | Cohen's d   | 1.17         | 0.08         | 0.92         | 3.60          | 1.25             | 2.29          |
| The range of elbow joint angle (°)                 | Front crawl | 18.63±6.28   | 49.60±8.08   | 36.90±11.19  | 10.25±5.50    | 72.66±8.13       | 56.76±25.66   |
|  | Backstroke  | 20.99±12.86  | 44.71±11.85  | 43.43±12.12  | 24.93±12.22** | 68.34±10.86      | 18.87±3.21**  |
|  | Cohen's d   | 0.23         | 0.48         | 0.56         | 1.55          | 0.45             | 2.07          |

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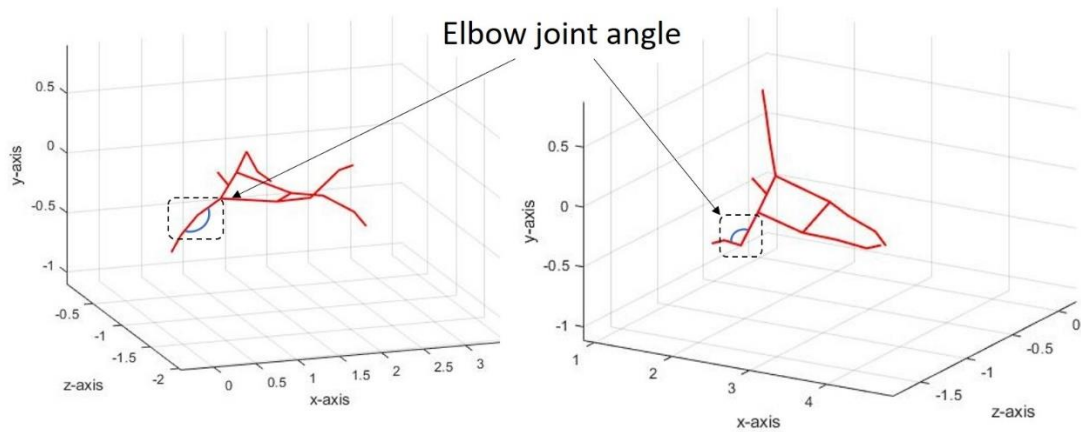


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518 Figure 1. A model explaining the theoretical relationship between the recovery phase  
 519 duration, the timing of hand entry, and upper limb cycle time (i.e., stroke frequency).

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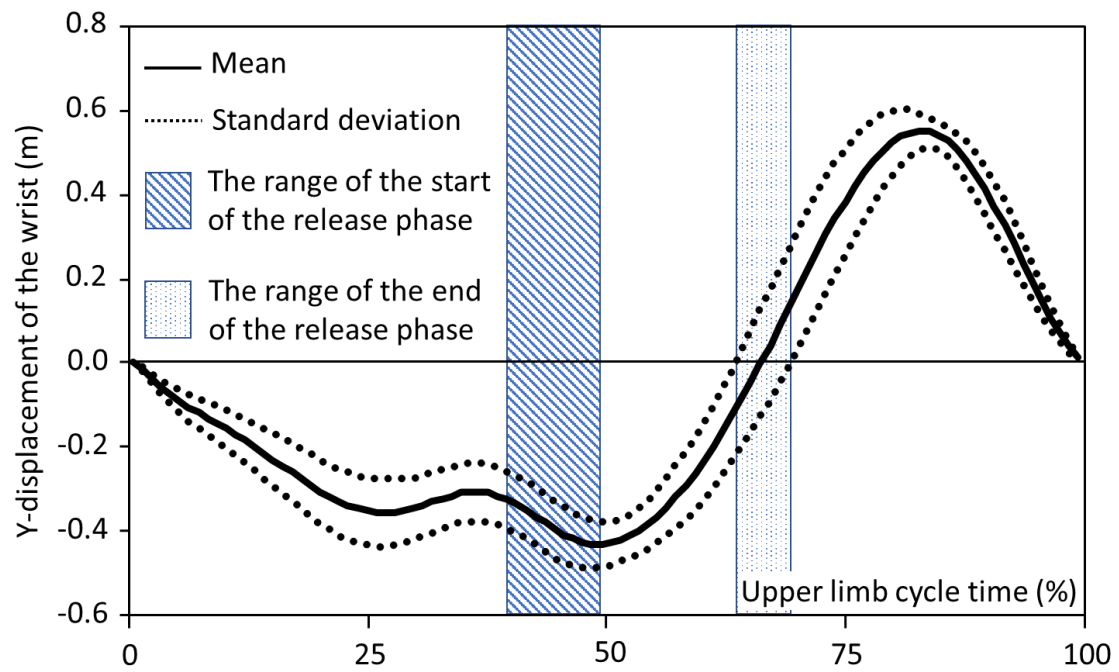
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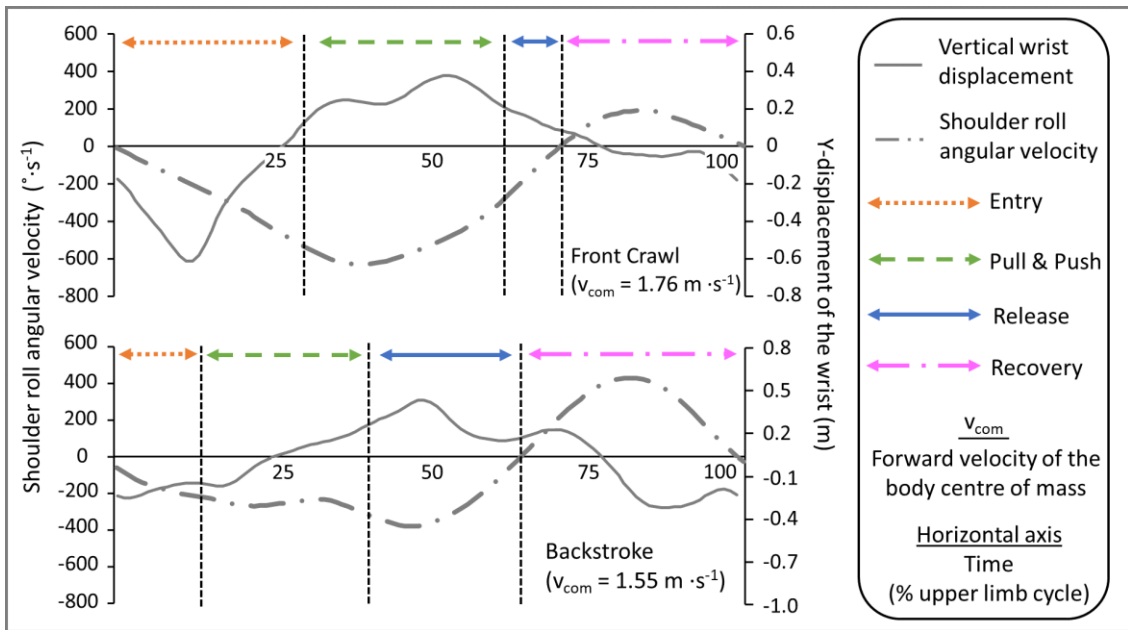
523 Figure 2. Elbow joint angle in front crawl and backstroke.

