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1	ORIGINAL RESEARCH ARTICLE						
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# 31 Summary statement

The present study shows in accordance with the Dynamical Systems Theory, that lower limb attractor stability is highest at a particular gait mode closest to the corresponding preferred speed.

#### 36 Abstract

During locomotion, humans change gait mode between walking and running as 37 locomotion speed is either increased or decreased. Dynamical Systems Theory predicts that the 38 self-organization of coordinated motor behaviors dictates the transition from one distinct stable 39 40 attractor behavior to another distinct attractor behavior (e.g. walk to run or vice versa) as the speed is changed. To evaluate this prediction, the present study investigated the attractor stability 41 of walking and running across a range of speeds evoking both self-selected gait mode and non-42 self-selected gait mode. Eleven subjects completed treadmill walking for 3 minutes at 0.89, 1.12, 43 1.34, 1.56, 1.79, 2.01, 2.24, 2.46 m/s and running for 3 minutes at 1.79, 2.01, 2.24, 2.46, 2.68, 44 45 2.91, 3.13, 3.35 m/s in randomized order while lower limb joint angles and sacrum displacements was recorded. Attractor stability was quantified by continuous relative phase and 46 deviation phase of lower limb segment angles, and the largest Lyapunov exponent, correlation 47 dimension and movement variability of the sacrum marker displacement and the hip, knee and 48 ankle joint angles. Lower limb attractor stability during walking was maximized at speeds close 49 to the self-selected preferred walking speed and increased during running as speed was 50 increased. Furthermore, lower limb attractor stability was highest at a particular gait mode 51 closest to the corresponding preferred speed, in support of the prediction of Dynamical Systems 52 Theory. This was not the case for the sacrum displacement attractor, suggesting that lower limb 53 attractor behavior provides a more appropriate order parameter compared to sacrum 54 55 displacement.

### 57 **1. Introduction**

### 58 **1.1 Gait mode selection**

59 During terrestrial locomotion, bipeds and quadrupeds are able to shift between multiple gait modes, with the transition occurring within a relatively few steps (e.g. from walk to run or 60 trot to gallop). The underlying control mechanisms that evoke the transition between gait modes 61 62 have been investigated intensively, and several possible driving factors have been discussed in the literature. These involve the minimization of energy expenditure (Hoyt and Taylor, 1981; 63 Hreljac, 1993b; Minetti et al., 1994; Thorstensson and Roberthson, 1987), the mechanical 64 limitation of different gait modes (Alexander, 1977; Hreljac, 1993a; Hreljac, 1995a; Hreljac, 65 1995b; Kram et al., 1997; Ranisavljev et al., 2014; Thorstensson and Roberthson, 1987), the 66 minimization of mechanical stress (Biewener and Taylor, 1986; Biewener et al., 1983; Farley 67 and Taylor, 1991; Hreljac, 1993a; Taylor, 1985) and the integration of sensory input and 68 centrally controlled rhythmic motor output (Caggiano et al., 2018; Hansen et al., 2017; Kiehn, 69 2016; Prilutsky and Gregor, 2001; Thorstensson and Roberthson, 1987; Voigt et al., 2019). 70

71 These explanations primarily focus on a minimization or optimization of a specific 72 parameter that constitutes the governing mechanism for the transition between gait modes. This suggests that comprehensive computational work is required to determine when it is beneficial to 73 74 change gait. It also implies that a cost function (e.g. related to energy expenditure, muscular stress or joint forces) dictates the executed movements. However, as an alternative to this, 75 76 Dynamical Systems Theory suggests that the executed movement originates from a self-77 organization process creating better coordinated motor behaviors (i.e. the best solution given the constraints on the system and the task at hand) (Kelso et al., 1979). Furthermore, changes in 78 motor behavior occur through phase transition from one stable attractor behavior to another (e.g. 79 walk to run) (Haken et al., 1985; Kelso and Schöner, 1988). These changes can be initiated by 80 81 alterations in a control parameter (e.g. movement frequency or speed). The behavior of the 82 attractor can be summarized by an order parameter, i.e. a low-dimensional collective variable 83 providing a measure of the organizational state of the system (Haken, 1983).

In their seminal work, Diedrich and Warren (Diedrich and Warren, 1998; Diedrich and Warren, 1995) presented an illustration of the phase transition between two attractors in relation to human locomotion. This transition includes: 1) a qualitative change in the order parameter, 2) a sudden jump in the order parameter as the control parameter is continuously changed, 3) a

resistance to change to another basin of attraction as the control parameter is changed and 4) 88 decreased attractor stability, indicated by an increase in the magnitude of the variability of the 89 order parameter when approaching the transition point. Hence, two different principles can be 90 inferred. First, a control parameter-dependent attractor stability principle would suggest that a 91 change in control parameter (in this case, locomotion speed) will move the system from one 92 93 stable attractor (i.e. walking at the preferred walking speed (PWS)) through an unstable region before abruptly switching to a different, stable attractor (i.e. running at the preferred running 94 speed (PRS)). Secondly, an attractor stability optimization principle would suggest that the self-95 selected movement solution at a given speed will exhibit a more stable attractor compared to the 96 97 alternative movement solution. This means that walking at speeds close to PWS will exhibit a more stable attractor compared to running at the same speed. Similarly, running at speeds close 98 99 to the PRS will exhibit a more stable attractor compared to walking at the same speed. To test these two inferred principles experimentally, Diedrich and Warren (1995) recruited healthy 100 individuals to both walk and run at speeds ranging from below to above the walk-to-run 101 transition speed. The attractor stability was quantified as the variation in the relative phase of the 102 103 intersegmental lower limb coordination (i.e. the coupling between lower limb joint angles within the same leg (Diedrich and Warren, 1995)). In support of the two inferred principles, it was 104 105 observed that the stability decreased during walking at both low and high walking speeds with a local maximum at intermediate speeds close to PWS, and the stability decreased at low running 106 107 speeds but increased or remained constant at high running speeds. Furthermore, it was observed that stability was higher during walking at relatively low speeds compared to running at the same 108 109 speed. The opposite pattern was seen at relatively high speeds but only for the ankle-knee joint coupling (Diedrich and Warren, 1995). 110

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## **1.2 Dynamics of human locomotion**

112 The method applied by Diedrich and Warren (Diedrich and Warren, 1998; Diedrich and 113 Warren, 1995) captures the spatiotemporal configurations of the system by providing a measure 114 of the synchronized oscillatory motion of two coupled segments. However, according to 115 Dynamical Systems Theory the attractor behavior of the system in question is characterized not 116 only by the spatiotemporal configurations of elements (e.g. coordination of segments across the 117 gait cycle) but also by the temporal development of the spatial configurations, i.e. the dynamics. 118 The latter part addresses how one spatial configuration influences future configurations (e.g. the

temporal relationship between subsequent coordination of segments) and has been linked to the 119 underlying motor control strategy (Newell and Corcos, 1993). This feature of dynamical systems 120 is related to the attractor stability (Stergiou, 2004; Stergiou, 2016). Thus, increased attractor 121 stability of a dynamical system is characterized by a high statistical likelihood of the 122 reoccurrence of specific patterns in specific orders whereas decreased attractor stability is 123 characterized by a random structure with low statistical likelihood of repeated patterns. 124 Therefore, we argue that the investigation of stability according to Diedrich and Warren 125 (Diedrich and Warren, 1998; Diedrich and Warren, 1995) is limited. We submit that their 126 methodology of evaluating the attractor's stability through the examination of the variation in the 127 relative phase of the intersegmental lower limb coordination needs to be supplemented with the 128 investigation of the temporal dynamics of the interacting components. Considering these 129 130 limitations in the investigative approach used by Diedrich and Warren (1995), it is crucial first to verify their observations using a similar protocol and analytical approach and second, to confirm 131 132 that their conclusions hold true when the temporal dynamics is evaluated.

Previous studies have quantified the dynamics in continuous human movements such as 133 134 walking in order to investigate the underlying motor control strategy (e.g. (Chien et al., 2015; England and Granata, 2007; Raffalt et al., 2017)). In agreement with the aforementioned 135 136 principle about control parameter-dependent attractor stability, the presence of a U-shaped relationship between movement dynamics and speed has been observed for both walking (Chien 137 138 et al., 2015; Raffalt et al., 2017) and running (Jordan et al., 2006). However, the methodological design of these studies did not challenge this attractor stability optimization principle. To do so 139 140 requires a study protocol that forces the motor control system to solve the locomotion task using an alternative solution compared to the preferred one. Therefore, the present study included a 141 142 protocol similar to that of Diedrich and Warren (1995), in which the constraints of the locomotor 143 task are manipulated and the control parameter are scaled, in order to evoke both stable selfselected movement solutions and unstable non-self-selected solutions to the same task. By 144 quantifying the dynamics of these alternative solutions, this alternative protocol permits the 145 attractor stability optimization principle to be challenged in the context of human locomotion. 146 The attractor stability principle optimization would be disproved if the self-selected movement 147 solution at a given speed does not exhibit greater attractor stability compared to the alternative 148 149 movement solution.

#### **150 1.3 Order parameter identification**

When assessing attractor behavior, the identification of an appropriate order parameter is 151 crucial, and a variety of variables have been investigated in relation to human walking and 152 153 running. These variables include center of mass displacement (Dingwell and Marin, 2006) or 154 acceleration (Raffalt et al., 2017), lower limb joint angles (England and Granata, 2007; Raffalt et al., 2017) and segment angles (Diedrich and Warren, 1995; Kurz et al., 2005; Stergiou et al., 155 2001). The attractor behavior of center of mass variables (displacement and acceleration) 156 represents the combined influence of all the movements within the system and can be strongly 157 158 linked to the energy cost of locomotion (Gottschall and Kram, 2003; Grabowski, 2010). In contrast, the attractor behavior of the joint or segment angles originates from the oscillatory 159 movement of the lower limb, and is related to its pendulum-like function during walking and its 160 spring-like function during running (Cavagna and Margaria, 1966; Cavagna et al., 1963). 161 However, to the best of our knowledge, no consensus exists on the selection of an order 162 parameter for human locomotion. By including both center of mass variables and lower limb 163 joint angle-based behavior attractors, the present study sought to clarify which of these variables 164 best captures the movement dynamics of human locomotion. 165

### 166 **1.4 Study purpose**

The purpose of the present study was to investigate the attractor stability of two tasks, 167 walking and running, across speeds, with changed task constraints evoking both stable self-168 169 selected movement solutions and unstable non-self-selected movement solutions. To fulfill this purpose, the present study adopted the same experimental and analytical approach as Diedrich 170 171 and Warren (1995) and, additionally, investigated the stability of the movement attractor through 172 an evaluation of the temporal dynamics. Furthermore, the present study aimed to identify an 173 appropriate order parameter; investigating the response both of center of mass variables and lower limb joint angle variables to alterations in speed and task constraints. The present study 174 175 included healthy young subjects who walked and ran at speeds below and above their PWS and 176 the PRS. Continuous relative phase was used to quantify the oscillatory motion of the coupled lower limb segments as a measure of the segmental coordination, and the deviation phase was 177 used to assess the stability of the executed coordination pattern. The temporal dynamics of the 178 179 center of mass displacements and lower limb joint angles was investigated using the largest Lyapunov exponent (LyE) and correlation dimension (CoD). LyE and CoD quantify the 180

exponential rate of divergence or convergence of the attractor's trajectory in state space (Wolf et al., 1985) and the fractal dimension of the attractor in the occupied state space (Grassberger and Procaccia, 1983), respectively. Additionally, movement variability was assessed by the ensemble average standard deviation (meanSD) of the center of mass displacement and lower limb joint angles across the gait cycle.

In agreement with the two principles inferred from Diedrich and Warren (1995), we 186 formulated the following hypotheses: 1) the movement solution during walking at PWS and 187 during running at PRS is a stable behavioral attractor for that particular gait mode while speeds 188 below and above would display significantly different dynamics and 2) at speeds close to the 189 preferred speed of a particular gait mode the movement solution would exhibit a more stable 190 attractor behavior compared to the alternative gait mode at the same speed. When using the 191 relative phase approach, stable attractor behavior would be characterized by a low deviation 192 phase of the thigh-shank coupling and thigh-foot coupling consistent with Diedrich and Warren 193 (1995). When assessing the dynamics of the attractor behavior, stable attractors would be 194 characterized by low values of LyE and CoD and when assessing movement variability, stable 195 196 attractors would be characterized by a low meanSD.