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525

526 Figure 3. The mean Y-displacement (vertical direction) of the wrist among the ten  
 527 participants over the upper limb cycle with a range of the start and the end of the release  
 528 phase.

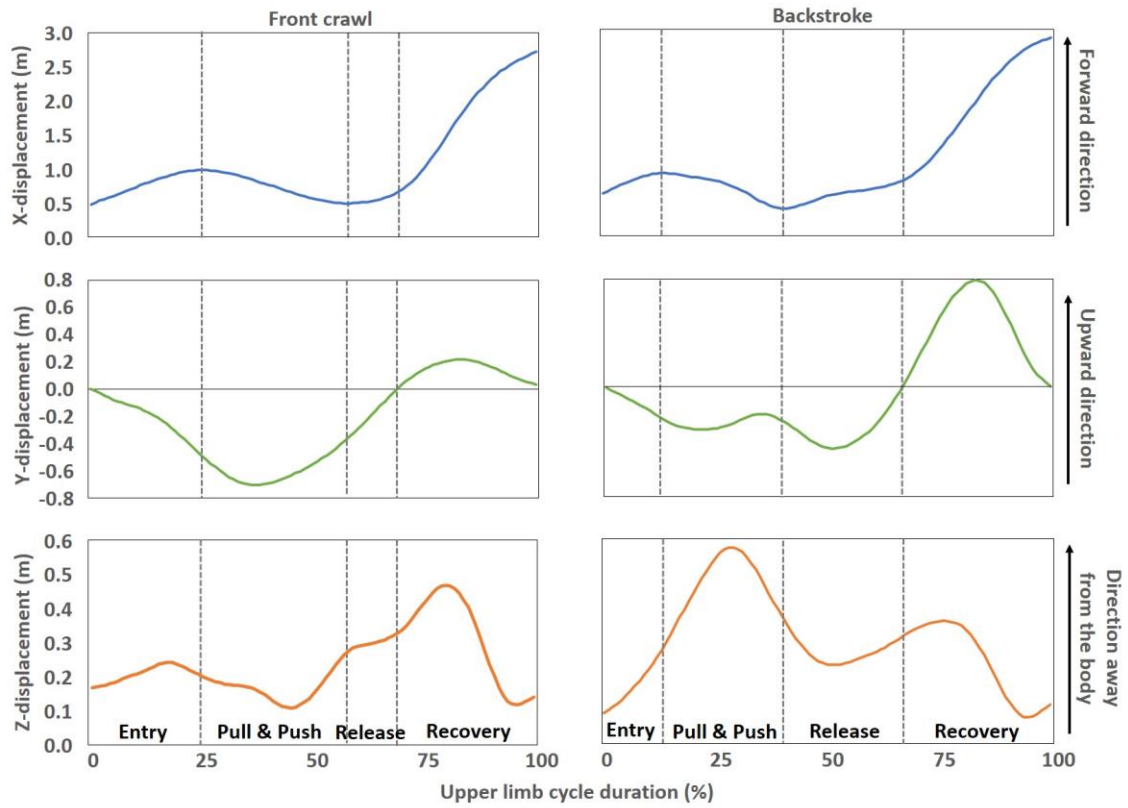


529

530 Figure 4. Examples of the Y-displacement (vertical direction) of the wrist and shoulder  
 531 angular velocity in front crawl and backstroke.

532

533



534

535 Appendix 1. An example of the wrist displacement in X-, Y-, and Z-direction of the best  
 536 participant.

537