To evaluate whether a center of mass movement based or a joint angle based attractor behavior constitutes the most appropriate order parameter for human locomotion, the present study included three-dimensional kinematic measurements of the sacrum position and sagittal plane hip, knee and ankle joint angles. It could be speculated that the variable(s) confirming the raised hypotheses would represent the most appropriate order parameter(s).

#### **203 2.** Materials and methods

The present investigation included analysis of data collected in a previous study (Raffalt et al., 2019). The present and previous study share the same subjects and experimental equipment (motion capture system and treadmill). The present study, however, includes an extended protocol and analyses of unpublished data.

208 **2.1 Subjects** 

Five males and six females (mean  $\pm$  standard deviation age: 23.3  $\pm$  3.9 years, body 209 height:  $1.74 \pm 0.10$  meters and body mass:  $72.1 \pm 14.3$  kg) were included in the present study. 210 The participants were physically active, familiar with treadmill walking and running and did not 211 report any musculoskeletal injuries or cardiovascular or neurological diseases. All participants 212 were informed of the experimental procedures before giving their written consent to participate 213 214 in the study. The study was approved by the Institutional Review Board of the University of Nebraska Medical Center and the study was carried out in accordance with the approved 215 protocol. 216

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## **2.2 Experimental setup and procedure**

After completing a brief warm up session on a treadmill, the PWS and the PRS of each 218 219 participant were established using a standardized protocol explained elsewhere (Dingwell and 220 Marin, 2006). Briefly, the participants were blinded to the speed of the treadmill as it was gradually increased and decreased above and below what was reported as comfortable. The 221 average of the speeds reported as comfortable were termed as PWS and PRS, respectively. The 222 223 mean  $\pm$  standard deviation of PWS and PRS were 1.26  $\pm$  0.23 m/s and 2.50  $\pm$  0.34 m/s, respectively. Following a short rest, the participants were fitted with 15 retro-reflective markers 224 placed bilaterally superficial to the: 1) anterior superior iliac spines, 2) greater trochanters, 3) 225 226 lateral knees, 4) tibial tubercles, 5) lateral ankles, 6) posterior heels (on shoes) and 7) fifth metatarsal heads, laterally (on shoes). An additional single marker was placed on the sacrum 227 228 (Vaughan et al., 1992). The participants then completed 8 trials of 3 minutes walking at 0.89, 1.12, 1.34, 1.56, 1.79, 2.01, 2.24, 2.46 m/s and 8 trials of 3 minutes running at 1.79, 2.01, 2.24, 229 230 2.46, 2.68, 2.91, 3.13, 3.35 m/s in randomized order of both speed and gait mode. Each trial was separated by at least 2 minutes rest to avoid fatigue development influencing the performance of 231 232 the participants. During the walking trials, the participants were instructed to continue to maintain a walk whereby at no point should both feet be off the ground, although the higher 233

speeds might result in discomfort and the urge to start light jog or run. Maintenance of ground 234 contact with at least one foot at all times was visually confirmed. In case of doubt, vertical 235 236 ground reaction forces recorded by the treadmill-embedded force platforms were consulted (data not included in the study). During running trials, the participants were instructed to continue to 237 maintain a run whereby at no point should both feet be on the ground and there should be a 238 239 period where both feet were off the ground, although the lower speeds might result in discomfort and the urge to start walking. During all trials, three-dimensional position data of the 15 markers 240 was continuously recorded at 120 Hz using a 12 high-speed camera system (Motion Analysis 241 Corp., Santa Rosa, CA). All subsequent analyses were on kinematic data and the sampling 242 frequency was determined to provide sufficient resolution for toe off event detection, the center 243 of mass displacement, segment and joint angles. 244

245 **2.3 Data analysis** 

All analyses were performed using custom written scripts in Matlab (Mathworks 2011, Inc.,Natick, MA).

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### 2.3.1 Continuous relative phase

The marker position data was low pass filtered at 8 Hz with a zero-phase lag, fourth order 249 Butterworth filter. Thigh, shank and foot segment angles with respect to the horizontal line in the 250 sagittal plane of the segment were calculated from each trial (Vaughan et al., 1992). An abrupt 251 252 change in the anterior-posterior (AP) displacement of the right toe marker indicating the change from a backward to a forward motion during the contact phase was identified as toe off of the 253 right foot. Seventy-five strides (i.e. right toe off to the subsequent right toe off) were identified as 254 the minimum number of completed strides across all strides and all subjects. The AP, 255 mediolateral (ML) and vertical (Vert) displacements, of the sacrum marker were used as a 256 surrogate of the center of mass displacement and were extracted together with the right hip, knee 257 258 and ankle joint angles for further analysis.

The procedure to calculate continuous relative phase is described briefly in the following text but further detail can be found elsewhere (Hamill et al., 1999; Kurz and Stergiou, 2004; Lamoth et al., 2002). It consisted of four steps. First, each segment angle was time-normalized to the stride phase. Second, a phase plane for each segment was created by plotting the normalized segment velocity as a function of the normalized segment angle following the normalization procedure presented by Hamill et al. (1999). Third, the phase angle was calculated as the angle

between the right horizontal and the vector connecting two consecutive pairs of coordinates in 265 each of the four quadrants. Phase angles were calculated for the thigh and shank segment 266 267 flexion/extension and for foot plantar-/dorsiflexion. Finally, the continuous relative phase was calculated for the thigh-shank segment coupling and the thigh-foot segment coupling by 268 subtracting the phase angle of the proximal segment from the phase angle of the distal segment. 269 Continuous relative phase values close to 0° indicate in-phase segment coordination and 270 continuous relative phase values close to 180° indicate out-of-phase segment coordination. The 271 average continuous relative phase for each subject was calculated by averaging it at each time 272 point across the seventy-five strides, and deviation phase was calculated as the standard 273 deviation at each time point across all strides. Finally, the mean continuous relative phase and 274 mean deviation phase were calculated by averaging the continuous relative phase and deviation 275 276 phase across the stride cycle, respectively.

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## **2.3.2** The largest Lyapunov exponent and correlation dimension

The marker position data was not filtered prior to inclusion in the following analyses. 278 Hip, knee and ankle joint angles in the sagittal plane were calculated (Vaughan et al., 1992) for 279 280 each trial. Before calculating LyE and CoD, the joint angles and sacrum position time series were reconstructed in state space using the method of delay embedding (Sauer and Yorke, 1993; 281 282 Sauer et al., 1991; Takens, 1981). The time delay (Tau) was calculated using the Average Mutual Information algorithm and the embedding dimension (EmD) was calculated using the False 283 284 Nearest Neighbor algorithm (Wurdeman, 2016). In agreement with our previous study (Raffalt et al., 2019), the individual Tau and EmD for each variable and each trial were used to reconstruct 285 each time series in state space. LyE was calculated using the algorithm presented by Wolf et al. 286 (1985) and the CoD was calculated using the algorithm presented by Grassberger and Procaccia 287 288 (1983).

The center of mass displacement variability (i.e. extracted from the sacrum marker position) and joint angle variability were calculated by 1) time-normalizing the time series to 100% of each stride, 2) calculating the standard deviation across all strides for each time point and 3) averaging the standard deviation across all time points (meanSD) (James, 2004).

**293 2.4 Statistics** 

Based on previous studies with similar, research question, experimental design and measures (Diedrich and Warren, 1995; Raffalt et al., 2017), it was estimated that a minimum of

10 participants were required to reach significant between-speed and between-gait mode 296 differences of at least 10 % with a statistical power of 80 % and a significance level of 5 %. Due 297 298 to the inability of a few subjects to walk at the highest speeds and to technical issues, data was lost from 10 out of the total 176 trials (11 subjects x 8 speeds x 2 gait modes). To evaluate the 299 first hypothesis, the effect of speed on each dependent variable was assessed for both gait modes 300 using a mixed model ANOVA for repeated measures with speed as the repeated factor. In case of 301 an overall effect of speed, a Holm-Sidak post hoc test was applied and a quadratic regression 302 analysis was performed to determine the nature of the relationship between speed and the 303 dependent variable in question. The overall percentage of variance accounted for by the 304 regression  $(r^2)$  and the p-value were determined. To evaluate the second hypothesis, the effect of 305 speed and gait mode on the dependent variables extracted from the four shared speeds (1.79, 306 2.01, 2.24 and 2.46 m/s) was assessed using a mixed model ANOVA with speed and gait mode 307 as the repeated factors. In case of an overall effect of speed, gait mode and the speed-mode 308 interaction, a Holm-Sidak post hoc test was applied. The level of significance was set at 0.05. All 309 statistics were computed in SPSS (IBM SPSS Statistics, version 24, 2016, USA). 310

## **311 3. Results**

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## **3.1 Segment coordination and coordination variability**

There was a significant effect of speed during both walking and running on the mean 313 average continuous relative phase for the thigh-shank segment coupling (Table 1). At relatively 314 low and high walking speeds, the thigh-shank coupling was more out-of-phase compared to the 315 316 intermediate speeds (Figure 1A). At low running speeds, the coupling was more out-of-phase compared to higher running speeds. For both tasks, there was a significant curvilinear 317 relationship between speed and the relative phase. For the four shared speeds, the segment 318 coordination was more in-phase during walking compared to running. There was a significant 319 320 effect of speed during both tasks on the mean average continuous relative phase for the thighfoot segment coupling (Figure 1B). For both tasks, the segment coupling changed in a curvilinear 321 322 fashion towards more in-phase coordination as speed increased.

The coordination variability, assessed by the mean deviation phase, showed similar 323 pattern for both the thigh-shank and thigh-foot segment coupling (Figure 1C and 1D). There was 324 a significant curvilinear relationship between speed and both couplings during the two tasks. 325 326 During walking, the relationship was U-shaped with the lowest mean deviation phase occurring at the intermediate speeds. During running, the mean deviation phase decreased with increasing 327 speed. There was a significant gait mode-speed interaction in the two-way ANOVA (Table 2), 328 and the post hoc test revealed that the mean deviation phase was higher during running at low 329 330 speeds and lower during the highest speed when compared to walking.

## **331 3.2 Joint angles**

There was a significant effect of speed on LyE for the hip and knee joints during walking 332 and for all three joints during running (Table 1). During walking, there was a significant, U-333 334 shaped relationship between speed and hip and knee joint LyE with significantly lower values at the intermediate speed of 1.56m/s compared to the lowest and the two highest speeds. During 335 336 running, the LyE of all three joints decreased significantly in a curvilinear fashion with increasing speed (Figure 2A, 2B and 2C). The two-way ANOVA showed a significant gait 337 338 mode-speed interaction (Table 2) for all three joints. The post hoc test revealed that the LyE of the hip joint was significantly higher at the two lowest speeds and significantly lower at the 339 340 highest speed during running compared to walking (Figure 2A). For the knee joint, the LyE of running was significantly higher at the lowest speed and lower at the two highest speeds 341

342 compared to walking (Figure 2B). For the ankle joint, the LyE during running was significantly343 lower at the highest speed compared to walking (Figure 2C).

344 There was a significant effect of speed on CoD for all three joints during both tasks (Table 1), with significant curvilinear relationships with speed that resembled those of the LyE. 345 The hip and knee joint CoD exhibited U-shaped relationships with speed during walking and 346 347 decreasing CoD values with increasing speed during running. For the ankle joint, the CoD decreased with speed during both tasks (Figure 2D, 2E and 2F). There was a significant gait 348 mode-speed interaction for the CoD of the knee joint (Table 2). The post hoc test showed that the 349 CoD was significantly higher during walking at the two highest speeds compared to running 350 (Figure 2E). 351

There was a significant effect of speed on the meanSD for all three joints during both 352 353 tasks (Table 1). However, only during walking did the meanSD exhibit a significantly curvilinear relationship with speed (Figure 2G, 2H and 2I). For all three joints, the meanSD was 354 significantly higher during the highest walking speed compared to the lower speed. There was a 355 significant gait mode-speed interaction for the meanSD of all three joints (Table 2). For the hip 356 357 joint, the meanSD was significantly higher during running at the two lowest speeds and significantly lower at the two highest speeds compared to walking (Figure 2G). For the knee and 358 359 ankle joint, the meanSD was significantly higher during running at the two lowest speeds and significantly lower at the highest speed compared to walking (Figure 2H and 2I). 360

**361 3.3 Center of mass displacements** 

There was a significant effect of speed on the LyE of the ML and Vert center of mass displacements during walking (Table 1). While the LyE increased curvilinearly with speed in the ML direction, no between-speed differences were observed in the Vert direction (Figure 3B and 3C). There was a significant gait mode-speed interaction in the ML direction (Table 2) and the post hoc test revealed that the LyE during walking at the highest speed was significantly higher compared to running (Figure 3B).

There was a significant effect of speed on the center of mass CoD in the ML and Vert directions during walking (Table 1). In both cases, a U-shaped relationship was observed with significantly lower CoD at the intermediate speeds compared to the two highest speeds (Figure 3E and 3F). For the ML and Vert directions, there was a significant gait mode-speed interaction (Table 2). In the ML direction, the CoD was significantly higher at the two lowest speeds during running compared to walking (Figure 3E) and in the Vert direction, the CoD was significantlyhigher at all four speeds during walking compared to running (Figure 3F).

375 There was a significant effect of speed on the meanSD of the center of mass displacements in the Vert direction during walking and the AP and Vert direction during running 376 377 (Table 1). In the AP direction during running, the meanSD decreased curvilinear with increasing speed (Figure 3G) and in the Vert direction, the meanSD increased significantly at the two 378 379 highest walking speed (Figure 3I). There was no significant curvilinear relationship for the Vert center of mass displacement during running. There was a significant gait mode-speed interaction 380 in the Vert direction (Table 2) and the meanSD was significantly higher at the two lowest speeds 381 and higher at the highest speed during running compared to walking (Figure 3I). 382

#### **4. Discussion**

The work by Diedrich and Warren (Diedrich and Warren, 1998; Diedrich and Warren, 385 1995) laid the theoretical foundation for understanding the mechanisms governing the walk-to-386 run transition in humans from a Dynamical Systems Theory perspective. Based on their studies, 387 two principles can be inferred: the control parameter-dependent attractor stability principle, 388 389 which suggests that changing locomotion speed above and below the preferred speed of a given gait mode would move the system from a stable attractor to regions of instability, and the 390 391 attractor stability optimization principle, which suggests the self-selected gait mode at a given speed would exhibit a more stable attractor compared to the alternative non-self-selected gait 392 mode. However, the work by Diedrich and Warren (Diedrich and Warren, 1998; Diedrich and 393 394 Warren, 1995) was limited by only quantifying the spatial variation in the coordination of segmental motion using relative phase and did not include an assessment of the temporal 395 dynamics of relevant variables. Therefore, the purpose of the present study was to investigate the 396 397 attractor stability of walking and running across a range of speeds when both stable self-selected movement solutions and unstable non-self-selected movement solutions were evoked. The 398 present study adopted the methodological and analytical approach used by Diedrich and Warren 399 (1995) by quantifying the stability of the executed lower limb coordination pattern using 400 deviation phase. In addition, the present study quantified the temporal dynamics and movement 401 variability of the lower limb joint angles and the center of mass displacement. In agreement with 402 403 the inferred principles, it was hypothesized that 1) the movement solution during walking at PWS and during running at PRS is a stable behavioral attractors for that particular gait mode 404 405 while speeds below and above would display significantly different dynamics and 2) at speeds 406 close to the preferred speed of a particular gait mode the movement solution would exhibit more 407 stable attractor behavior compared the alternative gait mode. Additionally, the present study sought to clarify whether a center of mass movement-based or a lower limb joint angle-based 408 409 attractor behavior constitutes the most appropriate order parameter for human locomotion.

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## **4.1 Control parameter dependent attractor stability principle**

The first hypothesis related to the *control parameter-dependent attractor stability principle* was partially supported. Clear U-shaped relationships with local minima close to the PWS were observed across walking speeds in the mean deviation phase for both the thigh-shank and thigh-foot segment couplings (see Figure 1C and D), the LyE and CoD for the hip and knee

joint angle (see Figure 2A, B, D and E), and the meanSD for all three joint angles (see Figure 2G 415 and H). This suggests that the first inferred principle holds true during walking when assessing 416 417 lower limb coordination stability, when assessing the lower limb temporal dynamics for the hip and knee joint and when assessing movement variability of all three lower limb joints. It is 418 noteworthy that the local minima for the variables in question tended to lie at a speed slightly 419 420 above the PWS. This specific phenomenon has also been observed in previous studies focusing 421 on the effect of walking speed on the temporal dynamics of lower limb joint angles and stride characteristics (Chien et al., 2015; Jordan et al., 2007; Raffalt et al., 2017). However, it has not 422 been addressed by the previous studies. It could indicate that the PWS is actually an 423 424 underestimation of the optimal speed for the body and task during walking. This could either be a methodological issue with the PWS assessment, an artifact of altered walking due to the 425 treadmill, or suggest that the PWS is influenced by physiological and psychological aspects 426 unrelated to movement coordination. Interestingly, the results of the present study did not seem 427 to support the application of the control parameter-dependent attractor stability principle to 428 running. For the coordination stability and for the hip and knee joint angle dynamics, there was a 429 430 clear pattern of increasing attractor stability as running speed increased beyond the preferred running speed; the deviation phase of the thigh-shank segment and thigh-foot segment couplings 431 432 decreased with increased running speed, as did both LyE and CoD. This suggests that the factors determining the preferred running speed are not related to the factors determining the attractor 433 434 stability of running. This apparent difference between walking and running could be explained by the functional role of the lower limb during the two tasks. 435

436 During the contact phase of walking, the lower limb functions as an inverted pendulum that moves the center of mass forward across the area of support with a continuous exchange of 437 438 potential and kinetic energy (Cavagna and Margaria, 1966; Cavagna et al., 1963). Furthermore, 439 during the swing phase, the lower limb functions as double pendulum. Accordingly, the leg swing frequency (i.e. equivalent to the step frequency) at the preferred walking speed equals the 440 resonant frequency of the system, which coincides with a maximal knee joint stability (Russell 441 442 and Haworth, 2014) and the minimum muscle activity and energy expenditure (Holt et al., 1995; 443 Russell and Apatoczky, 2016). The swinging motion of a pendulum depends on its length, which, in the case of human walking, changes minimally in comparison to overall leg length. 444 This suggests that the self-organization process during walking would seemingly need to adjust 445

for minimal scaling changes in the mechanical properties of the lower limb as compared to more 446 substantial changes in swinging frequency consequential of altered gait speed. Thus, the optimal 447 448 attractor stability during walking is closely linked to the resonant frequency of the lower limb. In 449 contrast, during the contact phase of running the lower limb functions as a mechanical spring, in which elastic energy is stored during the initial braking phase and then released during the later 450 propulsion phase (Blickhan, 1989). The efficiency of a spring relates to its stiffness, and it has 451 452 been shown that leg stiffness is linearly proportional to both running speed and stride frequency (Arampatzis et al., 1999; Farley and González, 1996). The stiffness of the limb is increased by 453 increasing muscle activity surrounding the lower limb joints with the purpose of efficiently 454 455 utilizing elastic energy (Hobara et al., 2007; Moritani et al., 1991). When analyzing hopping, it has been suggested that as hopping frequency increases, the leg stiffness is increased by greater 456 457 preactivation of the triceps surae prior to ground contact. This occurs in conjunction with an altered short-latency stretch reflex response (Hobara et al., 2007; Voigt et al., 1998). Similar 458 459 changes in reflex and EMG responses have been observed during running with increasing speeds (Simonsen et al., 2012), when the stride frequency increases and contact time decreases, 460 461 simultaneously. This would suggest that the control mechanism for increasing the leg stiffness simplifies, making the entire spring system simpler with fewer degrees of freedom. Simplifying 462 463 one or more components in the self-organization process may permit greater attractor stability. Furthermore, during running the forward swinging motion of the leg requires a higher high 464 465 angular velocity than can be created alone by the pendulum motion caused by gravity. Therefore, considerable muscle activity in hip flexor muscles is required to generate the needed torque 466 467 (Modica and Kram, 2005). Thus, due to this speed-related change in mechanical properties and control mechanisms of the spring components and the added torque to the pendulum motion, it 468 469 may be unfeasible to reach an optimum in attractor stability during running at the speeds used in the present study. 470

It is noteworthy that the highest running speed of the present study was 3.35 m/s (equal to 12.1 km/h or 7.5 mph). This is well below what many healthy individuals are capable of running at and it is possible that the attractor stability would eventually decrease if higher running speeds were tested. If that was the case, it would provide evidence to confirm the *control parameterdependent attractor stability principle* for running as well. However, testing this would have, for safety reasons, required more experienced treadmill runners. Furthermore, running and sprinting at very high speeds will alter the foot strike pattern for most individuals from a heel strike pattern
to a forefoot strike pattern. It is possible that a change in foot strike pattern with increasing speed
would affect the self-organization process of the system and significantly change the attractor
stability. However, it is beyond the scope of this study to elucidate this aspect.

#### 481 4.2 Attractor stability optimization principle

The second hypothesis related to the *attractor stability optimization principle* stated that 482 the movement solution would exhibit more stable attractor behavior at speeds close to the 483 preferred speed of that particular gait mode compared to the alternative gait mode. A more stable 484 485 attractor behavior would be characterized by a low deviation phase when using the relative phase approach, by a coinciding low LyE and CoD when assessing the dynamics of the attractor 486 behavior, and by a low meanSD when assessing movement variability. This hypothesis was 487 supported for the relative phase approach, for the dynamics of the attractor behavior of the hip 488 and knee joint angles, and for the movement variability of all three joints but not for the center of 489 mass displacement. First, a lower deviation phase for both joint couplings (see Figure 1C and D), 490 and a lower LyE and lower movement variability for the hip and knee joint angles (see Figure 491 2A, B, G and H) were observed during walking compared to running at 1.79m/s. Second, at 492 2.46m/s running elicited a lower deviation phase for both joint couplings, a lower LyE and lower 493 movement variability for the hip and knee joint angles compared to walking. 494

495 The results for attractor stability when assessed with deviation phase verify the results presented by Diedrich and Warren (1995), and show that the attractor stability was highest at a 496 particular gait mode at speeds closest to the corresponding mode's preferred speed. This was true 497 for both the thigh-shank segment and thigh-foot segment couplings. While this supports the 498 499 attractor stability optimization principle, the applied methodology does not take into account the temporal dynamics of the system. Thus, it is crucial to also evaluate the principle in question 500 501 through an assessment of the temporal dynamics of the attractor behavior. This was achieved in the present study by quantifying the LyE and CoD of the hip, knee and ankle joint angles and the 502 503 center of mass displacement. It was evident that the principle also holds true for the dynamics of 504 the hip and knee joint angles and to a lesser extent for the ankle joint angle. This phenomenon 505 was not observed for the center of mass displacements. Our results clearly demonstrate that for 506 the hip and knee joint dynamics, the self-selected gait mode at a given speed was characterized by a more stable attractor compared to that of the alternative non-self-selected gait mode. In 507

relation to the functional role of the lower limb and the self-organization process, this indicates 508 that forcing the leg to function as a spring (i.e. running) is inexpedient when the constraints of 509 the tasks (relatively low speed) favor an inverted pendulum function (i.e. walking) to create a 510 stable attractor behavior. Equally, forcing an inverted pendulum function when the tasks 511 constraints favor a spring function seems inexpedient at relatively high speeds. The results of the 512 present study suggest that the hip and knee joint angles and the corresponding oscillatory motion 513 of the thigh and shank segment are better determining for the limb function than the ankle joint 514 and foot segment motion. When quantifying the attractor stability through the movement 515 variability of the joint angles, the principle was also confirmed. Thus, the movement variability 516 was observed to be lower at a given speed when using the self-selected gait mode. However, 517 assessing movement variability via meanSD suffers from the same limitation as the relative 518 phase approach by not incorporating the temporal dynamics which is a key element of any 519 nonlinear dynamical system (Stergiou, 2004; Stergiou, 2016). 520

521 The walk-to-run transition speed and the run-to-walk transition speed (neither measured in the present study) are expected to lie somewhere between the PWS and the PRS. In the present 522 523 study, both walking and running were performed at four different speeds between the PWS and PRS. The attractor stability for one gait mode increased beyond the stability for the alternative 524 525 mode within these four speeds, indicating that the gait mode transition lies between these four speeds. Furthermore, for one or both of the two intermediate speeds (2.01 and 2.24m/s), the 526 527 attractor stability was nearly the same, suggesting that neither of the two gait modes outperformed the other. However, when the speed is either decreased or increased slightly to 528 either 1.79 or 2.46, a clear favorable movement solution was available. In support of the notion 529 presented by Diedrich and Warren (1995), the present study suggests that the choice to walk or 530 531 run at a given speed is determined by whatever gait mode provides the highest lower limb 532 attractor stability.

533

### 4.3 Human locomotion order parameter

534 The present study had a secondary purpose of identifying an appropriate order parameter for walking and running. No clear consensus exists in the literature and various variables related 535 536 to the center of mass or the lower limb motions have been used (Diedrich and Warren, 1995; 537 Dingwell and Marin, 2006; England and Granata, 2007; Kurz et al., 2005; Raffalt et al., 2017; Stergiou et al., 2001). In the present study, it was speculated that the variable(s) supporting the 538

raised hypotheses would be the most appropriate order parameter(s) for capturing the system dynamics during locomotion. Our results suggest that variables that incorporated the lower limb motions are superior in describing the attractor behavior of the system, compared to variables based on the center of mass displacement. In particular, the deviation phase, which describes the stability of the oscillatory segment coupling, and the LyE and CoD, which describe the temporal dynamics of the hip and knee joint angles, seemed to clearly capture the changes in attractor behavior as speed was increased or movement task was changed.

Notably, the temporal dynamics and the movement variability of the center of mass 546 displacements did not support the two inferred principles, and no consistent pattern could be 547 observed across the three directions as speed or movement task were changed (see Figure 3). 548 These observations question the use of center of mass movements when evaluating the system's 549 attractor behavior during locomotion. Previously, quantifying LyE of center of mass movements 550 has been linked to the overall stability of gait and the risk of falling (Bruijn et al., 2012; Bruijn et 551 552 al., 2013). However, very different results have been reported in the literature when assessing LyE on the center of mass movements during walking at different speeds (Bruijn et al., 2009; 553 554 Dingwell and Marin, 2006; Raffalt et al., 2017). While this potentially may be due to different methodological approaches (Raffalt et al., 2019; Stenum et al., 2014), based on the results of the 555 556 present study, caution should be exercised when using the center of mass motion as an order parameter for human locomotion. 557

558 While not appropriate as an order parameter for human locomotion when addressing 559 attractor behavior, the vertical center of mass displacement in particular seems closely related to 560 the energetics of walking (Gottschall and Kram, 2003; Grabowski, 2010; Wurdeman et al., 561 2017). Thus, the dynamics and movement variability of the vertical center of mass displacement 562 in the present study best resembled the U-shaped relationship between oxygen uptake and 563 walking speed previously observed (Raffalt et al., 2017; Ralston, 1958; Zarrugh et al., 1974).

564 **4.4 Study limitation** 

The present study used absolute speeds similar to the study by Bruijn et al. (2009). This is in contrast to studies that have used either Froude number-based speeds (Diedrich and Warren, 1998; Diedrich and Warren, 1995; England and Granata, 2007; Raffalt et al., 2017) or relative PWS (Chien et al., 2015; Dingwell and Marin, 2006). There are pros and cons for each approach; however, when designing this protocol we prioritized the inclusion of four speeds at which allparticipants were able to both walk and run.

The method of identifying PWS and PRS was adopted from Dingwell and Marin (2006) who used it only to find PWS. While there is no reason to believe that the method is inappropriate for running, it is possible that the method is less effective when applied to running. This may further explain differences in the observations for running compared to walking in the present study. The PRS observed in the present study was relatively low compared to preferred speeds that might be expected in competitive runners. Thus, the results of the present study cannot be extrapolated to individuals with substantial running experience.

## 579 **5.** Conclusion

In conclusion, the present study showed that lower limb attractor stability during walking 580 581 is maximized at speeds close to PWS. For running, however, lower limb attractor stability increases as running speed is increased beyond PRS. Furthermore, the present study showed that 582 the attractor stability is highest at a particular gait mode closest to the corresponding preferred 583 speed. These results provide confirmation of the observations made by Diedrich and Warren 584 (Diedrich and Warren, 1998; 1995) and support the control parameter-dependent attractor 585 stability principle and the attractor stability optimization principle inferred from their studies. 586 587 Finally, the present study suggests that the dynamics and relative phase of lower limb motion 588 provide a more appropriate order parameter for quantifying attractor behavior during human locomotion compared to the dynamics of center of mass displacement. 589

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- 602 Legends
- 603**Table 1: Effect of speed during walking and running.** Results of the one-way mixed604model ANOVA for repeated measures with speed as the independent factor (*n*=11).
- 605

Table 2: Effect of gait mode and speed on attractor behavior stability. Results of the two-way mixed model ANOVA for repeated measures with gait mode and speed as independent factors and the mode-speed interaction (n=11).

609

Fig 1: Mean average continuous relative phase and mean deviation phase. Group (n=11) mean $\pm$ s.e.m. of the mean average continuous relative phase and mean deviation phase for the thigh-shank and thigh-foot segment coupling during walking and running. In the case of a significant effect of speed (mixed model ANOVA for repeated measures, p < 0.005) and a significant curvilinear relationship, a regression line is added. Grey areas indicate the mean  $\pm$ standard deviation of PWS and PRS. \* indicates significant difference in the dependent variable between gait modes (mixed model ANOVA, p < 0.005).

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Fig 2: The largest Lyapunov exponent, correlation dimension, movement variability of the hip, knee and ankle joint angle. Group (n=11) mean±s.e.m. of the LyE, CoD and meanSD for the hip, knee and ankle joint angle during walking and running. In the case of a significant effect of speed (mixed model ANOVA for repeated measures, p < 0.005) and a significant curvilinear relationship, a regression line is added. Grey areas indicate the mean ± standard deviation of PWS and PRS. \* indicates significant difference in the dependent variable between gait modes (mixed model ANOVA, p < 0.005).

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Fig 3: The largest Lyapunov exponent, correlation dimension, movement variability of the center of mass displacement. Group (n=11) mean $\pm$ s.e.m. of the LyE, CoD and meanSD for the center of mass displacement in the anterior-posterior, mediolateral and vertical direction during walking and running. In the case of a significant effect of speed (mixed model ANOVA for repeated measures, p < 0.005) and a significant curvilinear relationship, a regression line is added. Grey areas indicate the mean  $\pm$  standard deviation of PWS and PRS. \* indicates

- 632 significant difference in the dependent variable between gait modes (mixed model ANOVA, p <
- 0.005).

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## Table 1: Effect of speed on attractor behavior stability during walking and running.

Results of the one-way mixed model ANOVA for repeated measures with speed as theindependent factor.

		Walking		Running	
		F-value	p-value	F-value	p-value
	Thigh-	5.13	< 0.0001	8.81	< 0.0001
Continuous relative phase	shank				
	Thigh-foot	92.87	< 0.0001	7.95	< 0.0001
	Thigh-	11.09	< 0.0001	26.91	< 0.0001
Deviation phase	shank				
	Thigh-foot	16.58	< 0.0001	39.04	< 0.0001
	Hip joint	14.13	< 0.0001	13.34	< 0.0001
Lyapunov exponent	Knee joint	18.60	< 0.0001	3.64	0.002
	Ankle joint	2.02	NS	2.69	0.015
	Hip joint	8.02	< 0.0001	6.34	< 0.0001
Correlation dimension	Knee joint	13.10	< 0.0001	9.98	< 0.0001
	Ankle joint	3.24	0.005	6.78	< 0.0001
	Hip joint	26.21	< 0.0001	3.41	0.003
Movement variability	Knee joint	17.96	< 0.0001	3.81	0.001
	Ankle joint	14.67	< 0.0001	2.91	0.010
	Ant-Pos	1.855	NS	1.62	NS
Lyapunov exponent	Med-Lat	20.18	< 0.0001	1.19	NS
	Vert	2.42	0.028	0.884	NS
	Ant-Pos	0.83	NS	1.61	NS
Correlation dimension	Med-Lat	5.19	< 0.0001	1.59	NS
	Vert	4.17	0.001	1.31	NS
	Ant-Pos	1.48	NS	2.94	0.009
Movement variability	Med-Lat	1.47	NS	1.42	NS
	Vert	21.18	< 0.0001	3.79	0.001

790 NS: not significant

**Table 2: Effect of gait mode and speed on attractor behavior stability.** Results of the two-way mixed model ANOVA for repeated measures with gait mode and speed as independent factors and the mode-speed interaction.

		Gait mode		Sp	Speed		Mode-speed interaction	
		F-value	p-value	F-value	p-value	F-value	p-value	
Continuous	Thigh- shank	485.7	< 0.0001	0.64	NS	5.43	0.002	
relative phase	Thigh-foot	98.01	< 0.0001	8.56	< 0.0001	0.57	NS	
Deviation phase	Thigh- shank	40.84	< 0.0001	4.89	0.004	34.64	< 0.0001	
	Thigh-foot	24.56	< 0.0001	2.82	0.045	28.92	< 0.0001	
Lyonunov	Hip joint	23.07	< 0.0001	0.80	NS	18.33	< 0.0001	
Lyapunov	Knee joint	15.79	< 0.0001	5.75	0.001	12.77	< 0.0001	
exponent	Ankle joint	4.77	0.032	0.24	NS	2.87	0.043	
Correlation	Hip joint	0.12	NS	2.04	NS	1.39	NS	
dimension	Knee joint	13.21	0.001	1.20	NS	4.90	0.004	
dimension	Ankle joint	1.66	NS	3.59	0.018	2.02	NS	
Movement	Hip joint	0.36	NS	11.97	< 0.0001	36.32	< 0.0001	
woriability	Knee joint	0.001	NS	10.59	< 0.0001	22.72	< 0.0001	
variaonity	Ankle joint	6.55	0.013	7.97	< 0.0001	21.79	< 0.0001	
Lyonunov	Ant-Pos	0.16	NS	0.80	NS	1.03	NS	
Lyapunov	Med-Lat	1.80	NS	8.19	< 0.0001	8.25	< 0.0001	
exponent	Vert	11.37	0.001	0.82	NS	1.58	NS	
Completion	Ant-Pos	17.09	< 0.0001	0.89	NS	0.63	NS	
dimension	Med-Lat	23.64	< 0.0001	4.47	0.006	4.76	0.004	
dimension	Vert	73.54	< 0.0001	2.26	NS	3.22	0.028	
Movement	Ant-Pos	0.50	NS	1.07	NS	1.67	NS	
voriability	Med-Lat	0.97	NS	1.57	NS	0.60	NS	
variaonity	Vert	10.89	0.002	10.35	< 0.0001	22.19	< 0.0001	

795 NS: not significant

Fig 1: Mean average continuous relative phase and mean deviation phase. Group (n=11) mean $\pm$ s.e.m. of the mean average continuous relative phase and mean deviation phase for the thigh-shank and thigh-foot segment coupling during walking and running. In the case of a significant effect of speed (mixed model ANOVA for repeated measures, p < 0.005) and a significant curvilinear relationship, a regression line is added. Grey areas indicate the mean  $\pm$ standard deviation of PWS and PRS. \* indicates significant difference in the dependent variable between gait modes (mixed model ANOVA, p < 0.005).



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807 Fig 2: The largest Lyapunov exponent, correlation dimension, movement variability of the hip, knee and ankle joint angle. Group (n=11) mean±s.e.m. of the LyE, CoD and 808 809 meanSD for the hip, knee and ankle joint angle during walking and running. In the case of a significant effect of speed (mixed model ANOVA for repeated measures, p < 0.005) and a 810 significant curvilinear relationship, a regression line is added. Grey areas indicate the mean  $\pm$ 811 standard deviation of PWS and PRS. \* indicates significant difference in the dependent variable 812 between gait modes (mixed model ANOVA, p < 0.005). 813





817 Fig 3: The largest Lyapunov exponent, correlation dimension, movement variability of the center of mass displacement. Group (n=11) mean±s.e.m. of the LyE, CoD and meanSD 818 819 for the center of mass displacement in the anterior-posterior, mediolateral and vertical direction during walking and running. In the case of a significant effect of speed (mixed model ANOVA 820 for repeated measures, p < 0.005) and a significant curvilinear relationship, a regression line is 821 added. Grey areas indicate the mean ± standard deviation of PWS and PRS. \* indicates 822 significant difference in the dependent variable between gait modes (mixed model ANOVA, p < 823 0.005). 824

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